



Local Government Performance Assessment

Bukedea District

(Vote Code: 578)

Assessment	Scores
Accountability Requirements	%
Crosscutting Performance Measures	75%
Educational Performance Measures	96%
Health Performance Measures	80%
Water & Environment Performance Measures	68%

No.	Summary of requirements	Definition of compliance	Compliance justification	Compliant?
Annual performance contract				
1	LG has submitted an annual performance contract of the forthcoming year by June 30 on the basis of the PFMAA and LG Budget guidelines for the coming financial year.	<ul style="list-style-type: none"> From MoFPED's inventory/schedule of LG submissions of performance contracts, check dates of submission and issuance of receipts and: <ul style="list-style-type: none"> If LG submitted before or by due date, then state 'compliant' If LG had not submitted or submitted later than the due date, state 'non-compliant' From the Uganda budget website: www.budget.go.ug, check and compare recorded date therein with date of LG submission to confirm. 	Bukedea District LG submitted an Annual Performance Contract on 17th July 2019 (online submission), and received by MoFPED on same date. The submission was made within the timeframe before 31st August, 2019 as per the revised timing for the LGPA	Yes
Supporting Documents for the Budget required as per the PFMA are submitted and available				
2	LG has submitted a Budget that includes a Procurement Plan for the forthcoming FY by 30th June (LG PPDA Regulations, 2006).	<ul style="list-style-type: none"> From MoFPED's inventory of LG budget submissions, check whether: <ul style="list-style-type: none"> The LG budget is accompanied by a Procurement Plan or not. If a LG submission includes a Procurement Plan, the LG is compliant; otherwise it is not compliant. 	<p>The District LG submitted a Budget for the forthcoming FY (2019/2020) on 17th July, 2019, and received by MoFPED on same date.</p> <p>The Budget contained a LG Procurement Plan for the same period. The submission was made within the timeframe before 31st August, 2019 as per the revised timing for the LGPA.</p>	Yes

Reporting: submission of annual and quarterly budget performance reports

3

LG has submitted the annual performance report for the previous FY on or before 31st July (as per LG Budget Preparation Guidelines for coming FY; PFMA Act, 2015)

From MoFPED's official record/inventory of LG submission of annual performance report submitted to MoFPED, check the date MoFPED received the annual performance report:

- If LG submitted report to MoFPED in time, then it is compliant
- If LG submitted late or did not submit, then it is not compliant

The LG submitted the Annual Performance Report for the previous FY 2018/2019 on 31st July, 2019 and was received by MoFPED on the same date. The submission was made within the timeframe before 31st August, 2019 as per the revised timing for the LGPA

Yes

4

LG has submitted the quarterly budget performance report for all the four quarters of the previous FY by end of the FY; PFMA Act, 2015).

From MoFPED's official record/ inventory of LG submission of quarterly reports submitted to MoFPED, check the date MoFPED received the quarterly performance reports:

- If LG submitted all four reports to MoFPED of the previous FY by July 31, then it is compliant (timely submission of each quarterly report, is not an accountability requirement, but by end of the FY, all quarterly reports should be available).
- If LG submitted late or did not submit at all, then it is not compliant.

Submission of the quarterly budget performance reports during FY 2018/2019 was made as follows:

Quarter Submission Acknowledgement

Date Reference Date

Quarter 01 13/11/2018
Unreferenced

Quarter 02 25/02/2019
=do=

Quarter 03 10/05/2019
=do=

Quarter 04 31/07/2019
=do=

The submission was made within the timeframe before 31st August, 2019 as per the revised timing for the LGPA.

