



Local Government Performance Assessment

Kalangala District

(Vote Code: 515)

Assessment	Scores
Accountability Requirements	%
Crosscutting Performance Measures	64%
Educational Performance Measures	83%
Health Performance Measures	79%
Water & Environment Performance Measures	72%

No.	Summary of requirements	Definition of compliance	Compliance justification	Compliant?
Annual performance contract				
1	LG has submitted an annual performance contract of the forthcoming year by June 30 on the basis of the PFMAA and LG Budget guidelines for the coming financial year.	<ul style="list-style-type: none"> • From MoFPED's inventory/schedule of LG submissions of performance contracts, check dates of submission and issuance of receipts and: <ul style="list-style-type: none"> o If LG submitted before or by due date, then state 'compliant' o If LG had not submitted or submitted later than the due date, state 'non-compliant' • From the Uganda budget website: www.budget.go.ug, check and compare recorded date therein with date of LG submission to confirm. 	Kalangala District Local Government submitted the annual performance contract on 18th July 2019. This is within the adjusted deadline of 31st August 2019. Therefore, the LG is Compliant.	Yes
Supporting Documents for the Budget required as per the PFMA are submitted and available				
2	LG has submitted a Budget that includes a Procurement Plan for the forthcoming FY by 30th June (LG PPDA Regulations, 2006).	<ul style="list-style-type: none"> • From MoFPED's inventory of LG budget submissions, check whether: <ul style="list-style-type: none"> o The LG budget is accompanied by a Procurement Plan or not. If a LG submission includes a Procurement Plan, the LG is compliant; otherwise it is not compliant. 	The Local Government submitted the budget together with the Procurement Plan on 18th July 2019. This is within the adjusted deadline of 31st August 2019. Therefore, the LG is Compliant.	Yes
Reporting: submission of annual and quarterly budget performance reports				
3	LG has submitted the annual performance report for the previous FY on or before 31st July (as per LG Budget Preparation Guidelines for coming FY; PFMA Act, 2015)	<p>From MoFPED's official record/inventory of LG submission of annual performance report submitted to MoFPED, check the date MoFPED received the annual performance report:</p> <ul style="list-style-type: none"> • If LG submitted report to MoFPED in time, then it is compliant • If LG submitted late or did not submit, then it is not compliant 	The annual performance report for the previous year was submitted on 31st July 2019. This is within the adjusted deadline of 31st August 2019. Therefore the LG is Compliant.	Yes

4

LG has submitted the quarterly budget performance report for all the four quarters of the previous FY by end of the FY; PFMA Act, 2015).

From MoFPED's official record/ inventory of LG submission of quarterly reports submitted to MoFPED, check the date MoFPED received the quarterly performance reports:

- If LG submitted all four reports to MoFPED of the previous FY by July 31, then it is compliant (timely submission of each quarterly report, is not an accountability requirement, but by end of the FY, all quarterly reports should be available).
- If LG submitted late or did not submit at all, then it is not compliant.

The Local Government submitted quarterly and annual reports as follows:

Quarter 1 on 15th November 2018;

Quarter 2 on 31st January 2019;

Quarter 3 on 18th May 2019; and

Quarter 4 on 31st July 2019.

The LG submitted the 4th quarterly report (annual performance report) on 31st July 2019. This is within the adjusted deadline of 31st August 2019. Therefore, the LG is Compliant.

Yes

Audit

5

The LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and the Auditor General's findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes actions against all findings where the Internal Auditor and the Auditor General recommended the Accounting Officer to take action in lines with applicable laws.

From MoFPED's Inventory/record of LG submissions of statements entitled "Actions to Address Internal Auditor General's findings",

Check:

- If LG submitted a 'Response' (and provide details), then it is compliant
- If LG did not submit a 'response', then it is non-compliant
- If there is a response for all –LG is compliant
- If there are partial or not all issues responded to – LG is not compliant.

• The LG submitted the responses on the Internal Auditor General's report for the FY2017/18 on 13th September 2019, Ref: email 13/9/2019.

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• This was beyond the deadline date of 30th April 2019.

• There was no evidence that copies were submitted or received by MOFPED, Accountant General, IGG, MOLG and Auditor General.

• On the implementation of Internal Audit reports recommendations, for FY 2017/18 the issues raised were responded to adequately and hence all issues were all resolved.

No

• There were Three Queries Raised. These were responded to as detailed below:

• (1) Un remitted revenues to BMUs. Explained and Cleared.

• (2) Lack of agricultural statistics and periodical review reports on OWC program. Explained and Cleared.

• (3) Management of infrastructure development funded under Kalangala Iceida Education Project. Explained and Cleared.

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• The LG submitted responses on the Auditor General's report for 2017/18 on 13th September 2019 by email.

• There was no evidence provided by the LG that they were submitted to and received by MOFPED, Auditor General, Accountant General and Directorate of Internal Audit.

• There were Five Queries Raised. These were responded to as detailed below:

• (1) Youth Livelihood Program. Explained WIP and Cleared.

• (2) Uganda Road Fund- Expenditure variance. Explained and Cleared.

• (3) Natural Resources – Lack of Land register for Wetlands. Explained and Cleared.

• (4) Underfunding at Kibanga Primary School. Explained and Cleared.

- (5) Poor Condition of Medical Equipment. Cleared

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- All the above issues were responded to and action taken on implementing the Auditor General recommendations was submitted, though late.

- The LG was not compliant.

6

The audit opinion of LG Financial Statement (issued in January) is not adverse or disclaimer.

From the Auditor General's report for the FY 2018/19, the LG had an unqualified audit opinion.



No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution				
1	<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<p>Evidence that a district/ municipality has:</p> <ul style="list-style-type: none"> • A functional Physical Planning Committee in place that considers new investments on time: score 1. 	<p>Kalangala District Local Government had a functional Physical Planning Committee appointed on 10th October 2018. under ref: CR/156/6, comprising of 12 members, the committee met and discussed issues on the following dates:</p> <p>Meeting held on 26th June 2019 Min: DPPC/03/06/2019, discussion and reactions, issues raised – relevance of physical planning to local communities (deep villages) rose by Mr. Kamyia Danson;</p> <p>It was suggested that the issue of road reserves and irregular plot sizes be standardized with regulation, stating elaborating respective filling reserves and plot sizes and necessitated by the nature of features in various areas;</p> <p>Minute : DPPC/04/06/2019 Lulamba Sub County – provisional approval pending submission of the corrected draft (Area Action Plan);</p> <p>Meeting held on 6th December 2018 at the district board room Min: DPPC 03/12/2018- escalating rate of slum development – it was suggested to make sub committees to ease performance of the committee, budgeting of sensitization to all communities so as to ease work of the committee;</p> <p>Min: DPPC 04/12/2018, consideration of development applications, Jjuuko Ronald Bbeta Mugoye Sub County with plan approved, Mpogo limited Kyagalanyi Bujemba Sub County with plan approved, Absolute energy Africa Bukasa Kyamuswa Sub County with plan approved;</p> <p>Meeting held on 25th September 2018, the chairperson requested for the orientation of the committee members into this respective responsibilities onto the committee, and</p> <p>Min: 04/10/2018- consideration of development applications , KDDP-KIEP – Construction of a girls dormitory at bishop Dunstan in Kalangala, Construction of a 3 class room block at bishop Dunstan secondary school, Construction of teachers’ house kitchen and latrine at Sserwanga Lwanga secondary school.</p>	1

1	<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that district/ MLG has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD score 1. 	<p>Kalangala District Local Government had not submitted 4 sets of minutes of the Physical Planning Committee to the MoLHUD.</p> <p>Minutes of the Physical Planning Committee held on 26th June 2019, at the district board room Min: DPPC/03/06/2019, discussion and reactions, issues raised – relevance of physical planning to local communities (deep villages) rose by Mr. Kamyra Danson.</p> <p>Minutes of the Physical Planning Committee held on 6th December 2018 at the district board room Min: DPPC 03/12/2018- escalating rate of slum development – it was suggested to make sub committees to ease performance of the committee, budgeting of sensitization to all communities so as to ease work of the committee.</p> <p>Minutes of the Physical Planning Committee held on 25th September 2018, at the district board room the chairperson requested for the orientation of the committee members onto respective responsibilities onto the committee and</p> <p>Minute 04/10/2018- consideration of development applications , KDDP-KIEP – Construction of a girls dormitory at bishop Dunstan in Kalangala, Construction of a 3 class room block at bishop Dunstan secondary school, Construction of teachers' house kitchen and latrine at Sserwanga Lwanga secondary school.</p>	0
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1	<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • All infrastructure investments are consistent with the approved Physical Development Plan: score 1 or else 0 	<p>Kalangala District Local Government had No approved Physical Development Plan to guide all the Infrastructure investment.</p> <p>The District Physical Development Plan is under review under the Natural Resources Department and in the sector of Physical Planning and was commenced last month.</p> <p>On 19th June 2019 a plan number 11/RH/09/2019 of Mr. Muwereza was submitted (RH) residential home approved 23rd June 2019;</p> <p>On 5th June 2019 a plan number 10/BSIC/02/2019 of Bujumba-Mulabana Area Action Plan was submitted and approved on 26th June 2019;</p> <p>On 19th February 2019 a plan number 07/I/02/2019 of KOPGT Bubembe was submitted and approved; and</p> <p>On 26th September 2018 a plan number 05/PP/04/2018 of absolute energy capital was submitted and approved.</p>	0
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All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans

Maximum 4 points for this performance measure.

• Action area plan prepared for the previous FY: score 1 or else 0

Kalangala District Local Government had an Area Action Plan to guide all the Infrastructure investments for Namayanja cell, Kyagalanyi approved by the district council on **26th June 2019**, developed by the District Natural resources department .

The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles

Maximum 5 points on this performance measure.

• Evidence that priorities in AWP for the current FY are based on the outcomes of budget conferences: score 2.

Prioritized investments in the approved AWP for the current FY 2019/2020 were derived from the budget conference dated 31st October 2018, and project profiles 2019/20 discussed.

The projects included the following:

Renovation of staff houses at Kalangala Health Centre IV;

Construction of maternity ward, 02 staff houses and 02 ventilated improved pit latrines at Bubeke Health Centre III;

Construction of a latrine at Bukasa Health Centre II;

Availing all health units with waste collection and safe waste treatment facilities;

Construction of mother baby pair points at Kalangala Health Center IV;

Construction of latrines at Kinyamira, Kagugube, and Mazinga primary schools;

Construction of staff houses at Kaganda, Buwazi primary schools;

Construction of students' hostel at Bukasa secondary school;

Construction of teachers' house at Bishop Dunstan secondary school;

Construction of staff houses at Bukasa primary school;

Construction of storied class room block at Kibanga primary school;

Construction of kitchen at Lwabaswa and Bufumira primary schools;

Construction of teachers' house at Kachanga primary school and Sserwanga Lwanga secondary school;

Constriction of building (dormitory/hostel) at Bumangi community institute;

Construction and design of new solar powered water systems at Kaazi and Bugaba; and

Construction of a toilet at Lujaabwa in Mazinga Sub County.

The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles

Maximum 5 points on this performance measure.

• Evidence that the capital investments in the approved Annual work plan for the current FY are derived from the approved five-year development plan. If differences appear, a justification has to be provided and evidence provided that it was approved by the Council. Score 1.

The prioritized investments in the approved AWP for the current FY 2019/2020 were derived from the approved five-year development plan 2015/2016/2016/2017/2017/2018-2018/2019-2019/2020 approved under Min: : DC/06/03/2015.

The projects included the following:

1. Construction of maternity ward, 02 staff houses and 02 ventilated improved pit latrines at Bubeke Health Centre III at UGX 10,000,000/=;
2. Construction of a latrine at Bukasa Health Centre II Kyamuswa Sub County at UGX 30,000,000/=;
3. Availing all health units with waste collection and safe waste treatment facilities;
4. Construction of mother baby pair points at Kalangala Health Center IV;
5. Construction of latrines at Kinyamira, Kagugube, and Mazinga primary schools at UGX 8,000,000/=;
6. Construction of staff houses at Kaganda, at UGX 45,000,000/=, and Buwazi primary schools at UGX 1,587,136,600/=;
7. Construction of students' hostel at Bukasa secondary school;
8. Construction of teachers' house at Bishop Dunstan secondary school at UGX 144,459,000/=;
9. Construction of staff houses at Bukasa primary school at UGX 30,000,000/= at UGX 232,884,000/=;
10. Construction of a storied class room block at Kibanga primary school in Bujumba at UGX 561,125,000/=;
11. Construction of kitchen at Lwabaswa and Bufumira primary schools;
12. Construction of a staff house at Kyamuswa primary school in Bizigo at UGX 464,334,000/=;
13. Construction of teachers' house at Kachanga primary school and Sserwanga Lwanga secondary school in Kyamuswa Sub County at UGX 369,323,000/=;
14. Construction of building (dormitory/hostel) at Bumangi community institute in Bujumba Sub County at UGX 427,830,000;
15. Construction and design of new solar powered water systems at Kaazi and Bugaba, rehabilitation of solar powered water system at Ttubi, rehabilitation of gravity flow system at Senero; and
16. Construction of a toilet at Lujaabwa in Mazinga Sub County at UGX 61,804,000/=.

The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year

development plan, are based on discussions in annual reviews and

budget conferences and

have project profiles

Maximum 5 points on this performance measure.

• Project profiles have been developed and discussed by TPC for all investments in the AWP as per LG Planning guideline: score 2.

Project Profiles were developed and discussed by TPC for all investments in the Annual Work Plan and project profiles as per the LG Planning Guidelines.

Meeting held on **13th March 2015** at the District Headquarters council hall. Minute **DC/05/03/2015**. Presentation of the District Development Plan 2015/2016-2019/2020. minute DC/06/03/2015, discussion and approval of the District Development Plan 2015/2016-2019/2020.

The projects included:

1. Construction of maternity ward, 02 staff houses and 02 ventilated improved pit latrines at Bubeke Health Centre III at UGX 10,000,000/=;
2. Construction of a latrine at Bukasa Health Centre II Kyamuswa Sub County at UGX 30,000,000/=;
3. Availing all health units with waste collection and safe waste treatment facilities;
4. Construction of mother baby pair points at Kalangala Health Center IV;
5. Construction of latrines at Kinyamira, Kagugube, and Mazinga primary schools at UGX 8,000,000/=;
6. Construction of staff houses at Kaganda, at UGX 45,000,000/=, and Buwazi primary schools at UGX 1,587,136,600/=;
7. Construction of students' hostel at Bukasa secondary school;
8. Construction of teachers' house at Bishop Dunstan secondary school at UGX 144,459,000/=;
9. Construction of staff houses at Bukasa primary school at UGX 30,000,000/= at UGX 232,884,000/=;
10. Construction of storied class room block at Kibanga primary school in Bujumba at UGX 561,125,000/=;
11. Construction of kitchen at Lwabaswa and Bufumira primary schools;
12. Construction of a staff house at Kyamuswa primary school in Bizigo at UGX 464,334,000/=;
13. Construction of teachers' house at Kachanga primary school and Sserwanga Lwanga secondary school in Kyamuswa Sub County at UGX 369,323,000/=;
14. Construction of building (dormitory/hostel) at Bumangi community institute in Bujumba Sub County at UGX 427,830,000,
15. Construction and design of new solar powered water systems at Kaazi Bugaba;
16. Construction of a toilet at Lujaabwa in Mazinga Sub County at UGX 61,804,000/=; and
17. Lobbying central government to expedite contracting arrangements of urban water supply.

3

Annual statistical abstract developed and applied

Maximum 1 point on this performance measure

• Annual statistical abstract, with gender-disaggregated data has been compiled and presented to the TPC to support budget allocation and decision-making- maximum score 1.

Kalangala District Local Government had an Annual Statistical abstract with gender dis-aggregated data compiled and presented to TPC to Support budget allocations and discussions, in Meeting held on **14th May 2019**, Minute: **DPC Min: 10/05/19**, Presentation of the final draft of District Statistical Abstract.

1

4

Investment activities in the previous FY were implemented as per AWP.

Maximum 6 points on this performance measure.

• Evidence that all infrastructure projects implemented by the LG in the previous FY were derived from the annual work plan and budget approved by the LG Council: score 2

Kalangala District Local Government Investment projects in the previous Financial Year 2018/2019 were within the approved budget. A meeting held on 18th May 2018 at the district council hall. Min: KDLG/DC/28/05/18 Approval of budget financial year 2018/2019 at UGX 19,419,741,000/=.

A total of 15 investment projects were implemented and included the following:

Construction of a new staff house at Mulabana primary school budgeted at UGX 338,025,000/= and contract sum of UGX 301,544,344/=, started on 24th October 2018 and completed on 24th June 2019;

Construction of a new staff house with latrine at Bishop Dunstan secondary school budgeted at UGX 537,187,000/= and contract sum of UGX 290,804,850/=, started on 24th October 2018 and completed on 24th June 2019;

Construction of a 5 stance pit latrine at Kinyamira primary school stated on 24th October 2018 and completed on 24th June 2019 budgeted at UGX 80,184,000/= and contract sum of UGX 61,274,304/=;

Construction of school kitchen and store at bridge of hope started on 11th October 2019 and completed on 11th June 2019 budgeted at UGX 188,750,000/= and contract sum of UGX 155,145,749/=;

Construction of new staff house and latrine at Lake Victoria started on 11th October 2018 and completed on 11th June 2019 budgeted at UGX 313,494,000/= and contract sum of UGX 284,162,978/=;

Construction of 1 dormitory for girls at Bumangi community polytechnic started on 11th October and completed on 11th June 2019 budgeted at UGX 430,359,000/= and contract sum of UGX 407,822,915/=;

Construction of school kitchen with store at Bunyama primary started on 11th October 2018 and completed on 11th June 2019 budgeted at UGX 188,750,000/= and contract sum of UGX 180,921,518/=;

Construction of school kitchen with store at Lwabaswa primary school started on 11th October 2018 and completed on 11th June 2019 budgeted at UGX 188,750,000/= and contract sum of UGX 150,717,315/=;

Construction of school kitchen with store at Jaana primary school

2

started on 11th October 2018 and completed on 11th June 2019 budgeted at UGX 188,750,000/= and contract sum of UGX 176,615,145/=;

Construction of new staff house with latrine at Kachanga primary school started on 11th October 2018 and completed on 11th June 2019 budgeted at UGX 351,874,000/= and contract sum of UGX 319,334,131/=;

Construction of a 5 stance pit latrine at Mazinga primary school started on 11th October 2018 and completed on 11th June 2019 budgeted at UGX 88,223,000/= and contract sum of UGX 79,992,632/=;

Construction of school kitchen with store at Bumangi primary school and latrine at lake victoria started on 29th November 2018 and completed on 29th July 2019 budgeted at UGX 188,750,000/= and contract sum of UGX 157,143,614/=;

Construction of a 5 stance pit latrine at Sserwanga Lwanga secondary school started on 29th November 2018 and completed on 29th July 2019 budgeted at UGX 80,000,000/= and contract sum of UGX 73,898,243/=;

Construction of school kitchen with store at Sserinya primary school and latrine at lake victoria started on 29th November 2018 and completed on 29th July 2019 budgeted at UGX 188,750,000/= and contract sum of UGX 179,656,143/=; and

Construction of a protected spring at Bujumba Sub County started on 17th January 2019 and completed on 17th march 2019 budgeted at UGX 4,000,000/= and contract sum of UGX 3,500,000/=.