Yes

Audit

5	<p>The LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and the Auditor General's findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes actions against all findings where the Internal Auditor and the Auditor General recommended the Accounting Officer to take action in lines with applicable laws.</p>	<p>From MoFPED's Inventory/record of LG submissions of statements entitled "Actions to Address Internal Auditor General's findings",</p> <p>Check:</p> <ul style="list-style-type: none"> • If LG submitted a 'Response' (and provide details), then it is compliant • If LG did not submit a 'response', then it is non-compliant • If there is a response for all –LG is compliant • If there are partial or not all issues responded to – LG is not compliant. 	<p>The LG provided information to the PS/ST on the status of implementation of Auditor General's findings for the previous financial year 2018/2019 by end of February, 2019 on 14th February, 2019 Ref: CR 251/2.</p> <p>(A) Internal Auditor General FY 2018/2019</p> <p>Total number of queries raised Total number of queries cleared Total number of queries pending</p> <p>15 15 Nil</p> <p>However, the letter to PS/ST on the status of implementation of the Internal Auditor General's findings for the same period was made on 22nd May, 2019 and addressed to the Chairman LG Audit Committee Eastern B hence non-compliant with the timeframe.</p> <p>(B) Office of the Auditor General FY 2017/2018</p> <p>Total number of queries raised Total number of queries cleared Total number of queries pending</p> <p>17 17 Nil</p>	No
6	<p>The audit opinion of LG Financial Statement (issued in January) is not adverse or disclaimer.</p>		<p>The Auditor General's Opinion on the Financial statements of Bukedea DLG for the Financial Year ended 30th June, 2019 was Unqualified.</p>	Yes

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution				
1	<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<p>Evidence that a district/ municipality has:</p> <ul style="list-style-type: none"> • A functional Physical Planning Committee in place that considers new investments on time: score 1. 	<p>The PPC Committee for Bukedea DLG was functional and sat regularly. Although it consisted of 11 members out of the 13 recommended at District level) as per Part III (9) of the Physical Planning Act, 2010.</p> <p>Name Designation Appointment</p> <p>Ref CR:21/8/1</p> <p>1.Oluka David Okwii Member 18/6/18</p> <p>2.Imalignat Julius Joseph Member 18/6/18</p> <p>3.Oturu Micheal Okallang Member 18/6/18</p> <p>Alupo Esther Margaret Member 18/6/18</p> <p>Olupot David Member 18/6/18</p> <p>Akwap Marion Member 9/2/2017</p> <p>Ikodet Stephen Member 9/2/2017</p> <p>Amuya S.O Member 9/2/2017</p> <p>Kedi Eric Member 9/2/2017</p> <p>Emudong Moses Member 9/2/2017</p> <p>Adongo Roseline</p> <p>Luhoni chairperson 11/10/2019</p> <p>The Committee was functional during the FY 2018/2019 evidenced by the following: (Examples)</p> <p>30TH /10/2018</p> <p>Approval of Applications</p> <p>Min05/DPPC/10/2018</p> <p>10TH /04/2019, under Min11/DPPC/04/2019 discussed investment priorities for the FY 19/20</p> <p>There was no Physical Development Plan.</p>	1

1

All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans

Maximum 4 points for this performance measure.

- Evidence that district/MLG has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD score 1.

The council sat and submitted minutes of the Physical Planning Committee to MoLHUD ONLY TWICE as follows:

Date of the meeting Date of Submission of minutes

1 30th /10/2018 12/07/2019

2 10th /2/2019 12/07/2019

0

1

All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans

Maximum 4 points for this performance measure.

- All infrastructure investments are consistent with the approved Physical Development Plan: score 1 or else 0

There were no infrastructure investments consistent with the physical development plan because of absence of a Physical development plan

0

All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans

- Action area plan prepared for the previous FY: score 1 or else 0

Action Area plan for FY 2018/2019 had not been prepared by the time of the assessment.

Maximum 4 points for this performance measure.

The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year

development plan, are based on discussions in annual reviews and

budget conferences and

have project profiles

Maximum 5 points on this performance measure.

- Evidence that priorities in AWP for the current FY are based on the outcomes of budget conferences: score 2.