4

Investment activities in the previous FY were implemented as per AWP.

- Evidence that the investment projects implemented in the previous FY were completed as per work plan by end for FY.

Maximum 6 points on this performance measure.

- o 100%: score 4
- o 80-99%: score 2
- o Below 80%: 0

Kalangala District Local Government Investment projects in the previous Financial Year 2018/2019 were completed as per work plan by end for FY.

These projects included the following:

Construction of new staff house at Mulabana primary school started on 24th October 2018 and completed on 24th June 2019 budget at UGX 338,025,000/= and contract sum of UGX 301,544,344/=;

Construction of new staff house with latrine at Bishop Dunstan secondary school started on 24th October 2018 and completed on 24th June 2019 budgeted at UGX 537,187,000/= and contract sum of UGX 290,804,850/=;

Construction of a 5 stance pit latrine at Kinyamira primary school stated on 24th October 2018 and completed on 24th June 2019 budgeted at UGX 80,184,000/= and contract sum of UGX 61,274,304/=;

Construction of school kitchen and store at bridge of hope started on 11th October 2019 and completed on 11th June 2019 budgeted at UGX 188,750,000/= and contract sum of UGX 155,145,749/=;

Construction of new staff house and latrine at lake victoria started on 11th October 2018 and completed on 11th June 2019 budgeted at UGX 313,494,000/= and contract sum of UGX

2

284,162,978/=;

Construction of 1 dormitory for girls at Bumangi community polytechnic started on 11th October and completed on 11th June 2019 budgeted at UGX 430,359,000/= and contract sum of UGX 407,822,915/=;

Construction of school kitchen with store at Bunyama primary started on 11th October 2018 and completed on 11th June 2019 budgeted at UGX 188,750,000/= and contract sum of UGX 180,921,518/=;

Construction of school kitchen with store at Lwabaswa primary school started on 11th October 2018 and completed on 11th June 2019 budgeted at UGX 188,750,000/= and contract sum of UGX 150,717,315/= ,

Construction of school kitchen with store at Jaana primary school started on 11th October 2018 and completed on 11th June 2019 budgeted at UGX 188,750,000/= and contract sum of UGX 176,615,145/=;

Construction of new staff house with latrine at Kachanga primary school started on 11th October 2018 and completed on 11th June 2019 budgeted at UGX 351,874,000/= and contract sum of UGX 319,334,131/=;

Construction of a 5 stance pit latrine at Mazinga primary school started on 11th October 2018 and completed on 11th June 2019 budgeted at UGX 88,223,000/= and contract sum of UGX 79,992,632/=;

Construction of school kitchen with store at Bumangi primary school and latrine at Lake Victoria started on 29th November 2018 and completed on 29th July 2019 budgeted at UGX 188,750,000/= and contract sum of UGX 157,143,614/=;

Construction of a 5 stance pit latrine at Sserwanga Lwanga secondary school started on 29th November 2018 and completed on 29th July 2019 budgeted at UGX 80,000,000/= and contract sum of UGX 73,898,243/=;

Construction of school kitchen with store at Sserinya primary school and latrine at Lake Victoria started on 29th November 2018 and completed on 29th July 2019 budgeted at UGX 188,750,000/= and contract sum of UGX 179,656,143/=; and

Construction of a protected spring at Bujumba Sub County started on 17th January 2019 and completed on 17th march 2019 budgeted at UGX 4,000,000/= and contract sum of UGX 3,500,000/=.

Hence 13 out of 15 investment projects implemented were completed as per work plan and budget duration in Financial Year 2018/2019. Thus 86.7% of all investment projects implemented.

The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY

Maximum 4 points on this Performance Measure.

- Evidence that all investment projects in the previous FY were completed within approved budget – Max. 15% plus or minus of original budget: score 2

Kalangala District Local Government Investment projects in the previous Financial Year 2018/2019 were within the approved budget.

The projects included the following:

Construction of new staff house at Mulabana primary school started on 24th October 2018 and completed on 24th June 2019 budget at UGX 338,025,000/= and contract sum of UGX 301,544,344/= variation of UGX 36,480,56/= thus 10.79%;

Construction of new staff house with latrine at Bishop Dunstan secondary school started on 24th October 2018 and completed on 24th June 2019 budgeted at UGX 537,187,000/= and contract sum of UGX 290,804,850/= variation of UGX 246,382,150/= thus **45.87%**;

Construction of a 5 stance pit latrine at Kinyamira primary school started on 24th October 2018 and completed on 24th June 2019 budgeted at UGX 80,184,000/= and contract sum of UGX 61,274,304/= variation of UGX 18,909,696/= thus **23.58%**;

Construction of school kitchen and store at bridge of hope started on 11th October 2019 and completed on 11th June 2019 budgeted at UGX 188,750,000/= and contract sum of UGX 155,145,749/= variation of UGX 33,604,251/= thus **17.8%**;

Construction of new staff house and latrine at lake victoria started on 11th October 2018 and completed on 11th June 2019 budgeted at UGX 313,494,000/= and contract sum of UGX 284,162,978/= variation of UGX 29,331,022/= thus 9.36%;

Construction of 1 dormitory for girls at Bumangi community polytechnic started on 11th October and completed on 11th June 2019 budgeted at UGX 430,359,000/= and contract sum of UGX 407,822,915/= variation of UGX 22,536,085/= thus 5.24%;

Construction of school kitchen with store at Bunyama primary started on 11th October 2018 and completed on 11th June 2019 budgeted at UGX 188,750,000/= and contract sum of UGX 180,921,518/= variation of UGX 7,828,482/= thus 4.15%;

Construction of school kitchen with store at Lwabaswa primary school started on 11th October 2018 and completed on 11th June 2019 budgeted at UGX 188,750,000/= and contract sum of UGX 150,717,315/= variation of UGX 38,032,685/= thus **20.15%**;

Construction of school kitchen with store at Jaana primary school started on 11th October 2018 and completed on 11th June 2019 budgeted at UGX 188,750,000/= and contract sum of UGX 176,615,145/= variation of UGX 12,134,855/= thus 6.43%; and

Construction of new staff house with latrine at Kachanga primary school started on 11th October 2018 and completed on 11th June 2019 budgeted at UGX 351,874,000/= and contract sum of UGX 319,334,131/= variation of UGX 32,539,869/= thus 9.25%.

Hence the district local government spent below the budget with 45.87%, 23.58%, 20.15% and 17.8% on investment projects. therefore -15% below the budget.

5	<p>The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG has budgeted and spent at least 80% of the O&M budget for infrastructure in the previous FY: score 2 	<p>Kalangala district local government did not budget for O&M, hence did not spend on the O&M.</p>	0
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Human Resource Management

6	<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has filled all HoDs positions substantively: score 3 	<p>The district had ten (10) HoD as per the approved organisation structure. Eight (8) were substantively appointed as per their appointment letters as follows:</p> <ul style="list-style-type: none"> • 1. CFO – CR/156/5 dated 20th December 2004 • 2. D/ Engineer – CR/156/6 dated 7th October 2005 • 3. DEO – CR/160/1 dated 27th October 2014 • 4. DCDO – CR/160/1 dated 15th July 2010 • 5. D/ Natural Resources Officer – CR/156/5 dated 31st March 2006 • 6. D/ Production Coordinator – CR/160/1 dated 20th April 2019 • 7. D/ Planner – CR/156/5 dated 31st January 2019 • 8. DHO – CR/160/1 dated 6th November 2007 <p>Two (2) were performing duties of HoD as follows;</p> <ul style="list-style-type: none"> • 1. D/CAO – Duties were performed by the Principal Assistant Secretary, appointment letter CR/160/1 dated 18th July 2016 • 2. D/ Commercial Officer – Duties were performed by the Principal Commercial Officer, appointment letter CR/156/5 dated 13th June 2017 	0
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6	<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that HoDs have been appraised as per guidelines issued by MoPS during the previous FY: score 2 	<p>The district had ten (10) HoD, They were appraised on the following dates as per their appraisal reports</p> <ul style="list-style-type: none"> • 1. D/CAO – 31st July 2019 • 2. CFO – 15th August 2019 • 3. D/ Engineer – 15th July 2019 • 4. DEO – 5th July 2019 • 5. DCDO – 15th July 2019 • 6. D/ Natural Resources Officer – 15th July 2019 • 7. D/ Production Coordinator – 15th July 2019 • 8. D/Planner – 15th July 2019 • 9. D/Commercial Officer – 4th July 2019 • 10. DHO – 15th July 2019 	2
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7	<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p>	<p>• Evidence that 100 % of staff submitted for recruitment have been considered: score 2</p>	<p>Sixty one (61) vacant positions were submitted to the DSC for recruitment of new employees. They were all considered as follows:</p> <p>Fifty seven (57) positions of various designations were submitted as per reference letter CR/212/6/2 dated 6th December 2018. They were considered during the DSC meetings held on 3rd, 4th, 5th, 10th and 11th April 2019, as per minute numbers form MIN.KLA DSC 07/APRIL/2019 to MIN. KLA DSC 33/APRIL/2019</p> <p>Four (4) positions of Education Assistants were submitted as per reference letter CR/212/6/2 dated 10th April 2019. The positions were filled by candidates from the "Order of Merit Paper" for those who had earlier responded to the vacancy advert in the Daily Monitor Newspaper of 25th January 2019 and were interviewed.</p>	2	
<p>Maximum 4 points on this Performance Measure.</p>	7	<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p>	<p>• Evidence that 100 % of positions submitted for confirmation have been considered: score 1</p>	<p>Thirty (30) names of employees were submitted to the DSC for confirmation of their appointments. They were all considered as follows:</p> <p>Twenty (20) names - 19 were submitted on 5th November 2018 and 1 was submitted on 7th December 2018. They were considered during the DSC meetings held on 20th and 21st December 2018 as per minute numbers from MIN.KLA DSC 89/2018 to MIN. KLA DSC 92/2018</p> <p>Ten (10) names – 9 were submitted on 26th and 1 on 27th March 2019. They were considered during the DSC meetings held on 3rd, 4th, 5th, 10th and 11th April 2019 as per minute numbers from MIN KLA DSC 28/APRIL to MIN.KLA DSC 31/APRIL</p>	1
<p>Maximum 4 points on this Performance Measure.</p>	7	<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p>	<p>• Evidence that 100 % of positions submitted for disciplinary actions have been considered: score 1</p>	<p>Twenty one (21) disciplinary action cases were submitted to the DSC during 2018/19 FY. They were considered as follows;</p> <p>One (1) case was submitted as per reference letter CR/214/6/2 dated 28th February 2019. It was considered on 1st March 2019 as per minute number MIN .02/MAR/2019</p> <p>Twenty (20) cases were submitted as per the reference letter CR/214/6/2 dated 5th November 2018. They were considered during the DSC meetings held on 20th and 21st December 2018, as per minute numbers from 57/December/2018 to 76/December/2018</p>	1
<p>Maximum 4 points on this Performance Measure.</p>					

8	<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: score 3 	<p>Forty five (45) vacant positions were cleared by the DSC for recruitment of new employees during its meetings held from 3rd to 5th and 10th and 11th April 2019 as per minute numbers from DSC 08/APR/2019 to DSC 24/APR/2019. They were appointed during the month of May 2019 and submitted their "Acceptance of Appointment" letters and / or received their Posting Instructions during the same month, as per the relevant documents sampled on their personal files.</p> <p>They accessed the pay roll during the month of June 2019, as per the district IPPS payroll of the month of June 2019</p>	3
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8	<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100% of the staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: score 2 	<p>Six (6) employees retired during FY 2018/19. There was no evidence that they accessed the payroll within two months of their retirement.</p>	0
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Revenue Mobilization

9	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • If increase in OSR (excluding one/off, e.g. sale of assets) from previous FY but one to previous FY is more than 10 %: score 4. • If the increase is from 5% - 10 %: score 2. • If the increase is less than 5 %: score 0. 	<p>The OSR revenue for 2017/18 was UGX 216,949,954 as shown in the Draft Financial statements prepared on 30th August 2019, and received by the Office of Auditor General of Masaka Branch on 30th August 2019.</p> <p>Page 12, Statement of Financial Performance, Page 16, Statement of Appropriation Account and Page 24, Note 2: Local Revenue and page 32, Statement of Revenues Collected during the year, of the Draft Final Accounts for FY 2018/19.</p> <p>The Local revenue collected in FY 2018/19 was UGX. 643,692,878. This was an increase of UGX 426,742,924 i.e. (643,692,878- 216,949,954). The revenue increased from the previous FY but one by 197%.</p> <p>The LG is compliant.</p>	4
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10	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realisation) is within +/- 10 %: then score 2. If more than +/- 10 %: Score 0. 	<p>From the Draft financial statements 2018/19, page 12, Statements of Financial Performance, page 16, Statement of Appropriation Account, and page 24 Note 2: Local Revenue, and page 32, Statement of Revenues Collected during the year, the Original Budget FY2018/19, Approved under Min. No.KDLG/DC/27/05/18, Vote 515 :(Page 1 & 2), the Local revenue was projected at UGX 922,596,000 and the Actual local revenue collection realised was UGX 643,692,878. This translates into a revenue collection ratio of 70%, and created a deficit of (UGX 922,565,000 – UGX 643,692,878=UGX 278,872,122) equivalent to an deficit of 30 % which is outside the allowable range of +/- 10% range.</p>	0
			<p>The LG is not compliant.</p>	
11	<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the District/Municipality has remitted the mandatory LLG share of local revenues: score 2 	<p>Sec 85 of LGA (2) "In rural areas, revenue shall be collected by the sub county councils, and a sub county council shall retain 65 percent, or any other higher percentage as the district council may approve, of the revenue collected by it and pass the remaining percentage over to the district".</p> <p>(4) "A district council may, with the concurrence of a sub county, collect revenue on behalf of the sub county council but shall remit 65 percent of the revenue so collected to the relevant sub county."</p> <p>In this regard to (4) above the DLG collected Local Service tax from District staff Payrolls and Private companies in the District amounting to UGX 45,109,220. The 65% to be remitted to Sub Counties was equivalent to (45,109,220 x 65%) =UGX. 29,320,993.</p> <p>There was no evidence provided at the time of assessment, that the LG had remitted these funds to the Town Councils and Sub Counties.</p>	0
			<p>LG was not compliant.</p>	

11	<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the total Council expenditures on allowances and emoluments- (including from all sources) is not higher than 20% of the OSR collected in the previous FY: score 2 	<p>From the Draft financial statements of 2018/19 on page 24 (Note 2): Local revenue, page 12, Statement of Financial Performance and page 32, Statement of Revenues Collected during the year for 2017/18 was UGX 216,949,954 (20% of this is UGX 43,389,990).</p> <p>The Actual Expenditure on Statutory bodies, page 12, Statement of Financial Performance and page 16, Statement of Appropriation Account, of the Draft financial statements, and Trial Balance, UGX 398,452,281 was spent in total. This figure includes the GOU Grants to this sector.</p> <p>The amount spent from Local revenue Funding Source: Local Revenue 03, Vote Cost Centre: 030100, 030600 & 030700 and Expenses Account: Allowances 211103: amounts to UGX.27,088,790.</p> <p>Therefore $(27,088,790/216,949,954) = 12.5\%$ is within the allowable limit of 20%.</p> <p>The LG is within 20% and hence compliant.</p>	2
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Procurement and contract management

12	<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the District has the position of a Senior Procurement Officer and Procurement Officer (if Municipal: Procurement Officer and Assistant Procurement Officer) substantively filled: score 2 	<p>- There WAS Evidence that Kalangala DLG had the position of a Senior Procurement Officer substantively filled under DSC Minute: 34/2007 as per Correspondence Referenced CR/156/5 and Dated 06/December/2007. Mr. Oundo Samson Musumba was the name of the Senior Procurement Officer.</p> <p>- There WAS Evidence that Kalangala DLG had the position of Procurement Officer substantively filled under DSC Minute: DSC/10/Jan/2009 as per Correspondence Referenced CR/156/5 and Dated 21/January/2009. Mr. Mpola Michael Agaba was the name of the Procurement Officer.</p>	2
12	<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the TEC produced and submitted reports to the Contracts Committee for the previous FY: score 1 	<p>There WAS Evidence that TEC Produced and Submitted Reports to the Contracts Committee for the previous FY (2018/2019 FY) as exemplified by the following projects:</p> <p>- Construction of Teachers House with Kitchen and 5 Stance VIP Latrine at Sserwanga Lwanga Senior Secondary School in Mugoye Sub-county and Construction of School Kitchen with Store at Bumangi P/S in Mugoye Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00007 Lot 1). The Evaluation Report for Works (Technical Compliance Selection) Designed by PPDA (LGPP Form 12) for the procurement that was found in the PDU was endorsed by Members of Technical Evaluation Committee on 24/October/2018. The TEC Members who signed on the Evaluation Report were: District Inspector of Schools, Senior Water Engineer, Superintendent of Works, Assistant Procurement Officer; Procurement Officer.</p> <p>- Construction of School Kitchen with Store at Sserinya P/S in Bufumira Sub-county and Construction of 5 Stance VIP Pit Latrine with Washroom at Bukasa Senior Secondary School in</p>	1

Kyamuswa Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00008 Lot 2). The Evaluation Report for Works (Technical Compliance Selection) Designed by PPDA (LGPP Form 12) for the procurement that was found in the PDU was endorsed by Members of Technical Evaluation Committee on 24/October/2018. The TEC Members who signed on the Evaluation Report were: District Inspector of Schools, Senior Water Engineer, Superintendent of Works, Assistant Procurement Officer; Procurement Officer.