Priorities in AWP for FY 2019/2020:

1. Construction of 2 classroom block and office at Auruku-Kanyanga P/S

2. Construction of 5 Stance pit Latrine office at Auruku-Kanyanga P/S

3. Construction of 5 Stance pit Latrine office at Kotia P/S

4. Construction of 5 Stance pit Latrine office at Katekwan P/S

6. construction of classrooms at Kabarwa Seed Secondary School

The priorities were discussed in the Budget Conference held on: 26th /10/2018 section pg 7-10 of the report

There were no Priority projects in the AWP that were not discussed in the Budget Conference

The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and

budget conferences and

have project profiles

Maximum 5 points on this performance measure.

• Evidence that the capital investments in the approved Annual work plan for the current FY are derived from the approved five-year development plan. If differences appear, a justification has to be provided and evidence provided that it was approved by the Council. Score 1.

Examples of capital investments in the approved Annual Work Plan for the FY2019/2020 derived from the approved Five-Year Development Plan.

1. Construction of 2 classroom block and office at Auruku-Kanyanga P/S
2. Construction of 5 Stance pit Latrine office at Auruku-Kanyanga P/S
3. Construction of 5 Stance pit Latrine office at Kotia P/S
4. Construction of 5 Stance pit Latrine office at Katekwan P/S
6. construction of classrooms at Kabarwa Seed Secondary School

The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year

development plan, are based on discussions in annual reviews and

budget conferences and

have project profiles

Maximum 5 points on this performance measure.

• Project profiles have been developed and discussed by TPC for all investments in the

AWP as per LG Planning

guideline: score 2.

TPC meetings held on: 27/11/2018 under Minute DPTC006/11/2018 discussed investments in the AWP as per LG Planning guidelines.

Examples of project profiles include:

Project Identification

Sector: Health

Project code : 08810

Sub sector : PHC

Budget Code : 231002/2018/2019

Project Title: Up-grading of Health Centres

Implementation Agency: Bukedea District Local Government

Project Location: Akuoro Health Centre III

PROJECT FINANCING

Total Planned Expenditure: 500,000,000

Funds secured : 500,000,000

Funding Gap:

Recurrent Expenditure: 500,000,000

Funding source PHC development: 500,000,000

Start Date : July 2018

Completion date : 2019

Annual statistical abstract developed and applied

Maximum 1 point on this performance measure

• Annual statistical abstract, with gender-disaggregated data has been compiled and presented to the TPC to support budget allocation and decision-making- maximum score 1.

Annual Statistical Abstract was discussed TPC meeting held on:17/06/2019 under Minute number DPTC005/06/2019

Gender disaggregation is evidenced on page 71 & 70

4	<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that all infrastructure projects implemented by the LG in the previous FY were derived from the annual work plan and budget approved by the LG Council: score 2 	<p>All Infrastructure projects implemented by the LG in the previous FY 2018/2019 were derived from the Annual Work Plan and Budget approved by the LG Council under Minute BDLG/COU/125/05/2019 of 24TH /MAY/2019</p> <p>Minute.42/NDCM/05/2019 of 30TH /May/2019. For example</p> <p>All Infrastructure project Page in AWP/B</p> <p>Construction of classroom block and office Tokor P/S 63</p> <p>Construction of staff House at Kachumbala HCIV 59</p> <p>Residential Buildings and staff Health 59</p>	2
4	<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the investment projects implemented in the previous FY were completed as per work plan by end for FY. o 100%: score 4 o 80-99%: score 2 o Below 80%: 0 	<p>Ninety-five (95%) percent of the projects that were being implemented before in FY 18/19 had been completed. Examples on file were:</p> <ul style="list-style-type: none"> • Construction of a classroom block, Tokoro p/ls office block and a pit latrine costed at 102,000,000 had been completed • Construction of staff house at 89,000,000 shs had been partially completed • Construction of 10 boreholes at 270,000,000 had been completed • Construction of protection 8 springs at 56,000,000 had been completed <p>Total projects completed were $19/20 \times 100 = 95\%$</p>	4
5	<p>The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that all investment projects in the previous FY were completed within approved budget – Max. 15% plus or minus of original budget: score 2 	<p>Investment projects that were constructed had been completed within the budget 15% deviation from the approved budget</p> <p>For example:</p> <ul style="list-style-type: none"> • Construction of a classroom block, Tokoro p/ls office block and a pit latrine costed at 102,000,000 and payment of 49,000,000 and 80,000,000 was made respectively on two separate payment certificates. • Partial construction of staff house at 89,000,000 shs of which 445,000,000/ was cleared. • Construction of 10 boreholes at 270,000,000, completed and paid • Construction of protection 8 springs at 56,000,000, completed and paid <p>Investment budget: 517,000,000</p> <p>Actual Expenditure: 450,000,000</p> <p>87.04% of the budget was spent on investment.</p>	2