- Construction of 5 Stance Pit Latrine with Washroom at Mazinga P/S in Mazinga Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00005 Lot 5). The Evaluation Report for Works (Technical Compliance Selection) Designed by PPDA (LGPP Form 12) for the procurement that was found in the PDU was endorsed by Members of Technical Evaluation Committee on 30/July/2018. The TEC Members who signed on the Evaluation Report were: District Engineer, District Sports Officer, Superintendent of Works; Assistant Procurement Officer.

- Construction of Teachers House with Kitchen and VIP Pit Latrine at Kachanga P/S in Bufumira Sub county and Construction of School Kitchen with Store at Jaana P/S in Bubeke Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00004 Lot 4). The Evaluation Report for Works (Technical Compliance Selection) Designed by PPDA (LGPP Form 12) for the procurement that was found in the PDU was endorsed by Members of Technical Evaluation Committee on 30/July/2018. The TEC Members who signed on the Evaluation Report were: District Engineer, District Sports Officer; Assistant Procurement Officer.

- Construction of School Kitchen with Store at Lwabaswa P/S in Bujumba Sub-county and Construction of School Kitchen with Store at Bunyama P/S in Bujumba Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00003 Lot 3). The Evaluation Report for Works (Technical Compliance Selection) Designed by PPDA (LGPP Form 12) for the procurement that was found in the PDU was endorsed by Members of Technical Evaluation Committee on 30/July/2018. The TEC Members who signed on the Evaluation Report were: District Engineer, District Sports Officer; Assistant Procurement Officer.

- Construction of Teachers House with Kitchen and Pit Latrine at Lake Victoria P/S in Mugoye Sub county; Construction of School Dormitory at Bumangi Community Polytechnic School in Mugoye Sub-county; and Construction of School Kitchen with Store at Bridge Hope P/S in Kalangala Town Council under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00001 Lot 2). The Evaluation Report for Works (Technical Compliance Selection) Designed by PPDA (LGPP Form 12) for the procurement that was found in the PDU was endorsed by Members of Technical Evaluation Committee on 31/July/2018. The TEC Members who signed on the Evaluation Report were: District Engineer, Superintendent of Works; Finance Officer.

The LG has in place the capacity to manage the procurement function

Maximum 4 points on this performance measure.

• Evidence that the Contracts Committee considered recommendations of the TEC and provide justifications for any deviations from those recommendations: score 1

There WAS Evidence that Kalangala District Contracts Committee considered recommendations of the TEC and provided justifications for any deviations from those recommendations as exemplified by the following projects:

- Construction of Teachers House with Kitchen and 5 Stance VIP Latrine at Sserwanga Lwanga Senior Secondary School in Mugoye Sub-county and Construction of School Kitchen with Store at Bumangi P/S in Mugoye Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00007 Lot 1). DCC considered and endorsed recommendations of TEC during a Meeting held on 06/November/2018, Meeting Referenced KALA/515/CC/2018-2019/00009 attended by Principal Human Resources Officer, Senior Community Development Officer - Kalangala DLG, District Commercial Officer, Community Development Officer - Kalangala Town Council, Procurement Officer. DCC Minute: Min 4.

- Construction of School Kitchen with Store at Sserinya P/S in Bufumira Sub-county and Construction of 5 Stance VIP Pit Latrine with Washroom at Bukasa Senior Secondary School in Kyamuswa Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00008 Lot 2). DCC considered and endorsed recommendations of TEC during a Meeting held on 06/November/2018, Meeting Referenced KALA/515/CC/2018-2019/00009 attended by Principal Human Resources Officer, Senior Community Development Officer - Kalangala DLG, District Commercial Officer, Community Development Officer - Kalangala Town Council, Procurement Officer. DCC Minute: Min 5.

- Construction of 5 Stance Pit Latrine with Washroom at Mazinga P/S in Mazinga Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00005 Lot 5). DCC considered and endorsed recommendations of TEC during a Meeting held on 02/August/2018, Meeting Referenced KALA/515/CC/2018-2019/00003 attended by Principal Human Resources Officer, Senior Community Development Officer - Kalangala DLG, District Commercial Officer, Community Development Officer - Kalangala Town Council, Senior Procurement Officer. DCC Minute: Min 8.

- Construction of Teachers House with Kitchen and VIP Pit Latrine at Kachanga P/S in Bufumira Sub county and Construction of School Kitchen with Store at Jaana P/S in Bubeke Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00004 Lot 4). DCC considered and endorsed recommendations of TEC during a Meeting held on 02/August/2018, Meeting Referenced KALA/515/CC/2018-2019/00003 attended by Principal Human Resources Officer, Senior Community Development Officer - Kalangala DLG, District Commercial Officer, Community Development Officer - Kalangala Town Council, Senior Procurement Officer. DCC Minute: Min 7.

- Construction of School Kitchen with Store at Lwabaswa P/S in Bujumba Sub-county and Construction of School Kitchen with Store at Bunyama P/S in Bujumba Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00003 Lot 3). DCC

considered and endorsed recommendations of TEC during a Meeting held on 02/August/2018, Meeting Referenced KALA/515/CC/2018-2019/00003 attended by Principal Human Resources Officer, Senior Community Development Officer - Kalangala DLG, District Commercial Officer, Community Development Officer - Kalangala Town Council, Senior Procurement Officer. DCC Minute: Min 6.

- Construction of Teachers House with Kitchen and Pit Latrine at Lake Victoria P/S in Mugoye Sub county; Construction of School Dormitory at Bumangi Community Polytechnic School in Mugoye Sub-county; and Construction of School Kitchen with Store at Bridge Hope P/S in Kalangala Town Council under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00001 Lot 2). DCC considered and endorsed recommendations of TEC during a Meeting held on 02/August/2018, Meeting Referenced KALA/515/CC/2018-2019/00003 attended by Principal Human Resources Officer, Senior Community Development Officer - Kalangala DLG, District Commercial Officer, Community Development Officer - Kalangala Town Council, Senior Procurement Officer. DCC Minute: Min 5.

- Construction of Storied Four Classroom Block at Kibanga P/S in Kalangala Town Council under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2019-2020/00001). DCC considered and endorsed recommendations of TEC during a Meeting held on 09/September/2019, Meeting Referenced KALA/515/CC/2019-2020/00006 attended by Principal Human Resources Officer, Senior Community Development Officer - Kalangala DLG, District Commercial Officer, Community Development Officer - Kalangala Town Council, Senior Procurement Officer. DCC Minute: Min 4.

- Construction of School Dormitory Block at Kachanga P/S in Bufumira Sub county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2019-2020/00004). DCC considered and endorsed recommendations of TEC during a Meeting held on 09/September/2019, Meeting Referenced KALA/515/CC/2019-2020/00006 attended by Principal Human Resources Officer, Senior Community Development Officer - Kalangala DLG, District Commercial Officer, Community Development Officer - Kalangala Town Council, Senior Procurement Officer. DCC Minute: Min 7.

- Renovation of Teachers Houses at Kasekulo P/S in Mugoye Sub county and Kibaale P/S in Mugoye Sub county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2019-2020/00002). DCC considered and endorsed recommendations of TEC during a Meeting held on 09/September/2019, Meeting Referenced KALA/515/CC/2019-2020/00006 attended by Principal Human Resources Officer, Senior Community Development Officer - Kalangala DLG, District Commercial Officer, Community Development Officer - Kalangala Town Council, Senior Procurement Officer. DCC Minute: Min 5.

- Renovation of 2 Blocks of Staff Houses each with Kitchen and Latrine at Buwazi P/S in Kyamuswa Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2019-2020/00008). DCC considered and endorsed recommendations of TEC during a

Meeting held on 09/September/2019, Meeting Referenced KALA/515/CC/2019-2020/00006 attended by Principal Human Resources Officer, Senior Community Development Officer - Kalangala DLG, District Commercial Officer, Community Development Officer - Kalangala Town Council, Senior Procurement Officer. DCC Minute: Min 9.

13

The LG has a comprehensive Procurement and Disposal Plan covering infrastructure activities in the approved AWP and is followed.

Maximum 2 points on this performance measure.

• a) Evidence that the procurement and Disposal Plan for the current year covers all infrastructure projects in the approved annual work plan and budget and b) evidence that the LG has made procurements in previous FY as per plan (adherence to the procurement plan) for the previous FY: score 2

(a) There WAS Evidence that the procurement and Disposal Plan for the current year (2019/2020 FY) covered all infrastructure projects in the approved annual work plan and budget as exemplified by the following procurements:

- Construction of Storied Four Classroom Block at Kibanga P/S in Kalangala Town Council under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2019-2020/00001). (Page 26 of LG Approved Budget Estimates for FY 2019/2020, Vote 515: Kalangala District, Output 078180 Classroom Construction and Rehabilitation, 312101 Non Residential Buildings AND was also indicated in S/N 7 in Kalangala DLG Aggregated Procurement Plan for FY 2019/2020, Submission Letter Dated 24/July/2019 and Referenced CR/164/1, Signed by Acting Chief Administrative Officer (Robby Odyen-Ocen) on 24/July/2019, Signed by Kalangala DLG LCV Chairperson (Lugolobi B. Willy) on 24/July/2019, Received by Ministry of Local Government Registry on 02/August/2019, Received by Ministry of Finance, Planning and Economic Development Registry on 02/August/2019, Received by PDPAA Home Office on 02/August/2019).

- Construction of School Dormitory Block at Kachanga P/S in Bufumira Sub county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2019-2020/00004). (Page 26 of LG Approved Budget Estimates for FY 2019/2020, Vote 515: Kalangala District, Output 078175 Non Standard Service Delivery Capital, 312104 Other Structures AND was also indicated in S/N 7 in Kalangala DLG Aggregated Procurement Plan for FY 2019/2020, Submission Letter Dated 24/July/2019 and Referenced CR/164/1, Signed by Acting Chief Administrative Officer (Robby Odyen-Ocen) on 24/July/2019, Signed by Kalangala DLG LCV Chairperson (Lugolobi B. Willy) on 24/July/2019, Received by Ministry of Local Government Registry on 02/August/2019, Received by Ministry of Finance, Planning and Economic Development Registry on 02/August/2019, Received by PDPAA Home Office on 02/August/2019).

- Renovation of Teachers Houses at Kasekulo P/S in Mugoye Sub county and Kibaale P/S in Mugoye Sub county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2019-2020/00002). (Pages 26 - 27 of LG Approved Budget Estimates for FY 2019/2020, Vote 515: Kalangala District, Output 078182 Teachers House Construction and Rehabilitation, 312102 Residential Buildings AND was also indicated in S/N 7 in Kalangala DLG Aggregated Procurement Plan for FY 2019/2020, Submission Letter Dated 24/July/2019 and Referenced CR/164/1, Signed by Acting Chief Administrative Officer (Robby Odyen-Ocen) on 24/July/2019, Signed by Kalangala DLG LCV Chairperson (Lugolobi B. Willy) on 24/July/2019, Received by Ministry of Local Government Registry on 02/August/2019, Received by Ministry of Finance, Planning and Economic

2

Development Registry on 02/August/2019, Received by PPDPA Home Office on 02/August/2019).

- Renovation of 2 Blocks of Staff Houses each with Kitchen and Latrine at Buwazi P/S in Kyamuswa Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2019-2020/00008). (Pages 26 - 27 of LG Approved Budget Estimates for FY 2019/2020, Vote 515: Kalangala District, Output 078182 Teachers House Construction and Rehabilitation, 312102 Residential Buildings AND was also indicated in S/N 7 in Kalangala DLG Aggregated Procurement Plan for FY 2019/2020, Submission Letter Dated 24/July/2019 and Referenced CR/164/1, Signed by Acting Chief Administrative Officer (Robby Odyen-Ocen) on 24/July/2019, Signed by Kalangala DLG LCV Chairperson (Lugolobi B. Willy) on 24/July/2019, Received by Ministry of Local Government Registry on 02/August/2019, Received by Ministry of Finance, Planning and Economic Development Registry on 02/August/2019, Received by PPDPA Home Office on 02/August/2019).

- Completion of Construction of Buyange Solar Powered Piped Water Supply System in Bubeke Sub-county under DWSCG (KALA/515/WRKS/2019-2020/00018). (Page 36 of LG Approved Budget Estimates for FY 2019/2020, Vote 515: Kalangala District, Output 098184 Construction of Piped Water Supply System, 312104 Other Structures AND was also indicated as S/N 58 in Kalangala DLG Aggregated Procurement Plan for FY 2019/2020, Submission Letter Dated 24/July/2019 and Referenced CR/164/1, Signed by Acting Chief Administrative Officer (Robby Odyen-Ocen) on 24/July/2019, Signed by Kalangala DLG LCV Chairperson (Lugolobi B. Willy) on 24/July/2019, Received by Ministry of Local Government Registry on 02/August/2019, Received by Ministry of Finance, Planning and Economic Development Registry on 02/August/2019, Received by PPDPA Home Office on 02/August/2019).

(b) There WAS Evidence that the LG made procurements in previous FY (2018/2019 FY) as per plan (adherence to the procurement plan) for the previous FY (2018/2019 FY) as exemplified by the following procurements:

- Construction of Teachers House with Kitchen and 5 Stance VIP Latrine at Sserwanga Lwanga Senior Secondary School in Mugoye Sub-county and Construction of School Kitchen with Store at Bumangi P/S in Mugoye Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00007 Lot 1). (LG Approved Budget Estimates for FY 2018/2019, Vote 515: Kalangala District, Pages 29 - 30: Output 078183 Provision of Furniture to Primary Schools, 312101 Non Residential Buildings (School Kitchen with Store); Pages 31 - 32: Output 078275 Non Standard Service Delivery Capital, 312101 Non Residential Buildings (5 Stance VIP Latrine); Page 32: Output 078282 Teachers House Construction, 312102 Residential Buildings (Teachers House with Kitchen) AND was also indicated in S/N 2 in Kalangala DLG Procurement Plan for FY 2018/2019).

- Construction of School Kitchen with Store at Sserinya P/S in Bufumira Sub-county and Construction of 5 Stance VIP Pit Latrine with Washroom at Bukasa Senior Secondary School in Kyamuswa Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP)

(KALA/515/WRKS/2018-2019/00008 Lot 2). (LG Approved Budget Estimates for FY 2018/2019, Vote 515: Kalangala District, Pages 29 - 30: Output 078183 Provision of Furniture to Primary Schools, 312101 Non Residential Buildings (School Kitchen with Store); Pages 31 - 32: Output 078275 Non Standard Service Delivery Capital, 312101 Non Residential Buildings (5 Stance VIP Latrine with Washroom) AND was also indicated in S/N 2 in Kalangala DLG Procurement Plan for FY 2018/2019).

- Construction of 5 Stance Pit Latrine with Washroom at Mazinga P/S in Mazinga Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00005 Lot 5). (LG Approved Budget Estimates for FY 2018/2019, Vote 515: Kalangala District, Page 29: Output 078181 Latrine Construction and Rehabilitation, 312101 Non Residential Buildings AND was also indicated in S/N 2 in Kalangala DLG Procurement Plan for FY 2018/2019).

- Construction of Teachers House with Kitchen and VIP Pit Latrine at Kachanga P/S in Bufumira Sub county and Construction of School Kitchen with Store at Jaana P/S in Bubeke Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00004 Lot 4). (LG Approved Budget Estimates for FY 2018/2019, Vote 515: Kalangala District, Page 29: Output 078182 Teachers House Construction and Rehabilitation, 312102 Residential Buildings (Teachers House with Kitchen); Pages 29 - 30: Output 078183 Provision of Furniture to Primary Schools, 312101 Non Residential Buildings (School Kitchen with Store) AND was also indicated in S/N 2 in Kalangala DLG Procurement Plan for FY 2018/2019).

- Construction of School Kitchen with Store at Lwabaswa P/S in Bujumba Sub-county and Construction of School Kitchen with Store at Bunyama P/S in Bujumba Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00003 Lot 3). Pages 29 - 30: Output 078183 Provision of Furniture to Primary Schools, 312101 Non Residential Buildings (School Kitchen with Store) AND was also indicated in S/N 2 in Kalangala DLG Procurement Plan for FY 2018/2019).

- Construction of Teachers House with Kitchen and Pit Latrine at Lake Victoria P/S in Mugoye Sub county; Construction of School Dormitory at Bumangi Community Polytechnic School in Mugoye Sub-county; and Construction of School Kitchen with Store at Bridge Hope P/S in Kalangala Town Council under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00001 Lot 2). (LG Approved Budget Estimates for FY 2018/2019, Vote 515: Kalangala District, Page 29: Output 078182 Teachers House Construction and Rehabilitation, 312102 Residential Buildings (Teachers House with Kitchen and Pit Latrine); Pages 29 - 30: Output 078183 Provision of Furniture to Primary Schools, 312101 Non Residential Buildings (School Kitchen with Store); Page 33: Output 078375 Non Standard Service Delivery Capital, 312102 Residential Buildings (School Dormitory) AND was also indicated in S/N 2 in Kalangala DLG Procurement Plan for FY 2018/2019).

The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.

Maximum 6 points on this performance measure.

• For current FY, evidence that the LG has prepared 80% of the bid documents for all investment/infrastructure by August 30: score 2

For current FY (2019/2020), there WAS Evidence that the LG prepared 80% of the bid documents for all investment/infrastructure by August 30. A calculation was made based on the respective Dates of Bid Notices of Individual Infrastructure Projects and results indicated that 80% of Bid Notices of Individual Infrastructure Projects for 2019/2020 FY were issued/published BEFORE August 30, 2019. The Calculation was based on the following Projects and the respective Dates on which the Projects Bid Notices were issued/published by Kalangala DLG;

- Construction of Storied Four Classroom Block at Kibanga P/S in Kalangala Town Council under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2019-2020/00001). Date of Issue/Publication of Bid Notice by Kalangala DLG: 23/July/2019, Daily Monitor Newspaper, Page 36.

- Construction of School Dormitory Block at Kachanga P/S in Bufumira Sub county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2019-2020/00004). Date of Issue/Publication of Bid Notice by Kalangala DLG: 23/July/2019, Daily Monitor Newspaper, Page 36.

- Renovation of Teachers Houses at Kasekulo P/S in Mugoye Sub county and Kibaale P/S in Mugoye Sub county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2019-2020/00002). Date of Issue/Publication of Bid Notice by Kalangala DLG: 23/July/2019, Daily Monitor Newspaper, Page 36.

- Renovation of 2 Blocks of Staff Houses each with Kitchen and Latrine at Buwazi P/S in Kyamuswa Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2019-2020/00008). Date of Issue/Publication of Bid Notice by Kalangala DLG: 23/July/2019, Daily Monitor Newspaper, Page 36.

- Completion of Construction of Buyange Solar Powered Piped Water Supply System in Bubeke Sub-county under DWSCG (KALA/515/WRKS/2019-2020/00018). Date of Approval of Bid Document by Kalangala DLG Contracts Committee: 25/October/2019, Meeting Reference KALA/515/CC/2019-2020/00007, Minute 5.

14	<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For Previous FY, evidence that the LG has an updated contract register and has complete procurement activity files for all procurements: score 2 	<p>For previous FY (2018/2019 FY), there WAS Evidence that the LG had an Updated Contracts Register and had Completed Procurement Activity Files for all procurements as exemplified by the following:</p> <ul style="list-style-type: none"> - An Updated Contracts Register was found at Kalangala DLG PDU with a Starting/Opening Procurement Entry Titled 'Construction of Teachers House, Kitchen and Pit Latrine at Bishop Dunstan Secondary School; Construction of Teachers House, Kitchen and Pit Latrine at Mulabana; Construction of 5 Stance VIP Latrine with Washroom at Kinyamira Primary School' Referenced 'KALA/515/WRKS/2018-2019/00001 Lot 1'. Contractor: Bekabye General Enterprises Limited P.O. Box 377 Masaka Uganda. Contract Amount: 653,623,488 UGX. Contract Award Date: 24/October/2018. Source of Funds: KIEP. Completion Period: 8 Months. Contract Managers: District Engineer, Senior Education Officer AND an Ending/Closing Procurement Entry Titled 'Construction of a Protected Spring in Bujumba Sub county' Referenced 'KALA/515/WRKS/2018-2019/00031'. Contractor: Advanta Uganda Limited. Contract Amount: 3,500,000 UGX. Contract Award Date: 17/January/2019. Source of Funds: LGMSD. Completion Period: 2 Months. Contract Manager: Assistant Water Engineer. - Referenced and Completed Procurement Activity Files for all procurements were found at the PDU. 	2
14	<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For previous FY, evidence that the LG has adhered with procurement thresholds (sample 5 projects): score 2. 	<p>For previous FY (2018/2019 FY), there WAS Evidence that the LG adhered to Procurement Thresholds as exemplified by the following procurements:</p> <ul style="list-style-type: none"> - Construction of Teachers House with Kitchen and 5 Stance VIP Latrine at Sserwanga Lwanga Senior Secondary School in Mugoye Sub-county and Construction of School Kitchen with Store at Bumangi P/S in Mugoye Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00007 Lot 1). Contract Amount: 579, 754, 569 UGX. Verified Procurement Method: Open Domestic Bidding. Date of Advertisement: 25/September/2018, Daily Monitor Newspaper, Page 34. - Construction of School Kitchen with Store at Sserinya P/S in Bufumira Sub-county and Construction of 5 Stance VIP Pit Latrine with Washroom at Bukasa Senior Secondary School in Kyamuswa Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00008 Lot 2). Contract Amount: 251, 326, 805 UGX. Verified Procurement Method: Open Domestic Bidding. Date of Advertisement: 25/September/2018, Daily Monitor Newspaper, Page 34. - Construction of 5 Stance Pit Latrine with Washroom at Mazinga P/S in Mazinga Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00005 Lot 5). Contract Amount: 79, 992, 632 UGX. Verified Procurement Method: Open Domestic Bidding. Date of Advertisement: 19/June/2018, Daily Monitor Newspaper, Page 64. 	2

- Construction of Teachers House with Kitchen and VIP Pit Latrine at Kachanga P/S in Bufumira Sub county and Construction of School Kitchen with Store at Jaana P/S in Bubeke Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00004 Lot 4). Contract Amount: 494, 898, 751 UGX. Verified Procurement Method: Open Domestic Bidding. Date of Advertisement: 19/June/2018, Daily Monitor Newspaper, Page 64.

- Construction of School Kitchen with Store at Lwabaswa P/S in Bujumba Sub-county and Construction of School Kitchen with Store at Bunyama P/S in Bujumba Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00003 Lot 3). Contract Amount: 331, 638, 833 UGX. Verified Procurement Method: Open Domestic Bidding. Date of Advertisement: 19/June/2018, Daily Monitor Newspaper, Page 64.

- Construction of Teachers House with Kitchen and Pit Latrine at Lake Victoria P/S in Mugoye Sub county; Construction of School Dormitory at Bumangi Community Polytechnic School in Mugoye Sub-county; and Construction of School Kitchen with Store at Bridge Hope P/S in Kalangala Town Council under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00001 Lot 2). Contract Amount: 847, 131, 642 UGX. Verified Procurement Method: Open Domestic Bidding. Date of Advertisement: 19/June/2018, Daily Monitor Newspaper, Page 64.

15

The LG has certified and provided detailed project information on all investments

Maximum 4 points on this performance measure

• Evidence that all works projects implemented in the previous FY were appropriately certified – interim and completion certificates for all projects based on technical supervision: score 2

There WAS Evidence that all works projects implemented in the previous FY (2018/2019 FY) were appropriately certified – interim and completion certificates for all projects based on technical supervision as exemplified by the following:

- Construction of Teachers House with Kitchen and 5 Stance VIP Latrine at Sserwanga Lwanga Senior Secondary School in Mugoye Sub-county and Construction of School Kitchen with Store at Bumangi P/S in Mugoye Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00007 Lot 1). The project procurement file had Payment Certificate No. 01 Dated 11/February/2019; Measured, Signed and Stamped by District Engineer; Approved and Signed by Accounting Officer (Robby Odyeny-Ocen). Amount Paid: 102,488,839 UGX.

- Construction of Teachers House with Kitchen and 5 Stance VIP Latrine at Sserwanga Lwanga Senior Secondary School in Mugoye Sub-county and Construction of School Kitchen with Store at Bumangi P/S in Mugoye Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00007 Lot 1). The project procurement file had Payment Certificate No. 03 Dated 17/April/2019; Measured, Signed and Stamped by District Engineer; Approved and Signed by Accounting Officer (Robby Odyeny-Ocen). Amount Paid: 101,689,623 UGX.

- Construction of 5 Stance Pit Latrine with Washroom at Mazinga P/S in Mazinga Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00005 Lot 5). The project

2

procurement file had Payment Certificate No. 01 Dated 11/February/2019; Measured, Signed and Stamped by District Engineer; Approved and Signed by Accounting Officer (Robby Odyeny-Ocen). Amount Paid: 49,537,072 UGX.

- Construction of Teachers House with Kitchen and VIP Pit Latrine at Kachanga P/S in Bufumira Sub county and Construction of School Kitchen with Store at Jaana P/S in Bubeke Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00004 Lot 4). The project procurement file had Payment Certificate No. 03 Dated 10/June/2019; Measured, Signed and Stamped by District Engineer; Approved and Signed by Accounting Officer (Robby Odyeny-Ocen). Amount Paid: 78,608,205 UGX.

- Construction of School Kitchen with Store at Lwabaswa P/S in Bujumba Sub-county and Construction of School Kitchen with Store at Bunyama P/S in Bujumba Sub-county under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00003 Lot 3). The project procurement file had Payment Certificate No. 03 Dated 19/September/2019; Measured, Signed and Stamped by District Engineer; Approved and Signed by Accounting Officer (Robby Odyeny-Ocen). Amount Paid: 60,091,380 UGX.

- Construction of Teachers House with Kitchen and Pit Latrine at Lake Victoria P/S in Mugoye Sub county; Construction of School Dormitory at Bumangi Community Polytechnic School in Mugoye Sub-county; and Construction of School Kitchen with Store at Bridge Hope P/S in Kalangala Town Council under Kalangala - ICEIDA Development Partnership to Education Sector (2016 - 2019) (KIEP) (KALA/515/WRKS/2018-2019/00001 Lot 2). The project procurement file had Payment Certificate No. 03 Dated 19/September/2019; Measured, Signed and Stamped by District Engineer; Approved and Signed by Accounting Officer (Robby Odyeny-Ocen). Amount Paid: 133,611,318 UGX.

15

The LG has certified and provided detailed project information on all investments

Maximum 4 points on this performance measure

• Evidence that all works projects for the current FY are clearly labelled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration:
score 2

There was NO Evidence that all works projects for the current FY (2019/2020 FY) were clearly labeled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration as per observations made during field visits to construction sites for works projects for the current FY (2019/2020 FY). Additionally, all works projects that were implemented in the previous FY (2018/2019 FY) were completed within the previous financial year and did not qualify for assessment as per Addendum to/clarification of issues in the Local Government Performance Assessment Manual, 2018 (update of 2018 issues to 2019 LGPA).

0

Financial management

The LG makes monthly and up to-date bank reconciliations

Maximum 4 points on this performance measure.

• Evidence that the LG makes monthly bank reconciliations and are up to-date at the time of the assessment: score 4

The DLG provided evidence that they had prepared Bank reconciliations for period ending June 2019 for all its Seven Bank Accounts, (as per Draft Accounts submitted for FY 2018/19). However, there was no evidence for bank reconciliation for the periods July to September 2019.

1. Kalangala Global fund-STANBIC.
2. Kalangala DL Revenue – BOU.
3. Kalangala D Treasury- BOU.
4. Kalangala Health- STANBIC.
5. Kalangala VODP Account – STANBIC.
6. Kalangala UWEP Recover. - STANBIC.
7. Kalangala YLP OPER. - STANBIC.

The LG is in violation of Regulation LGFAR 73, by not carrying out monthly bank reconciliations.

The LG is not Compliant.

The LG made timely payment of suppliers during the previous FY

Maximum 2 points on this performance measure

• If the LG makes timely payment of suppliers during the previous FY – no overdue bills (e.g. procurement bills) of over 2 months: score 2.

From the sample of payments made during the financial year 2018/19, Education department expenses worth UGX 348,236,772.

Mmarks Investments Ltd: Construction of Seed Secondary school at Kachanga – Bufumira SC, Completion Certificate, dated 13/6/2019, DEO signed on 13/6/2019, CAO on 13/6/2019, CFO on 13/6/2019 and paid on 13/6/2019 vide EFT No.23726957. UGX 312,551,284.

Andikas Contractors Ltd: Renovation of Classroom at Kaganda Primary School, Certificate No.2 dated 11/12/2018, DEO signed on 12/12/2019, CAO on 12/12/2019, CFO on 19/12/2019 and paid on 19/12/2019 vide EFT No.21166872. UGX 26,669,586.

Alert Fire Consultants Ltd: Construction of toilet at Bugoma Primary School, Certificate No. 2, dated 12/9/2018. DEO signed on 18/9/2019, CAO on 12/9/2019, CFO on 31/10/2019 and paid on 1/11/2019 vide EFT No.2021326. UGX 5,251,122.

Proline Contractors Ltd: Construction of 5 stance VIP Pit lined Latrine at Jaana Primary School, Certificate No 1, dated 20/6/2018, DEO signed on 20/6/2019, CAO on 20/6/2019, CFO on 25/6/2019 and paid on 25/6/2019 vide EFT No.20317711. UGX 3,764,780.

Health Department worth UGX 33,482,750.

Mpindi Construction Company Limited: Shifting, placing and interior adjustment of container at Kalangala HCIV. Interim Certificate, dated 20/9/2018, DHO signed on 24/9/2018, and CAO on 25/9/2018, and CFO on 31/10/2018 and paid on 1/11/2018 vide EFT No.20213104. UGX 12,680,600.

Hadoma Multi investments Ltd: Renovation works completed of staff House at Mulabana Health Centre II. Certificate No.1, dated 19/12/2018, DHO signed on 16/1/2019, CAO on 17/1/2019, CFO on 17/1/2019 and paid on 14/2/2019 vide EFT No.21757672. UGX 20,802,150.

Water and Sanitation Department worth UGX 53,801,610.

Semawundo Solar Piped Water: Payments for the solar piped water supply system at Semawundo in Bufumbira SC, Certificate no. 1, dated 24/9/2018, DWO signed on 24/9/2019, CAO on 31/10/2019, and CFO on 21/11/2019 and paid on 22/11/2019 vide EFT No.20420207. UGX 25,077,610.

Yiiga Francis: Construction of solar powered water supply at Buyange landing site. Force on Account..DWO signed on 23/10/2018, CAO on 25/10/2018, and CFO on 31/10/2019 and paid on 1/11/2019 vide EFT No. 20213228. UGX 28,730,000.

These payments were made within one month of requisitions being raised and thus timely.

The LG was compliant in this area.

18

The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations

Maximum 6 points on this performance measure.

- Evidence that the LG has a substantive Senior Internal Auditor: 1 point.
- LG has produced all quarterly internal audit reports for the previous FY: score 2.

The DLG has a Senior Internal Auditor, In the names of Mr Mutyaba Paul Katende, who was appointed on 23/5/2019 by a letter Ref: CR/156/6 under DSC minute KLA/DSC/22/MAY/2018.

The LG is Compliant.

1

18

The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations

Maximum 6 points on this performance measure.

- LG has produced all quarterly internal audit reports for the previous FY: score 2.

The LG produced all Quarterly reports as follows :

Quarter 1 : on 29/10/2018,
Quarter 2 : on 30/01/2019,
Quarter 3 : on 29/04/2019,
and Quarter 4 : on 26/07/2019.

The LG was Compliant.

2

18	<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<p>Evidence that the LG has provided information to the Council and LG PAC on the status of implementation of internal audit findings for the previous financial year i.e. follow up on audit queries from all quarterly audit reports: score 2.</p>	<p>There was evidence that LG had provided information to council and LGPAC on the status of implementation of internal audit findings of the previous FY.</p> <p>As per LGFAR 9(f) & (k).</p> <p>There was evidence that LGPAC had met to discuss all LG Quarterly Audit reports for FY 2018/19:</p> <p>Quarter 1 : PAC Sitting date : 28/12/2018, Quarter 2 : PAC sitting date : 24/06/2019, Quarter 3 : PAC sitting date : 25/06/2019 and Quarter 4 : PAC sitting date : 30/09/2019.</p> <p>The LG PAC had met and produced reports on each of the four Quarters of the Internal Auditors reports.</p> <p>Quarter 1 : PAC Report date : 28/12/2018, Quarter 2 : PAC Report date : 26/06/2019, Quarter 3 : PAC Report date : 26/06/2019 and Quarter 4 : PAC Report date : 30/9/2019.</p> <p>This is as per LGA, Section 88 (7) & (8) and LGFAR Regulation 16 (1) & (2).</p> <p>The LG was Compliant.</p>	2
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18	<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and LG PAC has reviewed them and followed-up: score 1. 	<p>There was evidence that the reports were submitted to and received by the LG Accounting Officer and LGPAC on the following dates:</p> <p>Quarter 1 : on, 31/10/2018, Quarter 2 : on, 31/01/2019, Quarter 3 : on, 30/04/2019 and Quarter 4 : on, 31/07/2019.</p> <p>There was also evidence, that LGPAC had reviewed and followed –up, all the four Quarters for FY 2018/19, meetings took place, minutes were provided and reports produced and availed on the following dates:</p> <p>Quarter 1 : PAC Report date : 28/12/2018, Quarter 2 : PAC Report date : 24/06/2019, Quarter 3 : PAC Report date : 26/06/2019 and Quarter 4 : PAC Report date : 30/9/2019.</p> <p>The LG is Compliant.</p>	1
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19	<p>The LG maintains a detailed and updated assets register Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG maintains an up- dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual: score 4 	<p>The DLG does not maintain a detailed and updated Asset register as per format in the Accounting Manual and as per LGFAR Regulations 85 – 88.</p> <p>All the Assets acquired during the FY2018/19 were not posted and updated in the Register. All additions during the year, from the Draft financial statements (Page 25 Note 8: Consumption of Property, Plant and Equipment (Fixed Assets) and page 39 of : Summary statement of stores and other assets (physical assets) as at end of the year-30th June 2019) detailed below were all excluded :</p> <p>Residential Buildings: UGX 819,711,829, Non – Residential Buildings: UGX 1,040,431,405, Roads and Bridges: UGX 290,841,050, Machinery and Equipment: UGX 5,000,000 and Other Fixed Assets: UGX 1,388,005,790, all cumulatively totalling UGX 3,543,990,074.</p> <p>The LG was not compliant.</p>	0
20	<p>The LG has obtained an unqualified or qualified Audit opinion Maximum 4 points on this performance measure</p>	<p>Quality of Annual financial statement from previous FY:</p> <ul style="list-style-type: none"> • Unqualified audit opinion: score 4 • Qualified: score 2 • Adverse/disclaimer: score 0 	<p>From the Auditor General's report for the FY 2018/19, the LG had an unqualified audit opinion.</p>	4

Governance, oversight, transparency and accountability

The LG Council meets and discusses service delivery related issues

Maximum 2 points on this performance measure

• Evidence that the Council meets and discusses service delivery related issues including TPC reports, monitoring reports, performance assessment results and LG PAC reports for last FY: score 2

Kalangala District Local Government council met and discussed service delivery related issues including TPC reports, monitoring reports, performance assessment results and LGPAC reports for the last Financial Year.