The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY

Maximum 4 points on this Performance Measure.

- Evidence that the LG has budgeted and spent at least 80% of the O&M budget for infrastructure in the previous FY: score 2

There was no evidence that the LG had budgeted and spent at least 80% of O&M budget for infrastructure in the previous FY.

The list of assets and projects in need of maintenance for FY 2018/2019 were as follows:

Asset/Project Actual Expense

Borehole repairs 24,420,000

Borehole repairs 2,418,788

Ecosan latrine repairs 9,400,000

Latrine at RDCs Office repairs 8,204,800

Borehole repairs 29,000,000

Vehicle repairs 1,325,000

Motorcycle repairs 983,500

Printer repairs 231,600

Vehicle repairs 4,640,000

Vehicle repairs 713,000

Computer repairs 432,000

Computer repairs 321,000

Vehicle repairs 3,160,000

Motorcycle repairs 543,600

Electrical repairs 976,900

Computer repairs 213,700

Vehicle repairs 945,800

Computer repairs 154,000

Generator repairs 214,000

Printer repairs/Maintenance 109,000

Vehicle repairs 1,059,700

Computer repairs 143,600

Vehicle repairs 1,534,800

Printer repairs 210,700

Electrical repairs 1,453,900

Generator repairs 121,500

Vehicle repairs 989,700

Printer repairs/Maintenance 123,744

Printer repairs/Maintenance 213,800

Generator repairs 166,900

Vehicle repairs 486,800

Vehicle repairs 877,000

Printer repairs/Maintenance 56,900

Computer repairs 134,800

Electical repairs 345,800

Council Hall repair 32,800,900

Door repairs 142,900

Computer repairs 121,700

Printer repairs/Maintenance 290,000

Generator repairs 144,800

Vehicle repairs 1,824,000

Computer repairs 111,700

Printer repairs/Maintenance 109,000

131,871,332

Actual expenditure on O&M during the FY was Shs 131,871,332

The Budget for O&M in FY 2018/2019 Shs was not provided, so the assessment team did not have a denominator to compare the expenditure with.

Human Resource Management

LG has substantively recruited and appraised all Heads of Departments

Maximum 5 points on this Performance Measure

- Evidence that the LG has filled all HoDs positions substantively: score 3

Bukedea DLG had not filled all HoDs positions.

The staff structure and staff list dated 13 / 6 /2017 for the current FY, under Min BDLG /104 /49/03/ 17 ARC / 135 /306/01 showed that there were 8 heads of department HODs of which , 4 were substantively filled.

While 4 were in acting position.

The substantive HODs were

1. Mr Atwarun Isaac chief finance officer CR/D/10043
Appointed on 28/6/2012 DSC minute 41/2002

2. The District Education officer, Mr Aturu Micheal Okalang CR /D/10431 appointed on 16/6/2018 under Min 012/2018

3. The district community development Officer, Mrs Akwap Marion appointed on 30 /4 2015 under DSC Min 172 /2015

4. District Engineer Mr Wanelobe Francis CR/D/10156,
Appointed on 1st /2008 , DSC 14/2008

Acting HODs position were ;