This is evidenced as below:

1. The minutes of the District Council meeting held on 13th March 2015 at the District Headquarters council hall. Min: DC/05/03/2015. Presentation of the District Development Plan 2015/2016-2019/2020. Min: DC/06/03/2015, discussion and approval of the District Development Plan 2015/2016-2019/2020;

2. Minutes of the District Council meeting held on 20th June 2019 at the District Headquarters council hall Min. KDLG/DC/24/06/19 approval of the budget for financial year 2019/20 at UGX 22,649,691,000/=;

3. Min. KDLG/DC/23/06/19, Presentation and discussion of recommendations from the committees for budget approval financial year 2011/2020:

Planning department at UGX 201,872,000/=, Internal audit department at UGX 77,489,980/=, Statutory body at UGX 79,107,000/= (procurement and disposal); council at UGX 29,000,000/=, Production and marketing at UGX 1,236,664,115/=, Natural resources at UGX 247,730,184/=, Works (water) at UGX 290,000,000/=, Roads at UGX 1,103,403,836/=, Education at UGX 3,928,700,000/=, and Health at UGX 4,660,189,522/=.

Education department, Total budget for approved work plan for education department is UGX 3,928,700,000/=, Salaries of UGX 1,905,900,000/=, Capitation grant (Universal Primary Education, Universal Secondary Education, inspection grant and UPOLET at UGX 398,000,000/=),

Infrastructure (includes seed secondary school at Kachanga, new KIEP infrastructure projects and un finished KIEP projects at UGX 1, 588,000,000/=,

Works department expected funding from central government in the water sector which was amounting to UGX 290,000,000/= as opposed to the draft budget of UGX 383,494,000/= that was tabled to council, rehabilitation of existing water schemes at UGX 24,300,000,000/=.

Health department, Total budget as per the approved work plan for the department at UGX 4,660,189,522- health care management services was at UGX 3,213,024,290/=, Health center construction and rehabilitation at UGX 478,612,054/=.

4. Minutes of the District Council held on 18th May 2018, at the District Headquarters council hall Min: KDLG/DC/27/03/08 approval of the budget with amendments at UGX 19,419,741,000/=, Health at UGX 5,233,166,000/=, Education at UGX 8,037,436,000/=, and Water at UGX 425,094,000/=.

22	<p>The LG has responded to the feedback/complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that LG has designated a person to coordinate response to feed-back (grievance/complaints) and responded to feedback and complaints: score 1. 	<p>Kalangala District Local Government designated Mr. Ntabaazi Geoffrey Nsumba for coordination and feedback (grievances/complaints, appointed and assigned on 1st July 2017 Ref no CR/156/5 as a community feedback /information officer.</p>	1
22	<p>The LG has responded to the feedback/complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> • The LG has specified a system for recording, investigating and responding to grievances, which should be displayed at LG offices and made publically available: score 1 	<p>The Local Government did not have evidence for recording, investigation, and responding to grievances , neither were they displayed at offices for the public.</p>	0
23	<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<p>Evidence that the LG has published:</p> <ul style="list-style-type: none"> • The LG Payroll and Pensioner Schedule on public notice boards and other means: score 2 	<p>Kalangala District Local Government had the Payroll and pensioner schedule summary that was dated 25th October 2019 displayed at the notice board.</p>	2
23	<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that the procurement plan and awarded contracts and amounts are published: score 1. 	<p>Kalangala District Local Government published the procurement plan for pre-qualification bidders on the Notice board; this was dated 4th July 2019.</p>	1

23	<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year (from budget requirements): score 1. 	<p>The LG performance results for FY 2017/2018 and implications were published at the Noticeboard at District headquarters.</p> <p>A meeting was held on 14th May 2019, Min: -DTPC MIN. 13/05/19, Presentation of Performance results for assessments financial year 2017/2018, National Assessment result, District Position was 60th position with a total score of 68%.</p>	1
24	<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the HLG have communicated and explained guidelines, circulars and policies issued by the national level to LLGs during previous FY: score 1 	<p>Kalangala District Local Government communicated and explained guidelines, circulars and policies issued by the national level to LLGs during previous FY 2018/2019.</p> <p>On 12th May 2019, DDEG guidelines to all senior assistant secretaries (SAS), as evidenced from the district registry delivery book of the district circulated and picked by the various chiefs within the district.</p>	1
24	<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: score 1. 	<p>Kalangala District Local Government had No evidence that during the previous FY conducted discussions with the public to provide feed back on the status of activity implementation.</p>	0

Social and environmental safeguards

25	<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this</p>	<ul style="list-style-type: none"> • Evidence that the LG gender focal person and CDO have provided guidance and support to sector departments to mainstream gender, vulnerability and inclusion into their activities score 2. 	<p>There WAS Evidence that the LG gender focal person provided guidance and support to sector departments to mainstream gender into their activities as exemplified by the following:</p> <ul style="list-style-type: none"> - Kalangala DLG Community Based Services Department presented an Internal Memo Dated 08/02/2019 and Titled 'Gender Guidelines for Health Department', Addressed to District Health Officer Kalangala DLG; Signed by Jude Tadeo Musaaazi, Senior Community Development Officer/Gender Focal Person Kalangala DLG; Received, Signed and Stamped by District Health Officer Kalangala DLG on 08/02/2019. 	2
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measure.

- Kalangala DLG Community Based Services Department presented an Internal Memo Dated 08/02/2019 and Titled 'Gender Auditing in Schools', Addressed to District Education Officer Kalangala DLG; Signed by Jude Tadeo Musaazi, Senior Community Development Officer/Gender Focal Person Kalangala DLG; Received, Signed and Stamped by District Education Officer Kalangala DLG on 08/02/2019; with attachments on: Guiding Principles for Implementation of the GEPII specifically Gender Equality and Non Discrimination, Gender Mainstreaming, Inclusiveness, Utilizing Gender Analytical Framework, and Implementation Strategies of the Second Gender in Education Policy.

- Kalangala DLG Community Based Services Department presented a Concept Note on Training of Head Teachers and Classroom Teachers on Interpretation and Implementation of Gender in Education (80 Teachers), May 2019, Compiled by Education Department, Training Period: 4 Days in the Month of September 2019, Training Attended by 80 Participants/Teachers from 26 Primary Schools; with Attendance List/Sheet Signed by 80 Participants.

- Kalangala DLG Community Based Services Department presented an Internal Memo Dated 08/02/2019 and Titled 'Submission of Water and Sanitation Gender Strategy 2018 - 2022', Addressed to District Water Officer Kalangala DLG; Signed by Jude Tadeo Musaazi, Senior Community Development Officer/Gender Focal Person Kalangala DLG; Received, Signed and Stamped by District Water Officer Kalangala DLG; with Enclosure on Water and Sanitation Gender Strategy 2018 - 2022.

- Kalangala DLG Community Based Services Department presented an Internal Memo Dated 26/03/2019 and Titled 'Activity Report on Gender Auditing in Kalangala Education Sector Implemented by Department of Community Based Services', Addressed to District Education Officer Kalangala DLG; Compiled by Jude Tadeo Musaazi, Senior Community Development Officer/Gender Focal Person Kalangala DLG.

- Kalangala DLG Community Based Services Department presented Approved Budget Estimates for FY 2018/2019, Vote 515 Kalangala District, Community Based Services Section on Pages 44 - 47, Output 108107 Gender Mainstreaming (1,281,000 UGX, Page 45); Output 108108 Children and Youth Services (1,260,000 UGX, Page 45); Output 108109 Support to Youth Councils (2,035,000 UGX, Page 45); Output 108110 Support to Disabled and the Elderly (2,500,000 UGX, Page 45); Output 108114 Representation on Women's Councils (2,350,000 UGX, Page 46).

25

The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles

Maximum 4 points on this performance measure.

• Evidence that the gender focal point and CDO have planned for minimum 2 activities for current FY to strengthen women's roles and address vulnerability and social inclusions and that more than 90 % of previous year's budget for gender activities/ vulnerability/ social inclusion has been implement-ted: score 2.

- There WAS Evidence that gender focal point had planned activities for current FY (2019/2020 FY) to strengthen women's roles. Kalangala DLG Community Based Services Department presented Approved Budget Estimates for FY 2019/2020, Vote 515 Kalangala District, with Community Based Services Section on Pages 41 - 43 with the following planned activities: Output 108102 Support to Women, Youth and PWDs (315,226,000 UGX, Page 41); Output 108108 Children and Youth Services (18,000,000 UGX, Page 42); Output 108109 Support to Youth Councils (1,580,000 UGX, Page 42).

- There was NO Evidence that more than 90% of previous year's budget for gender activities was implemented.

0

26

LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition

Maximum 6 points on this performance measure

• Evidence that environmental screening or EIA where appropriate, are carried out for activities, projects and plans and mitigation measures are planned and budgeted for: score 1

There WAS Evidence that environmental screening or EIA where appropriate, was carried out for activities, projects and plans as exemplified by the following:

- Kalangala DLG Department of Natural Resources presented a Report Dated 05/June/2018 and Titled 'Activity Report KIEP - Environmental and Social Screening of Projects May - June 2018', Compiled and Signed by Byaruhanga Joseph (District Environment Officer), Signed by Bafiirawala Maurice (District Forestry Officer), Signed and Stamped by Saawo Harriet (District Natural Resources Officer) on 07/June/2018, Addressed to Chief Administrative Officer Kalangala DLG, with specific environmental and social screening details for projects supported by KIEP namely construction of teachers houses, school VIP pit latrines, school kitchens and school dormitories at different primary and secondary schools and polytechnics in different sub counties of Kalangala district.

- Kalangala DLG Department of Natural Resources presented a Report Dated 13/September/2018 and Titled 'Activity Report Water Subsector September 2018 - Environmental and Social Screening of Projects: Construction of Buyange Solar Powered Water Supply System to be implemented in Bubeke Sub county', Compiled and Signed by Saawo Harriet (District Natural Resources Officer), Addressed to Chief Administrative Officer Kalangala DLG.

- Kalangala DLG Department of Natural Resources presented a Report Dated 11/February/2019 and Titled 'Water Sector Activity Report - Environmental Inspection of Water Project: WATSAN Project at Buyange in Bubeke Sub county', Compiled and Signed by Saawo Harriet (District Natural Resources Officer), Addressed to Chief Administrative Officer Kalangala DLG.

1

26	<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG integrates environmental and social management and health and safety plans in the contract bid documents: score 1 	<p>There was NO Evidence that the LG integrated environmental and social management plans in the contract bid documents.</p>	0
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26	<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all projects are implemented on land where the LG has proof of ownership (e.g. a land title, agreement etc.): score 1 	<p>There was NO Evidence that all projects were implemented on land where the LG had proof of ownership by way of a land title or agreement with land owners.</p>	0
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26	<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p>	<ul style="list-style-type: none"> • Evidence that all completed projects have Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer and CDO: score 1 	<p>There was NO Evidence that all completed projects had Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer.</p>	0
	<p>Maximum 6 points on this performance measure</p>			
26	<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p>	<ul style="list-style-type: none"> • Evidence that the contract payment certificated includes prior environmental and social clearance (new one): Score 1 	<p>There was NO Evidence that Contract Payment Certificates included prior environmental and social clearance.</p>	0
	<p>Maximum 6 points on this performance measure</p>			
26	<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p>	<ul style="list-style-type: none"> • Evidence that environmental officer and CDO monthly report, includes a) completed checklists, b) deviations observed with pictures, c) corrective actions taken. Score: 1 	<p>There was NO Evidence that Environmental Officer and CDO Monthly Report included a) completed checklists b) deviations observed with pictures c) corrective actions taken.</p>	0
	<p>Maximum 6 points on this performance measure</p>			

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management				
1	The LG education department has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school) Maximum 8 for this performance measure	<ul style="list-style-type: none"> Evidence that the LG has budgeted for a Head Teacher and minimum of 7 teachers per school (or minimum a teacher per class for schools with less than P.7) for the current FY: score 4 	From the approved wage bill provision and Staff lists and List of schools it was evident that LG had budgeted 1,413,723,528UGX to cater for a Head Teacher and a minimum of 7 teachers per school for the current FY 2019/2020.	4
1	The LG education department has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school) Maximum 8 for this performance measure	<ul style="list-style-type: none"> Evidence that the LG has deployed a Head Teacher and minimum of 7 teachers per school (or minimum of a teacher per class for schools with less than P.7) for the current FY: score 4 	From the performance contract, staff lists and list of schools, the LG had deployed a Headteacher in all the 23 government primary schools. The total number of teachers deployed was 159 . However, the following schools had less than 7 teachers yet they had seven classes: Bukasa P/S in Kyamuswa Sub-county has six teachers; Mazinga in Mazinga sub-county has five teachers and Kitobo P/s in Bufumira Subcounty has only four teachers.	0
2	LG has substantively recruited all primary school teachers where there is a wage bill provision Maximum 6 for this performance measure	<ul style="list-style-type: none"> Evidence that the LG has filled the structure for primary teachers with a wage bill provision <p>o If 100%: score 6 o If 80 - 99%: score 3 o If below 80%: score 0</p>	The approved district structure was 188 teachers, out of which the LG had filled 159 (85%) primary teachers with a wage bill provision.	3

3	<p>LG has substantively recruited all positions of school inspectors as per staff structure, where there is a wage bill provision.</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has substantively filled all positions of school inspectors as per staff structure, where there is a wage bill provision: score 6 	<p>The LG had substantively filled all the two positions of school inspectors as per staff structure, where there was a wage bill provision. The two inspectors were: Mutebi Ronald :KAL/DSC/27/04/2015 and Namutebi Joseph : KAL/DSC/13/04/2019.</p>	6
4	<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> • Primary Teachers: score 2 	<p>The LG Education department had submitted a recruitment plan to HRM on 25/10/ 2018 for the current FY to fill positions of 23 Primary teachers.</p>	2
4	<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> • School Inspectors: score 2 	<p>The LG Education department had submitted a recruitment plan to HRM on 25/10/ 2018 for the current FY to fill positions of one school inspector.</p>	2

Monitoring and Inspection

5	<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> • 100% school inspectors: score 3 	<p>The district had two Inspectors of Schools. They were appraised as follows;</p> <ul style="list-style-type: none"> • 1. Senior Inspector of Schools, appointment letter CR/160/1 dated 30th April 2015. Was appraised on 31st July 2019. • 2. Inspector of Schools, appointment letter CR/156/5 dated 26th April 2019. Was appraised on 4th July 2019. 	3
<p>Maximum 6 for this performance measure</p>				

5	<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> • Primary school head teachers 	<p>The district had twenty three (23) Primary Schools and therefore 23 Head Teachers. They were all appraised. The sampled appraisal reports indicated that the Head Teachers were appraised on the following dates:</p> <ul style="list-style-type: none"> • 1. Kagulube PS – 22nd March 2019; 2. Kasekulo PS – 8th February 2019; 3. Kibaale PS – 17th March 2019; 4. Bbeta PS – 7th March 2019 and 5. Busanga PS – 12th March 2019. • 	3
<p>Maximum 6 for this performance measure</p> <ul style="list-style-type: none"> o 90 - 100%: score 3 o 70% and 89%: score 2 o Below 70%: score 0 				

6	<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has communicated all guidelines, policies, circulars issued by the national level in the previous FY to schools: score 1 	<p>The LG Education department had received guidelines, policies, circulars issued by the national level in the previous FY to schools. such guidelines include Guidelines on school charges issued on 29/10/2018; Development of a master list of educational institutions in the country (EPD/235/298/01) issued on 24/04/2019; East African community essay writing competition issued on 5/06/2019; Vaccination of primary school children in Kampala and the surrounding district against Hepatitis B (ADM/315/01, issued on 18/8/2018) and national effort to strengthen health as well as mass action against malaria.</p>	1
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6	<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has held meetings with primary school head teachers and among others explained and sensitised on the guidelines, policies, circulars issued by the national level: score 2 	<p>There was no evidence to show that the LG Education department held meetings with primary school headteachers and among others explained and sensitized on the guidelines, policies, circulars issued by the national level .</p>	0
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The LG Education De- partment has effectively inspected all registered primary schools2

Maximum 12 for this performance measure

• Evidence that all licenced or registered schools have been inspected at least once per term and reports produced:

- o 100% - score 12
- o 90 to 99% - score 10
- o 80 to 89% - score 8
- o 70 to 79% - score 6
- o 60 to 69% - score 3
- o 50 to 59 % score 1
- o Below 50% score 0.

On average 83% of all licensed or registered schools had been inspected at least once per term and reports produced as follows:

Term 111(2018) 23 out of 23 (100%) while none (0%) of the three private schools in the LG were inspected. Term 1(2019) 23 out of 23 (100%) while 03 out of 03(100%) of private schools were inspected. Term 11(2019) 23 out of 23 (100%) while 3 out of 3 (100%) of private schools were inspected.

LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations

Maximum 10 for this performance measure

• Evidence that the Education department has discussed school inspection reports and used reports to make recommendations for corrective actions during the previous FY: score 4

Basing on Minutes from Departmental meeting held on 28/05/2019 MIN.NO 5/DED/19 the Education department discussed school inspection reports and used reports to make recommendations for corrective actions in areas of lesson preparation, support supervision, sanitation as well as enforcing proper text book utilisation.