5. The District health officer , Mr Ikodet Stephen Samson CR/D/ 10163 DSC , 41/2012 Appointed on 20/6/2012

6. The district production officer, Mr Dr Ongelech Francis, CR /D/10098 appointed on 15/2/2013 on the DSC Minute 47/2012

7. The District Commercial officer, Mr Otim Moses Godfrey CR/D/10339 Appointed on 11/5 /2019 on the DSC, Min 2013/2016

8. District Natural resource officer Oluka Devid Okwi appointed on 18/3/2018 ,CR/D/10438 , DSC Min 022/2012

6	<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that HoDs have been appraised as per guidelines issued by MoPS during the previous FY: score 2 	<p>There was evidence that all HoDs had been appraised as per guidelines issued by MoPS during the previous FY as indicated below</p> <p>Mr Atwarun Isaac chief finance officer CR/D/10043 Appointed on 28/6/2012 Appraised on 30/6/2019</p> <p>District Education officer, Mr Aturu Micheal Okalang CR /D/10431 appointed on 16/6/2018 Appraised on 30/6/2019</p> <p>The district community development Officer, Mrs Akwap Marion appointed on 30 /4 2015 appraised on 2rd/7/2019,</p> <p>District Engineer Mr WanelobeS Francis CR/D/10156, Appointed on 1st /2008 Appraised 4 /7/2019</p> <p>The District health officer, Mr Ikodet Stephen Samson CR/D/ 10163 Appointed on 20/6/2012 And was appraised on 30/6/2019</p> <p>The district production officer , Mr Dr Ongelech Francis , CR /D/10098 appointed on 15/2/2013 Appraised on 5/7/2019</p> <p>The District Commercial officer, Mr Otim Moses Godfrey CR/D/10339 Appointed on 11/5 /2019 and Appraised on 5/7/2019.</p> <p>District Natural resource officer Oluka Devid Okwi appointed on 18/3/2018 CR/D/10438 Appraised on 1/10/2019 ,</p>	2
7	<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100 % of staff submitted for recruitment have been considered: score 2 	<p>There was evidence that 100% of staff submitted for recruitment in Bukedea District by the CAO on 7/5/19 to DSC under Min 48/2019 had been considered as follows ;</p> <p>A total of 20 staff were submitted for recruitment and were considered on 5th/11/2018 under Min DSC 48/2019/ i on Ref CR/152/4.</p> <p>There was evidence that 100% of staff submitted for recruitment in Bukedea District by the CAO on 7/5/19 to DSC under Min 48/2019 had been considered as follows ;</p> <p>A total of 20 staff were submitted for recruitment and were considered on 5th/11/2018 under Min DSC 48/2019/ i on Ref CR/152/4.</p> <p>Details of 4 of the 20 staff sampled were as follows,</p> <ul style="list-style-type: none"> •Mr Ocom Samson Education Assistant appointed on 23/5/2019 CR/ D/10043 • Mr Chemeri James Accounts Assistant was appointed on 27/6/2018, CR/D/10510, • Mr Otibok Richard, Head teacher Appointed on 28/5/2019 ,CR/D/ 10541 •Mis Asio Beatrice office typist appointed on 27\6/2019 CR/D / 10511 DSC 48/2019 Min 58/2019 	2