8	<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has submitted school inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 	<p>From the acknowledgements from DES dated: 28/12/2018,22/07/2019 and 4/10/2019 it was evident that the LG Education department submitted school inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports.</p>	2
	<p>Maximum 10 for this performance measure</p>			
8	<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p>	<ul style="list-style-type: none"> • Evidence that the inspection recommendations are followed- up: score 4. 	<p>There was evidence from the departmental meeting held on 28/05/2019, MIN NO/13/DED/2019 where the DEO was tasked to talk to the LG chairperson regarding the renovation of classrooms as well as improving the sanitation situation in Buwazi, Mulabana, Buyana, Lwabaswa, Kachanga and Kasekulo primary schools. Furthermore, Kitobo P/S that had only four teachers as seen in the inspection report was considered in the current LG recruitment plan.</p>	4
	<p>Maximum 10 for this performance measure</p>			
9	<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p>	<ul style="list-style-type: none"> • Evidence that the LG has submitted accurate/consistent data: <ul style="list-style-type: none"> o List of schools which are consistent with both EMIS reports and PBS: score 5 	<p>The List of schools had 23 schools which were consistent with both EMIS/excel sheet and PBS.</p>	5
	<p>Maximum 10 for this performance measure</p>			

The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES
Maximum 10 for this performance measure

Evidence that the LG has submitted accurate/consistent data:
• Enrolment data for all schools which is consistent with EMIS report and PBS: score 5

The Enrolment data for all schools was 5,341 pupils which were consistent with EMIS/excel and PBS.

Governance, oversight, transparency and accountability

<p>The LG committee responsible for education met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the council committee responsible for education met and discussed service delivery issues including inspection, performance assessment results, LG PAC reports etc. during the previous FY: score 2 	<p>Kalangala District Local Government committee for education discussed service delivery issues on the following:</p> <p>Meeting held on 18th June 2019 discussing the annual work plan, and budget from the standing committee of social services held at the district council hall:</p> <ol style="list-style-type: none"> 1. Minute: SSC/08/06/2019, consideration of the department work plan and budget for education, health and community based services; Page 4 education- discussion of approved work plan for education department of UGX 3,928,700,000/=. <p>The committee discussed issues of Special needs services indicated in primary schools at UGX 1,200,000/=, Insufficient furniture and classroom blocks, Admissions of Primary Leaving Examinations, where some schools don't have sitting centers and the newly constructed dormitory at Sserwanga Lwanga primary school is locked;</p> <ol style="list-style-type: none"> 2. Report for social services committee for this august house meetings held in the board room on 26th and 27th March 2019. Minute: SSC/03/03/2019, consideration of the departmental reports for Quarter 3; Page 8, at Sserwanga Lwanga Secondary school buildings are not proper and there should be provision for monitoring of the building works in schools by councilors; 3 Report for social services committee for this August House meeting held in the board room 18th-19th December 2018, Minute: SSC/17/12/2018, consideration of departmental reports for Quarter 2 financial year 2018/2019; Page 5, Issues; Bill of enforcing Universal Primary Education guidelines and feeding ordinance in Universal Primary Education schools, Need for councilors to carry out monitoring of KIEP projects, Shoddy construction works at Sserwanga Lwanga building with cracks, 4. Sectoral committee meeting for social services held on 25th-26th September 2018, minute: SSC/12/09/2018, consideration of Quarter 1 reports financial year 2018/2019; Page 3, teachers day celebration should be improved on by awarding all the best performing staff , institution and management, Lwabaswa primary school needed a new class room block.
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10	<p>The LG committee re- sponsible for education met, discussed service delivery issues and pre- sented issues that require approval to Council</p>	<p>• Evidence that the education sector committee has presented issues that require approval to Council: score 2</p>	<p>Kalangala District Local Government education sector committee has presented issues that require approval to Council:</p> <ol style="list-style-type: none"> Minutes of District Council held on 28th march 2019, Min: KDLG/DC/06/03/19- presentation of reports from sector committees, The standards of buildings in schools were questioned by the committee. ICEIDA, put provisions of consultants to provide bills of quantities and the clerk of works who should be on site of buildings as they eye of the engineer from the embassy; Girls’ dormitory in Bukasa appears in most of the reports, has been slow in completion since 2017, Mr. Ssesaka has been warned for this slow work and forwarded to the solicitor general, Teachers of schools who perform well should be motivated –some way of recognizing them; Min.KDLG/DC/07/03/19 Approval of the recommendations from the committees and Minutes of the District Council held on 26th June 2019, Min:KDLG/DC/33/09/19- Presentation and approval of reports’ recommendations for Quarter 4 and 1 financial years 2018/2019 and 2019/2020, the committee noted that many children of early age are not attending school due to lack of Early Childhood Development in landing sites, Poor sanitation in landing sites, communities and schools. 	2
	<p>Maximum 4 for this performance measure</p>			
11	<p>Primary schools in a LG have functional SMCs</p>	<p>Evidence that all primary schools have functional SMCs (established, meetings held, discussions of budget and resource issues and submission of reports to DEO/ MEO)</p>	<p>All the five sampled primary schools (100%) had functional SMCs, they held the three mandatory meetings held, discussions of budget and resource issues and submission of reports to DEO. The schools were: Kibanga P/S held her mandatory meetings on 16/10/2018;05/03/2019 and 27/06/2019 while Kinyamira P/S held her mandatory meetings on 28/09/2018;15/2/2019 and 16/08/2019. Similarly, Mulabana P/S held her mandatory meetings on 7/10/2018;23/3/2019 and 16/07/2019. Bwendero P/S held her mandatory meetings on 3/12/2018;28/02/2019 and 20/06/2019. Lutunku Kaguta P/s held her mandatory meetings on 24/09/2018;20/02/2019 and 9/06/2019.</p>	5
	<p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> • 100% schools: score 5 • 80 to 99% schools: score 3 • Below 80 % schools: score 0 		

12	<p>The LG has publicised all schools receiving non- wage recurrent grants</p> <p>Maximum 3 for this performance measure</p>	<p>Evidence that the LG has publicised all schools receiving non- wage recurrent grants e.g. through posting on public notice boards:</p> <p>score 3</p>	<p>There was evidence that the LG had on 8/9/2019 publicised by posting on its public notice boards all schools receiving non-wage recurrent grants.</p>	3
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Procurement and contract management

13	<p>The LG Education department has submitted input into the LG procurement plan, complete with all technical requirements, to the Procurement Unit that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<p>• Evidence that the sector has submitted procurement input to Procurement Unit that covers all investment items in the approved Sector annual work plan and budget on time by April 30:</p> <p>score 4</p>	<p>The sector had submitted procurement input to the Procurement Unit that covered all investment items in the approved Sector annual work plan and budget on 15/03/2019 in time before April 30 deadline.</p>	4
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Financial management and reporting

14	<p>The LG Education department has certified and initiated payment for supplies on time</p> <p>Maximum 3 for this performance measure</p>	<p>Evidence that the LG Education departments timely (as per contract) certified and recommended suppliers for payment: score 3.</p>	<p>From the sampled payments made during the year to various vendors worth UGX 348,236,772, which was spent on Education Projects:</p> <p>Mmarks Investments Ltd: Construction of Seed Secondary school at Kachanga – Bufumira SC, Completion Certificate, dated 13/6/2019, DEO signed on 13/6/2019, CAO on 13/6/2019, CFO on 13/6/2019 and paid on 13/6/2019 vide EFT No.23726957. UGX 312,551,284.</p> <p>Andikas Contractors Ltd: Renovation of Classroom at Kaganda Primary School, Certificate No.2 dated 11/12/2018, DEO signed on 12/12/2019, CAO on 12/12/2019, CFO on 19/12/2019 and paid on 19/12/2019 vide EFT No.21166872. UGX 26,669,586.</p> <p>Alert Fire Consultants Ltd: Construction of toilet at Bugoma Primary School, Certificate No. 2, dated 12/9/2018. DEO signed on 18/9/2019, CAO on 12/9/2019, CFO on 31/10/2019 and paid on 1/11/2019 vide EFT No.2021326. UGX 5,251,122.</p> <p>Proline Contractors Ltd: Construction of 5 stance VIP Pit lined Latrine at Jaana Primary School, Certificate No 1, dated 20/6/2018, DEO signed on 20/6/2019, CAO on 20/6/2019, CFO on 25/6/2019 and paid on 25/6/2019 vide EFT No.20317711. UGX 3,764,780.</p> <p>All these payments were made on time and mostly within a Month after requisition for payment was raised.</p> <p>The LG was compliant.</p>	3
15	<p>The LG Education department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<p>• Evidence that the department submitted the annual performance report for the previous FY (with availability of all four quarterly reports) to the Planner by 15th of July for consolidation: score 4</p>	<p>The Education Department submitted Annual Performance Reports for the previous Financial Year to the Planner on the Following dates:</p> <p>Quarter -1: Submitted to the planner on 17th October 2018;</p> <p>Quarter -2: Submitted to the planner on 17th January 2019;</p> <p>Quarter -3: Submitted to the planner on 22nd April 2019 and</p> <p>Quarter -4: Submitted to the planner on 9th July 2019.</p> <p>Hence the Education department submitted the Annual Performance Reports by 15th July to the planner for consolidation.</p>	4

<p>LG Education has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year o If sector has no audit query score 4 o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 2 o If all queries are not responded to score 0 	<p>Three Queries :</p> <p>(1) Stalled Civil Works under KIEP Contracts.</p> <p>(2) Lack of a detailed MOU with donors of land for proposed site for Construction of Seed Secondary School at Kachanga.</p> <p>(3) Congested dormitories at Sserwanga Lwanga Memorial School.</p> <p>All these queries were responded to and cleared during LGPAC meetings held on 28th/12/2018. Under minute KAL/LGPAC/23/12/18.</p> <p>The LG was compliant.</p>
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Social and environmental safeguards

<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in consultation with the gender focal person has disseminated guidelines on how senior women/men teachers should provide guidance to girls and boys to handle hygiene, reproductive health, life skills, etc.: Score 2 	<p>There was evidence that on 8/02/2019 the LG Education department in consultation with the gender focal person had disseminated guidelines on how senior women/men teachers should provide guidance to girls and boys to handle hygiene, reproductive health, life skills.</p>
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17	<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that LG Education department in collaboration with gender department have issued and explained guidelines on how to manage sanitation for girls and PWDs in primary schools: score 2 	<p>There was evidence that during the four days workshop held between 27-31 September 2019, LG Education department in collaboration with gender department issued and explained guidelines on how to manage sanitation for girls and PWDs in primary schools as well as gender auditing in schools.</p>	2
17	<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the School Management Committee meets the guideline on gender composition: score 1 	<p>From the List of SMCs and composition of members from sampled schools : Kibanga P/S has 5 females and 7 males; Kinyamira P/S has 3 females and 9 males; Mulabana P/S has 4 females and 8 males; Bwendero P/S has 3 females and 9 males; Kasekulo P/S has 5 females and 7 males met the guideline on gender composition.</p>	1
18	<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in collaboration with Environment department has issued guidelines on environmental management (tree planting, waste management, formation of environmental clubs and environment education etc.): score 1: 	<p>There was no evidence from Minutes of meetings between DEO and teachers that the LG Education department in collaboration with Environment department issued guidelines on environmental management like tree planting, waste management, the formation of environmental clubs and environment education.</p>	0

18	<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all school infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: <p>Score 1</p>	<p>There was evidence from the screening report dated 13/03/2019 that all school infrastructure projects were screened before approval for construction using the checklist for the screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions. The screened projects included: Construction of teachers' house , domitory and kitchen at Bukasa secondary school.Renovation of classroom blocks at: Buwazi,Mulabana,Bunyama,Lwabaswa,Kachanga,Bubeke,Bridge of hope primary schools as well as the Bishop Dunstan secondary school.</p>	1
18	<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • The environmental officer and community development officer have visited the sites to checked whether the mitigation plans are complied with: <p>Score 1</p>	<p>There was no evidence to show that environmental officer and community development officer visited the sites to check whether the mitigation plans were complied with.</p>	0

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management				
1	<p>LG has substantively recruited primary health care workers with a wage bill provision from PHC wage</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that LG has filled the structure for primary health care with a wage bill provision from PHC wage for the current FY</p> <ul style="list-style-type: none"> • More than 80% filled: score 8 • 60 – 80% - score 4 • Less than 60% filled: score 0 	<p>Kalangala District Local Government (LG) had filled 86% (253 out of 295) positions on the approved structure for primary health care dated 1/7/2019 with a wage bill provision from PHC wage for the financial year 2019/2020.</p>	8
2	<p>The LG Health department has submitted a comprehensive recruitment plan for primary health care workers to the HRM department</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that Health department has submitted a comprehensive recruitment plan/request to HRM for the current FY, covering the vacant positions of primary health care workers: score 6</p>	<p>The LG had submitted a recruitment plan which covered all the 26 positions of primary health care workers supported by the wage bill of the current financial year (FY), received by human resources management (HRM) department on 26/10/2018.</p>	6
3	<p>The LG Health department has conducted performance appraisal for Health Centre IVs and Hospital In-charge and ensured performance appraisals for HC III and II in-charges are conducted</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that the all health facilities in-charges have been appraised during the previous FY:</p> <ul style="list-style-type: none"> o 100%: score 8 o 70 – 99%: score 4 o Below 70%: score 0 	<p>The district had fourteen (14) health facilities and therefore 14 Officers in Charge. The sampled appraisal reports indicated that they were appraised on the following dates,</p> <ul style="list-style-type: none"> • 1. Lujaabwa HC II – 17th July 2019; 2. Mulabana HC II 22nd July 2019; 3. Kachanga HC II – 17th July 2019; 4. Kasekulo HC II – 17th July 2019; 5. Jaana HC II – 24th July 2019; 6. Dajje HC II - 17th July 2019 and 7. Mazinga HC III – 17th July 2019 • 	8

The Local Government Health department has deployed health workers across health facilities and in accordance with the staff lists submitted together with the budget in the current FY.

Maximum 4 points for this performance measure

• Evidence that the LG Health department has deployed health workers in line with the lists submitted with the budget for the current FY, and if not provided justification for deviations: score 4

The LG health department had not deployed health workers in line with the lists submitted with the budget for the current FY. However, the District Health Team (DHT) members were aware of the variations and justified them as stated:

1. Mulabana HC had 8 staff deployed and also in the list in the budget;

2. Bwendero had 18 staff deployed and 25 in the budget list. The three extra staff were deployed at Dajje HCII which had been taken over by the District; a Senior Nursing Officer; and a Health Assistant had been deployed at Kalangala HCIV; while a Nursing Assistant and a Lab Assistant had left and no salary was assigned to them.

3. Mugoye HCIII had 15 staff deployed and 30 in the budget list. The extra staff were deployed to other health centres; seven at Kasekulo HCII; five at SIAAP/Bugoma HCII; and three at Bufumira Health Centre III.

4. Kalangala HCIV had 59 staff deployed and 50 in the budget list. The extra nine staff were known to the DHO's office as reflected below:

1. One Medical Officer was deployed to enable her carry out surgical work yet she was assigned to Bukasa HCIV which did not have a functional theatre;

2. A Senior Nursing Officer and a Public Health Nurse were deployed yet they were assigned to Bwendero HCIII and Mazinga HCIII respectively due its high patient volume at Kalangala HCIV;

3. An Assistant Health Educator assigned to Bufumira HCIII ;

4. A Health Inspector was assigned to Jaana HCII;

5. A Laboratory Technician was assigned to Mazinga HCIII ;

6. A Laboratory Technician was assigned to Lulamba HCIII ;

7. An Enrolled Midwife was assigned to Bukasa HCIV ; and

8. A Clinical Officer was assigned to Bubeke HCIII .

Monitoring and Supervision

5	<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO has communicated all guidelines, policies, circulars issued by the national level in the previous FY to health facilities: score 3 	<p>The DHO did not communicate the prioritised guideline "Sector Grant and Budget Guidelines to Local Governments FY 2019/2020" to health facilities. None of the five sampled health facilities including; Kalangala HCIV; Bumangi HCIII; Mugoye HCIII; Bwendero HCIII; and Mulabana HCII) had not received the guidelines.</p>	0
	<p>Maximum 6 for this performance measure</p>			
5	<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO has held meetings with health facility in- charges and among others explained the guidelines, policies, circulars issued by the national level: score 3 	<p>The DHO held a meeting attended by health facility In-charges on 19/3/2019 and among others explained the key aspects of the circular on the national strategy for improving the uptake of HPV vaccine which was received on 7/3/2019. This was reflected in a report titled "HPV multi stakeholders' planning meeting", dated 19/3/2019.</p>	3
	<p>Maximum 6 for this performance measure</p>			
6	<p>The LG Health Department has effectively provided support supervision to district health services</p>	<p>Evidence that DHT/MHT has supervised 100% of HC IVs and district hospitals (including PNFPs receiving PHC grant) at least once in a quarter: score 3</p>	<p>The District Health Team (DHT) supervised 50% of HC IVs (only Bukasa Health Centre IV) as reflected in supervision reports dated; 26/11/2018; 21/12/2019; 3/4/2019; and 2/7/2019. Kalangala HCIV was not supervised as reflected in the supervision book.</p>	0
	<p>Maximum 6 points for this performance measure</p>			

6	<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has ensured that HSD has supervised lower level health facilities within the previous FY:</p> <ul style="list-style-type: none"> • If 100% supervised: score 3 • 80 - 99% of the health facilities: score 2 • 60% - 79% of the health facilities: score 1 • Less than 60% of the health facilities: score 0 	<p>The DHT did not ensure 100% supervision of lower level health facilities by the HSD within the previous FY. None (0%) of the sampled lower health units was supervised in all quarters as per the records in the supervision books at Bumangi HCIII; Mugoye HCIII; Bwendero HCIII; and Mulabana HCII.</p>	0
7	<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all the 4 quarterly reports have been discussed and used to make recommendations (in each quarter) for corrective actions during the previous FY: score 4 	<p>The DHT discussed all the four supervision reports and used them to make recommendations for corrective actions in each quarter during the previous FY as reflected below:</p> <ol style="list-style-type: none"> 1. Quarter one supervision report was discussed by the DHT on 3/10/2018 under minute 5/10/2018 addressing indiscipline by some health facility staff. It was recommended that In-charges should submit lists of indiscipline cases to the DHO; 2. Quarter two supervision report was discussed by the DHT on 13/2/2019 under minute 6/02/2019 addressing the functionality of the theatre at Bukasa HCIV. It was recommended that funding to enhance the functionality of the theatre be sought; 3. Quarter three supervision report was discussed by the DHT on 27/3/2019 under minute 5/03/2019 addressing underutilization of a Medical Officer at Bukasa HCIV due to disfunctionality of the theatre. It was recommended that the Medical Officer be transferred to Kalangala HCIV; and 4. Quarter four supervision report was discussed by the DHT on 5/07/2019 under minute 5/7/2019 addressing failure to conduct physical counting of medicines at health facilities. It was recommended that physical counting of medicines be conducted each month. 	4

7

The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up

• Evidence that the recommendations are followed up and specific activities undertaken for correction: score 6

Previous recommendations were followed up. For instance, in quarter three meeting held on 27/3/2019 minute MIN 04/03/2019, it was agreed that the key for the room that was used by a Medical Doctor who had left Kalangala HCIV be handed over to the In-charge. Related follow up was made in the DHT meeting held on 30/04/2019 under minute number MIN 04/04/2019 where In-charges was tasked give an updates on the progress and he informed members that the key was handed over to him.

6

Maximum 10 points for this performance measure

8

The LG Health department has submitted accurate/consistent reports/data for health facility lists receiving PHC funding as per formats provided by MoH

• Evidence that the LG has submitted accurate/consistent data regarding:
o List of health facilities receiving PHC funding, which are consistent with both HMIS reports and PBS: score 10

The LG submitted accurate/consistent data regarding the health facilities that received PHC funding. All the 13 health facilities that were on the list in the performance contracts (with PBS data) were on the lists in the HMIS reports.

10

Maximum 10 for this performance measure

Governance, oversight, transparency and accountability

The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council

Maximum 4 for this performance measure

- Evidence that the LG committee responsible for health met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports etc. during the previous FY: score 2

Kalangala District Local Government committee for health discussed service delivery issues. Meeting held on 18th June 2019 discussing the annual work plan, and budget from the standing committee of social services held at the district council hall.

Minute: SSC/08/06/2019, consideration of the department work plan and budget for education, health and community based services, Page 4 health department – discussion of the budget for financial year 2019/2020 at UGX 4,660,189,522/=;

Issues; Insufficient power at Kalangala Health Center IV (3,789,000/=), Irregular attendance to duty by nurses at Kalangala Health Center IV, Cleanliness of Kalangala Health Center IV, Support of emergency referrals of clients at Kalangala Health Center IV (4,000,000/=), rehabilitation of staff house at Kalangala Health Center IV,

Meetings held in the board room on 26-27th March 2019. Minute: SSC/03/03/2019, consideration of the departmental reports for Quarter 3, Pag4 - the councilors noted that Health Centre II, Kasekulo Health Centre II, Ssesse islands, African Aids project, Bugoma Health Centre II was not getting medicine National Medical Stores yet they were serving very many patients in the catchment areas.

Councilors raised issues of inadequate space for in patients' wards at Kalangala Health Center IV for women and children; it was over crowded with women and children as well as the maternity ward,

Meeting held in the board room 18th-19th December 2018,. Minute: SSC/17/12/2018, consideration of departmental reports for Quarter 2 financial year 2018/2019; Page 3, Issues; The committee inquired to what it means to accredit a health center, Insufficient working space in some health centers for people fear being tested for HIV in in the presence of other people in the health center e.g. Jaana Health Center, Bwendero Health Center, Quarterly monitoring of health centers on HIV/AIDS, Kalangala Health Center IV scan was malfunctioning;

Meeting for social services held on 25th-26th September 2018, minute: SSC/12/09/2018, consideration of Quarter 1 reports financial year 2018/2019, Page 4, Insufficient health centers in Kyamuswa County, Insufficient power at Kalangala Health Center IV, Absence of doctors at Kalangala Health Center IV.

The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council

Maximum 4 for this performance measure

- Evidence that the health sector committee has presented issues that require approval to Council: score 2

Kalangala District Local Government health sector committee has presented issues that require approval to Council:

1. Minutes of District Council held on 28th march 2019, Min: KDLG/DC/06/03/19- presentation of reports from sector committees,

Councilors requested to visit all development projects being reported to them so that they appreciate works done,

They also requested to visit all health centers at least once a month to get to know better the health workers and get to know in details what they do at the facility,

Secretary for disability inquired whether Kalangala Health Center IV had got toilet facilities for people with disabilities and response was that newly toilet has a toilet room reserved for people leaving with disabilities.

2. Minute.KDLG/DC/07/03/19 Approval of the recommendations from the committees;

3. Minutes of the District Council held on 26th June 2019, Min:KDLG/DC/33/09/19- Presentation and approval of reports' recommendations for Quarter 4 and 1 financial years 2018/2019 and 2019/2020, Urgent need for toilet facilities at Bukasa and Lulamba health centers, unfunded priority projects, Scaling up awareness on mass measles and Rubella, Immunization campaign between 16th -20th October 2019, Payment of midwives at the health center on voluntary agreement, Poor sanitation in landing sites, schools and everywhere resulting to dysfunctional health inspection sector.

10	The Health Unit Management Committees and Hospital Board are operational/functioning	Evidence that health facilities and Hospitals have functional HUMCs/Boards (established, meetings held and discussions of budget and resource issues):	The health facility HUMCs were functional since 80% (4 out of 5) of them held all the quarterly meetings. The HUMC for Kagganda HCII did not meet in quarters three and four. More information about the meetings of the HUMCs of the selected health facilities is presented below:	4
	Maximum 6 points	<ul style="list-style-type: none"> • If 100% of randomly sampled facilities: score 6 • If 80-99 %: score 4 • If 70-79: %: score 2 • If less than 70%: score 0 	<p>1. Kalangala HCIV HUMC held four quarterly meetings on; 27/9/2018 and discussed the acquisition of solar panels and staff allowances under minute 5; 12/10/2018 and discussed the repair of a double cabin car under minute 6; 4/3/2019 and discussed the allocation of PHC funds under minute 5; and 15/5/2019 and discussed allocation of money for cleaning materials under minute 5.</p> <p>2. Mulabana HCII HUMC held three of the four quarterly meetings. Quarter two meeting was held on 27/12/2018 and discussed the need to construction staff houses. Meetings for quarters three and four were held on 21/3/2019 and 27/6/2019 but budgets and resource allocation were not discussed. The minutes for the meeting for quarter one were not available at the time of assessment.</p> <p>3. Bwendero HCIII HUMC held all the four quarterly meetings on; 18/8/2018 and discussed the construction of the Askari's house under minute 4; 23/11/2018 and discussed the construction of a new block under minute 5; 14/3/2019 in which fencing of the facility was discussed under minute 6; and 28/6/019 in which demarcation of the facility and land and acquisition a land title were under minute 5.</p> <p>4. Bumangi HCIII HUMC held all the four quarterly meetings on; 31/8/2018; 19/11/2018; 27/5/2019; and 10/4/019. Budget and resource allocation were discussed only in quarter 4.</p> <p>5. Mugoye HCIII held all the quarterly meetings on; 28/9/2018 in which the administration budget was discussed under minute 05/28/09/2018; 13/12/2018 but budgets and resource allocation were not discussed; 27/2/2019 in which budgets and resource allocation were not discussed; and 22/5/019 in which fencing of the facility was discussed under minute 04/22/05/2019.</p>	
11	The LG has publicised all health facilities receiving PHC non-wage recurrent grants	<ul style="list-style-type: none"> • Evidence that the LG has publicised all health facilities receiving PHC non- wage recurrent grants e.g. through posting on public notice boards: score 4 	The LG publicised all 13 health facilities that received PHC non- wage recurrent grants through posting the list covering all the four quarters of FY 2018/2019 on the DHO's verandah dated 30/7/2018; 25/10/2018; and 12/4/2019.	4
	Maximum 4 for this performance measure			

Procurement and contract management

12	<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p>	<ul style="list-style-type: none"> • Evidence that the sector has submitted input to procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time by April 30 for the current FY: score 2 	<p>The health sector provided input to the procurement plan by submitting the health sector procurement plan on 7/5/2019, which was after the deadline of 30/4/2019. The procurement plan covered all the 12 investment item in the approved annual work plan and budget. Some of the investment projects included:</p> <ol style="list-style-type: none"> 1. Renovation of Kalangala HCIV staff houses; 2. Construction of a laboratory at Bwendero HCIII; and 3. Construction of a laboratory at Mulabani HCII 	0
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Maximum 4 for this performance measure

12	<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p>	<ul style="list-style-type: none"> • Evidence that LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 2. 	<p>The LG Health department submitted procurement request form (Form PP1) for the previous FY to the PDU on 13/11/2018, which was after the deadline of 30/9/2018. The form covered the renovation of Mulabana HCII staff quarters and others in Bujumba sub county.</p>	0
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Maximum 4 for this performance measure

13	<p>The LG Health department has certified and initiated payment for supplies on time</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the DHO/ MHO (as per contract) certified and recommended suppliers timely for payment: score 4. 	<p>From the sampled payments made during the year to various vendors worth UGX 33,482,750 which was spent on Health Projects:</p> <p>Mpindi Construction Company Limited: Shifting, placing and interior adjustment of container at Kalangala HCIV. Interim Certificate, dated 20/9/2018, DHO signed on 24/9/2018, and CAO on 25/9/2018, and CFO on 31/10/2018 and paid on 1/11/2018 vide EFT No.20213104. UGX 12,680,600.</p> <p>Hadoma Multi investments Ltd: Renovation works completed of staff House at Mulabana Health Centre II. Certificate No.1, dated 19/12/2018, DHO signed on 16/1/2019, CAO on 17/1/2019, CFO on 17/1/2019 and paid on 14/2/2019 vide EFT No.21757672. UGX 20,802,150.</p> <p>All these payments were made within one month after the payment requisitions were raised.</p> <p>The LG is compliant.</p>	4
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Financial management and reporting

14	<p>The LG Health department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 4 	<p>The Health Department submitted Annual Performance Reports for the previous financial year to the Planner on the following dates:</p> <p>Quarter -1: Submitted to the planner on 15th October 2018;</p> <p>Quarter-2: Submitted to the planner 8th January 2019;</p> <p>Quarter -3: Submitted to the planner on 23rd April 2019;</p> <p>Quarter -4: Submitted to the planner on 11th July 2019 and</p> <p>Hence the Health department submitted the Annual Performance Reports by 15th July to the planner for consolidation.</p>	4
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15	<p>LG Health department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year</p> <ul style="list-style-type: none"> • If sector has no audit query: Score 4 • If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: Score 2 points • If all queries are not responded to Score 0 	<p>Two Queries:</p> <p>(1) Delayed transfer of PHC Funds for Kachanga HCII and Lujjabwa HCII.</p> <p>(2) Failure to maintain and prepare Accounting Records and reports as per LGFARs 2007. Reg. 62(3).</p> <p>These were all responded and action for implementation presented.</p> <p>The LG is compliant.</p>	2
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Social and environmental safeguards

16	<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> • Evidence that Health Unit Management Committee (HUMC) meet the gender composition as per guidelines (i.e. minimum 30 % women: score 2 	<p>All the HUMCs met the gender requirement of 30% women as showed; Kalangala HCIV HUMC had 33% (3 out of 9) members; Bumangi HCIII and Mugoye HCIII HUMCs had 43% (3 out of 7) members; Bwendero HCIII HUMC had 57% (4 out of 7) members; and Mulabana HCII had 40% (2 out of 5) members.</p>	2
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16	<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p>	<ul style="list-style-type: none"> Evidence that the LG has issued guidelines on how to manage sanitation in health facilities including separating facilities for men and women: score 2. 	<p>The health department had issued guidelines on sanitation and latrines separated for males and females as reflected below:</p> <ol style="list-style-type: none"> Kalangala HCIV had received two charts titled; “How to handwash” pinned in the laboratory; and “Protect others. Protect yourself” pinned in the Out Patient Department (OPD) waiting area. The two latrines were separated for females and males; Mulabana HCII had received two charts titled “Protect others. Protect yourself” pinned in the waiting area; and “High level Disinfection – Infection prevention” pinned in the antenatal care unit. The latrine was not separated; Bwendero HCIII had received two charts titled; “Handwashing and use of gloves – Infection Prevention” pinned in the laboratory; and “Protect others. Protect yourself” pinned in the OPD waiting area. The latrine was separated for females and males; Bumangi HCIII had received a chart titled “Infection Prevention – Decontamination and prevention of chlorine solutions” which was pinned in the laboratory. The latrine was separated for females and males; and Mugoye HCIII had received two charts titled “Infection Prevention – High level disinfection” which was pinned in the maternity unit; and “Infection Prevention – waste disposal and decontamination” which was pinned in the operating room and maternity unit. The latrine was separated for females and males. 	2
	<p>Maximum 4 points</p>			
17	<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p>	<ul style="list-style-type: none"> Evidence that all health facility infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 2 	<p>The health infrastructural projects were not screened for environmental and social aspects before approval. No screening report was available at the time of the assessment.</p>	0
	<p>Maximum 4 points for this performance measure</p>			

17	<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p>	<ul style="list-style-type: none"> The environmental officer and community development officer have visited the sites to check whether the mitigation plans are complied with: Score 2 	<p>No environment and social monitoring of conducted since no related report was available at the time of the assessment.</p>	0
	<p>Maximum 4 points for this performance measure</p>			
18	<p>The LG Health department has issued guidelines on medical waste management</p>	<ul style="list-style-type: none"> Evidence that the LG has issued guidelines on medical waste management, including guidelines (e.g. sanitation charts, posters, etc.) for construction of facilities for medical waste disposal2: score 4. 	<p>The LG issued guidelines on medical waste management as reflected below:</p> <ol style="list-style-type: none"> Mulabana HCII and Mugoye HCIII had charts on segregation of medical waste; Bwendero HCIII had guidelines for occupational safety and health including HIV in the health services sector; Bumangi HCIII had the waste management flow chart; and Kalangala HCIV had the Biosafety manual version 3.0/2018. 	4
	<p>Maximum 4 points</p>			

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution				
1	<p>The DWO has targeted allocations to sub-counties with safe water coverage below the district average.</p> <p>Maximum score 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district Water department has targeted sub-counties with safe water coverage below the district average in the budget for the current FY: <ul style="list-style-type: none"> o If 100 % of the budget allocation for the current FY is allocated to S/Cs below average coverage: score 10 o If 80-99%: Score 7 o If 60-79: Score 4 o If below 60 %: Score 0 	<ul style="list-style-type: none"> • According to the information received from the MIS of the Ministry of Water and Environment, Kalangala District has a safe water coverage of 67%. • The safe water coverage of 3 Sub-counties of Bujumba (47%), Bufumira (56%) and Kyamuswa (61%) had average safe water coverage below the average District coverage. • According to the AWP of the current FY (2019-2020) submitted to the MoWE under cover CR/158/240 dated July 5th, 2019, and received and approved on July 15th, 2019, 79% of the budget targeted these 3 sub-counties. This is seen on the page 13-15 of the entire work plan and Budget document or Page 4 of the Annex to the AWP 2019-2020. 	4
2	<p>The district Water department has implemented budgeted water projects in the targeted sub-counties (i.e. sub-counties with safe water coverage below the district average)</p> <p>Maximum 15 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district Water department has implemented budgeted water projects in the targeted sub-counties with safe water coverage below the district average in the previous FY. <ul style="list-style-type: none"> o If 100 % of the water projects are implemented in the targeted S/Cs: Score 15 o If 80-99%: Score 10 o If 60-79: Score 5 o If below 60 %: Score 0 	<p>As per the Annual Progress Reports for the previous FY (2018-2019) dated July 05th 2018, (Ref CR/ 158/194) that was received by the MoWE on July 15th, 2019, 4 water and Sanitation projects were planned. Of these, 2 were planned for the 3 sub-counties with safe water coverage below the district average.</p> <p>The projects that were planned for the 2 sub-counties with safe water coverage below the district average were 100% implemented and included: Rehabilitation of Nakibanga Water Supply System; and Design of Kazibugaba Solar Pumped System in Bufumira Sub County</p> <p>Therefore, 100% of the planned projects in these sub counties with water coverage below the district average coverage were implemented.</p>	15

Monitoring and Supervision

The district Water department carries out monthly monitoring of project investments in the sector

Maximum 15 points for this performance measure

Evidence that the district Water department has monitored each of WSS facilities at least annually.

- If more than 95% of the WSS facilities monitored: score 15
- 80% - 95% of the WSS facilities - monitored: score 10
- 70 - 79%: score 7
- 60% - 69% monitored: score 5
- 50% - 59%: score 3
- Less than 50% of WSS facilities monitored: score 0

- The District Water Officer was appointed as the Contract Manager on September 12th, 2018 in a communication signed by the District Engineer.

- The District Water Office prepared Contract monitoring (Management) plan for the Buyange Solar Pumped Water project on September 15th, 2018.

- On the basis on this plan, the DWO undertook a number of monitoring trips and some of the respective monitoring report are as outlined below:

- o Report on the Construction Works Status at Buyange Water Supply Scheme as of May 27th, 2019 which was prepared on May 27th, 2019;

- o Report on the Construction Works Status at Buyange Water Supply Scheme as of March 18th, 2019 which was prepared on March 29th, 2019;

- o Report on the Construction Works Status at Buyange Water Supply Scheme as of February 7th, 2019 which was prepared on February 14th, 2019; and

- o Report on the Construction Works Status at Buyange Water Supply Scheme as of December 12th, 2018 which was prepared on December 12th, 2018.

- Besides the trips to Buyange Water Supply System, the District Water Officer undertook monitoring of the Contract for the rehabilitation of Kasekulo-Tuubi Water Supply System. To that effect, a monitoring report for Kasekulo-Tuubi was submitted to the CAO on March 12th, 2019, copy of the same was seen during the assessment.

- The District Water Officer provided filled Form 1s (16#) which were used for monitoring all new water sources in the district. Form 4s that were used to monitor the functionality all the old water sources were also filled in and submitted.

These forms were submitted under cover letter Ref: CR/158/195 dated July 5th, 2019 and was received by MoWE on August 26th, 2019

This confirms that water sources were monitored.

The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE

Maximum 10 for this performance measure

- Evidence that the district has submitted accurate/consistent data for the current FY: Score 5

The data lists of water facilities contained in the District Annual Work Plan is similar to the information in the MIS report obtained from the Ministry of Water and Environment. They included:

- 26 protected springs
- 70 shallow wells
- 2 deep boreholes
- 127 rainwater harvesting tanks
- 0 dam
- 0 valley tanks
- 101 tap stands

Form 4s were submitted to the Ministry of Water and Environment under cover letter CR/158/195 dated July 5th, 2019 which was received at the Ministry on August 26th, 2019.

The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE

Maximum 10 for this performance measure

- List of water facility which are consistent in both sector MIS reports and PBS: score 5

The water facilities listed in the MIS report provided by the Ministry of Water and Environment reflected;

- Rehabilitation of Kasekulutuubi solar pumped piped water supply scheme;
- Rehabilitation of Nakibanga Solar Pumped Piped Water Supply Scheme; and
- Construction of Buyange solar pumped piped water supply scheme.

This was consistent with the information in the PBS in the 4th quarter report

Procurement and contract management

5	<p>The district Water department has submitted input for district's procurement plan, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p>	<p>Evidence that the sector has submitted input for the district procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time (by April 30): score 4</p>	<p>The water department prepared and submitted the procurement plan on July 5th, 2018. It was received and signed by the procurement department on the same day – July 6th, 2018. Evidence was provided to that effect. In form a copy of the procurement plan that was signed by the Procurement Officer, Mr. Mpola Michael on July 6th, 2018.</p>	0
<p>Maximum 4 for this performance measure</p>				
6	<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p>	<ul style="list-style-type: none"> • If the contract manager prepared a contract management plan and conducted monthly site visits for the different WSS infrastructure projects as per the contract management plan: score 2 	<ul style="list-style-type: none"> • The District Water Officer was appointed as the Contract Manager on September 12th, 2018 in a communication signed by the District Engineer. • The District Water Office prepared Contract monitoring (Management) plan for the Buyange Solar Pumped Water project on September 15th, 2018. • On the basis on this plan, the DWO undertook a number of monitoring trips and some of the respective monitoring report are as outlined below: <ul style="list-style-type: none"> o Report on the Construction Works Status at Buyange Water Supply Scheme as of May 27th, 2019 which was prepared on May 27th, 2019; o Report on the Construction Works Status at Buyange Water Supply Scheme as of March 18th, 2019 which was prepared on March 29th, 2019; o Report on the Construction Works Status at Buyange Water Supply Scheme as of February 7th, 2019 which was prepared on February 14th, 2019; and o Report on the Construction Works Status at Buyange Water Supply Scheme as of December 12th, 2018 which was prepared on December 12th, 2018. • Besides the trips to Buyange Water Supply System, the District Water Officer undertook monitoring of the Contract for the rehabilitation of Kasekulo-Tuubi Water Supply System. To that effect, a monitoring report for Kasekulo-Tuubi was submitted to the CAO on March 12th, 2019, copy of the same was seen during the assessment. 	2
<p>Maximum 8 points for this performance measure</p>				

6 The district has appointed Contract Manager and has effectively managed the WSS contracts • If water and sanitation facilities constructed as per design(s): score 2 The only newly constructed project implemented during the year was Buyange Water Supply System in Bubeke Sub County. This project was implemented as designed. 2

Maximum 8 points for this performance measure

6 The district has appointed Contract Manager and has effectively managed the WSS contracts • If contractor handed over all completed WSS facilities: score 2 The Contractor had not yet officially handed over the facility for use to the communities and no hand over report to that effect was seen. 0

Maximum 8 points for this performance measure

6 The district has appointed Contract Manager and has effectively managed the WSS contracts • If DWO appropriately certified all WSS projects and prepared and filed completion reports: score 2 DWO prepared completion report for the construction of Buyange Solar Pumped Water Supply System. The completion report seen during the assessment was prepared on May 27th, 2019. The contract had been awarded to Desoft Consult and Engineering Ltd and signed on November 29th, 2019 –Ref Kala 515/Wrks/18-18/00032 2

Maximum 8 points for this performance measure

<p>The district Water department has certified and initiated payment for works and supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: score 3 points 	<p>From the sampled payments made during the year worth UGX 53,801,610 to various vendors on Water Projects below :</p> <p>Semawundo Solar Piped Water: Payments for the solar piped water supply system at Semawundo in Bufumbira SC, Certificate no. 1, dated 24/9/2018, DWO signed on 24/9/2019, CAO on 31/10/2019, and CFO on 21/11/2019 and paid on 22/11/2019 vide EFT No.20420207. UGX 25,077,610.</p> <p>Yiiga Francis: Construction of solar powered water supply at Buyange landing site. Force on Account. DWO signed on 23/10/2018, CAO on 25/10/2018, and CFO on 31/10/2019 and paid on 1/11/2019 vide EFT No. 20213228. UGX 28,730,000.</p> <p>All these payments were made on time and mostly within a maximum of one month after requisition for payment was raised.</p> <p>The LG was Compliant.</p>
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Financial management and reporting

<p>The district Water department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 5 	<p>The Water Department submitted Annual Performance Reports for the previous Financial Year to the Planner on the Following dates:</p> <p>Quarter -1: Submitted to the planner on 17th October 2018;</p> <p>Quarter-2: Submitted to the planner 11th January 2019;</p> <p>Quarter -3: Submitted to the planner on 26th April 2019; and</p> <p>Quarter -4: Submitted to the planner on 19th July 2019.</p> <p>Hence the Water department did not submit the Annual Performance Reports by 15th July to the planner for consolidation.</p>
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The District Water Department has acted on Internal Audit recommendation (if any)	<ul style="list-style-type: none"> • Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year 	<p>Two Queries:</p> <p>(1) Failure to adhere to PPDA Guidelines while carrying out Force on Account transactions.</p> <p>(2) Inadequate documentation and Accountability for user fees collected by WATSAN Committees.</p>
Maximum 5 for this performance measure	<ul style="list-style-type: none"> o If sector has no audit query score 5 o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 3 	Matters discussed by LGPAC in the meetings held on 27 & 28 December 2019 and report of 28/12/2018.
	If queries are not responded to score 0	<p>Queries dropped.</p> <p>The LG was Compliant.</p>

Governance, oversight, transparency and accountability

The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council

Maximum 6 for this performance measure

- Evidence that the council committee responsible for water met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports and submissions from the District Water and Sanitation Coordination Committee (DWSCC) etc. during the previous FY: score 3

Kalangala District Local Government, committee for works and technical services discussed service delivery issues on the following:

The work plan and budget 2019/2020 from the standing committees on production, marketing and works held on 11th June 2019 at Kalangala District Headquarters August House.

1. Minute:PWC/08/06/2019, considerations of departmental work plan and budget; Water sector, the expected funding from central government was UGX 290,000,000/=as opposed to the draft budget of UGX 383,494,000/= that was tabled to council; rehabilitation of existing water schemes at UGX 24,300,000/= equivalent to 9% of the grant, committee urged District Water Officer to intensify water quality testing that is distributed to communities periodically to avoid water borne diseases, water officer was tasked to design suitable water harvesting tank scheme to cater for communities in those affected areas e.g. Ngulu, Kikwayo.

2. Meeting held on 26th -27th march 2019 minute: 02/03/2019- consideration of the departmental reports for Quarter 3, they discussed break down of Ttubi Kasekulo water , inspection and assessment of faults done by the department and promised to be rectified , breakdown of water plants and servicing needs - guiding the community to utilize the services of WATSAN committees , shallow well break down in landing sites- maintenance of shallow wells is now a mandate of the Sub Counties so they should be advised to handle this in their budgets.

3. Meeting held on 18th -19th December 2019, minute: 17/12/2018, considerations of departmental reports for Quarter 2 financial year 2018/2019, Water sector, the water transportation bill- this is a water transport bill submitted to council, Jaana water supply, Nakibanga water supply – Jaana water supply not connected Nakibanga water system was rehabilitated but the increase in population makes this insufficient.

Meeting held on 25th-26th September 2018; Works and water- water use committees for various water facilities should be accounted for funds they have been collecting. Alot of water user committees for various water facilities should be compiled, promote operators to manage the use of the water in water on the public facilities.

10	<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p>	<ul style="list-style-type: none"> Evidence that the water sector committee has presented issues that require approval to Council: score 3 	<p>Kalangala District Local Government works and technical services committee has presented issues that require approval to Council on the following dates:</p> <ol style="list-style-type: none"> Meeting held on 28th march 2019, Min: KDLG/DC/06/03/19- presentation of reports from sector committees, Break down of Ttubi Kasekulo water inspection and assessment of faults done by the department was to be rectified soon, Shallow well breakdown in landing sites and maintenance of swallow wells is now a mandate of sub counties so they should be advised to include them in the budgets. Minute.KDLG/DC/07/03/19 Approval of the recommendations from the committees and Meeting held on 26th June 2019, Minute: KDLG/DC/33/09/19- Presentation and approval of reports' recommendations for Quarter 4 and 1 financial years 2018/2019 and 2019/2020; <u>Water projects</u>; Ttubi and Senero the contractor is on ground Butuma and Buyage contractors were identified but agreement was not yet signed, Lujaabwa toilet maintenance- the contractor was on site, Kachanga water supply was not steady especially during the rainy season. The pump required 12 million for replacement. The community was willing to contribute but the elected committees mismanaged the collected funds. 	3
	<p>Maximum 6 for this performance measure</p>			
11	<p>The district Water department has shared information widely to the public to enhance transparency</p>	<ul style="list-style-type: none"> The AWP, budget and the Water Development grant releases and expenditures have been displayed on the district notice boards as per the PPDA Act and discussed at advocacy meetings: score 2. 	<p>The AWP, Budget and the water development grant releases and expenditures were not displayed on the notice boards as per the PPDA Act</p>	0
	<p>Maximum 6 points for this performance measure</p>			
11	<p>The district Water department has shared information widely to the public to enhance transparency</p>	<ul style="list-style-type: none"> All WSS projects are clearly labelled indicating the name of the project, date of construction, the contractor and source of funding: score 2 	<p>WSS projects were not labelled to project name, name of contractor, source of funding and date/year of construction.</p>	0
	<p>Maximum 6 points for this performance measure</p>			

11	<p>The district Water department has shared information widely to the public to enhance transparency</p>	<ul style="list-style-type: none"> Information on tenders and contract awards (indicating contractor name /contract and contract sum) displayed on the District notice boards: score 2 	<p>Information on tenders and contract awards was not displayed on the District notice board for the public to view. There was no information related to the same of the district main notice board and the Department notice board.</p>	0
	<p>Maximum 6 points for this performance measure</p>			
12	<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If communities apply for water/ public sanitation facilities as per the sector critical requirements (including community contributions) for the current FY: score 1 	<p>Community application files was seen and applications for Water and Sanitation projects sampled were as below:</p> <ol style="list-style-type: none"> 1. Application for water source at Buyange LC 1, Bubeke Sub County by Kinwanuka Benedict Chairperson LC 1 Buyanga on December 3rd, 2016, endorsed by 5 residents (2 female and 3 male) of Buyanga on December 6th, 2016; 2. Application for water source at Kaazi Bugaba LC 1, Bufumira Sub County by Ayebale Joel, Chairperson LC 1 Kaazi - Bugaba on February 5th, 2016, endorsed by 5 residents (3 female and 2 male) on February 5th, 2016; 3. Application for solar powered water source at Ssemawundo Village, Bufumira Sub County by Sentongo J, Chairperson LC 1 - Ssemawundo on April 19th, 2016, endorsed by 5 residents (4 female and 1 male) of Ssemawundo village, Bufumira Sub County on April 19th, 2016; and 4. Application for water source at Mizingu/ Beta Village, Mugoye Sub County by Wasswa Kawesi, Chairperson LC 1 – Mizingu/ Beta on April 24th, 2016, endorsed by 33 residents (8 female and 25 male) of Mizingu/ Beta village, Mugoye Sub County on April 24th, 2016. 	1

<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Water and Sanitation Committees that are functioning evidenced by either: i) collection of O&M funds, ii) (carrying out preventive maintenance and minor repairs, iii) facility fenced/protected, or iv) they have an M&E plan for the previous FY: score 2 <p>Note: One of parameters above is sufficient for the score.</p>	<p>Evidence of collection of community contribution for O&M funds for newly constructed as well as rehabilitated projects was seen as summarized below:</p> <ol style="list-style-type: none"> 1. Contribution of UGX 250,000 towards newly constructed Solar Powered Water Supply System at Buyange, Bubeke Sub County acknowledged by Kalangala DLG on General Receipt No. 01371 dated January 20th, /2017. 2. Contribution of UGX 150,000 towards newly constructed Solar Powered Water Supply System at Kaazi-Bugaba, Bufumira Sub County acknowledged by Kalangala DLG on General Receipt No. 0613 dated November 18th, /2014. 3. Contribution of UGX 150,000 towards newly constructed Solar Powered Water Supply System at Kaazi-Bugaba, Bufumira Sub County acknowledged by Kalangala DLG on General Receipt No. 0613 dated November 18th, /2014. 4. Contribution of UGX 225,000 towards newly constructed Solar Powered Water Supply System at Ssemawundo, Bufumira Sub County acknowledged by Kalangala DLG on General Receipt No. 01096 dated May 17th, /2016. 5. Contribution of UGX 200,000 towards newly constructed Solar Powered Water Supply System at Kachanga, Bufumira Sub County acknowledged by Kalangala DLG on General Receipt No. 0580 dated September 30th, /2014
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Social and environmental safeguards

<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that environmental screening (as per templates) for all projects and EIAs (where required) conducted for all WSS projects and reports are in place: score 2 	<p>There was evidence that environmental screening was conducted for the construction project in the district and the screening report prepared by the Natural Resources Officer Ms. Harriet Saawo on November 13th, 2018. The screening was guided by the Environmental and Social Screening Forms (ESSF) Annex VIII, which was seen during the assessment.</p> <ul style="list-style-type: none"> • The screening was for construction of a Solar Powered Water System at Buyanga in Bubeke Sub County which was the only construction project undertaken by the water department during the year.
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13	<p>The LG Water department has devised strategies for environmental conservation and management</p>	<ul style="list-style-type: none"> Evidence that there has been follow up support provided in case of unacceptable environmental concerns in the past FY: score 1 	<p>According to the Environmental Monitoring Templates seen, environment and social certification for the project was prepared and endorsed by the Community Development Officer, Ms. Kisakye Esther and the District Natural Resources Officer, Ms Harriet Saawo.</p> <p>Subsequently, the District Natural Resources Officer, Saawo Harriet undertook a monitoring visit (February 6th – 8th, 2019) to support mitigation against the raised environment concerned. The field visit report prepared by the Officer was seen dated February 11th, 2019.</p>	1
	<p>Maximum 4 points for this performance measure</p>			
13	<p>The LG Water department has devised strategies for environmental conservation and management</p>	<ul style="list-style-type: none"> Evidence that construction and supervision contracts have clause on environmental protection: score 1 	<p>According to the BoQ of the only construction contract implemented by the department, Vide Construction of Buyange Solar Powered Water Supply System, there was evidence of a clause on environmental protection. For example,</p> <p>In the BoQ of contract signed by DESOFT Consults and Engineering (U) Limited which was contracted to Construct Buyange Solar Powered Water Supply in Bubeke Sub County, Under 36 of the BOQ provides for “Breaking and reinstatement of gravel road”. Under 37”Allow for stripping of soil from easement and to re-instate as per engineers instruction”</p>	1
	<p>Maximum 4 points for this performance measure</p>			

14	<p>The district Water department has promoted gender equity in WSC composition.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If at least 50% WSCs are women and at least one occupying a key position (chairperson, secretary or Treasurer) as per the sector critical requirements: score 3 	<p>Lists of the water user committee members formed for the different water points which was contained in the report of March 25th, 2018 were reviewed to ascertain the respective representation of women on each of them. The representation of women on the respective water source committees was as summarized below:</p> <ul style="list-style-type: none"> Kaaya (Kagonya) Shallow Well in Bufumira Sub County :5 female (Chairperson, Vice Chairperson, secretary, and treasurer) and 2 male; Semawundo Public Tap Stand, Bufumira Sub County: 7 female (Chairperson, Vice Chairperson, secretary, and treasurer) and 2 male; Mawogora Shallow Well, Mugoye Sub County: 4 female (Chairperson, Vice Chairperson, secretary, and treasurer) and 4 male; Buziga Shallow Well in Mugoye Sub County :4 female (Chairperson, Vice Chairperson, secretary, and treasurer) and 4 male; Kaazi-Bugaba Public Tap Stand, Bufumira Sub County: 4 female (Chairperson, secretary, and treasurer) and 3 male; and Banda-Kifumbira Shallow Well, Mugoye Sub County: 4 female (Chairperson, secretary, and treasurer) and 3 male <p>From the analysis of these lists all the 6 formed committees had 50% or more member comprised of females. Some of the committees had a representation of as high 5 female and 1 male as was the case for Kaaya shallow well and Semawundo Public Tap Stand.</p>	3
15	<p>Gender and special needs-sensitive sanitation facilities in public places/</p> <p>RGCs provided by the Water Department.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If public sanitation facilities have adequate access and separate stances for men, women and PWDs: score 3 	<ul style="list-style-type: none"> There was no Sanitation facility that was constructed in the district in last FY. Therefore the adequacy of accessibility for the People With Disability (PWD) in terms of the ramp as well as the hand rail support, the separation of stances for women and men as well as availability of hand washing facilities could not be assessed. 	0