7	<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100 % of positions submitted for confirmation have been considered: score 1 	<p>There was evidence that the staff recruited and submitted for confirmation were confirmed.</p> <p>A sample of 4 files for officers recruited during the FY was taken and evidence of confirmation was assessed as follows;</p> <p>Among Hellen Enrolled Nurse CR/D/10508, was confirmed on 23/5/2018 Min 049/ 2019.</p> <p>Otibok Richard Nursing Assistant officer CR/D/10654 was confirmed on 21/7/2019, Min 049/2019</p> <p>Mr Ocom Samson Education Assistant CR/D/10924 was confirmed on 23/8/2019, Min 049/2019</p> <p>Otim Moses Godfrey Ag Commercial officer CR/D/10339 was confirmed on 26/9/2018 Min 213/2018</p>	1
7	<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100 % of positions submitted for disciplinary actions have been considered: score 1 	<p>There was no case for disciplinary action submitted for consideration with the previous year 2018 /2019 .</p>	1
8	<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: score 3 	<p>All staff recruited during FY 2018/2019 accessed payroll within 2 months as of their appointment detailed as sampled below:</p> <p>A total of 4 files were sampled as follows;</p> <p>Apolot Beatrice the Education Assistant appointed on 27/6/2019 CR/10516, accessed payroll 28th /10/2019, IPPs 1048049 ,</p> <p>Arionget Grace the office typist appointed on 27/6/2019 CR/D/10509, accessed payroll 28/10 /2019 2018 IPPs 1047929</p> <p>Adete Beatrice Assistant Agriculture Officer Appointed on 27/6/2019 and accessed payroll on 25th/10/ 2019 IPPs 1047977</p>	3

8	<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100% of the staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: score 2 	<p>Bukedea LG had one retired officer in the FY 2018/2019; accessed the pension pay roll with in the last two month. 2018/2019 as follows;</p> <p>Mis Atulema Mary head teacher IPPPS 601528, CR/D/11033 retired on 22/7/2019 and accessed the pension pay roll on 18/10/2019 which was out side the timeline of 2 months</p>	0
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Revenue Mobilization

9	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • If increase in OSR (excluding one/off, e.g. sale of assets) from previous FY but one to previous FY is more than 10 %: score 4. • If the increase is from 5% - 10 %: score 2. • If the increase is less than 5 %: score 0. 	<p>Bukedea DLG total of OSR collections for FY 2017/2018 Shs.196,638,882 (net of disposal of Assets) as noted on page 20 of the Audited Annual Financial Statements for FY 2017/2018.</p> <p>On the other hand total of OSR collected in FY 2018/2019 Shs.177,600,525 (net of disposal of Assets) as noted on page 26 of the Draft Annual Financial Statements for FY 2018/2019.</p> <p>There was a decrease of So19,038,357 translated into 9.6% decrease. The decrease was largely attributed to creation of a new Town Council Kongunga TC in the District to which the District lost a number of lucrative source of local revenue.</p>	0
10	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realisation) is within +/- 10 %: then score 2. If more than +/- 10 %: Score 0. 	<p>The District total Local Revenue Planned/Budgeted (Original not Revised Budget) for FY 2018/2019 was Shs.178,901,0000, while the total Local Revenue collected during the same period was Shs.177,600,525. This was 99.2% budget realisation or -0.8%.</p>	2
11	<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the District/Municipality has remitted the mandatory LLG share of local revenues: score 2 	<p>The District LG Local Revenue collections subjected to sharing with LLGs Shs. 68,991,387 as noted on page 20 of the District Draft Accounts for FY 2018/2019 The amount of local revenue remitted to LLGs was Shs.46,791,724 as per Payment Vouchers dated 18th June, 2019 serial No.6552 and a schedule dated 27th May, 2019 and another dated 10th June, 2019 serial No.4258 and a schedule dated 10/06/2019 both attached the PVs. This remittance was 67.8% in compliance with the sharing ratio of 35:65 for HLGs and LLGs respectively as provided in s. 85 (5) of the LGA Cap 243 as amended.</p>	2

11	Local revenue administration, allocation and transparency Maximum 4 points on this performance measure.	<ul style="list-style-type: none"> • Evidence that the total Council expenditures on allowances and emoluments- (including from all sources) is not higher than 20% of the OSR collected in the previous FY: score 2 	Total expenditure on council allowances and emoluments during FY 2018/2019 was Shs.29,220,000(PV Serial No. 3429 for shs.10,312,500; 3518 for shs.10,312,500 and 3797 for shs.8,595,000). This was 14.8% of local revenue realised in FY 2017/2018 as per the audited financial statements. The percentage was within the provision of the LGA Cap 243 Regulation 4 of the First Schedule that limits expenditure on Council allowances and emoluments to not higher than 20% of OSR collected in the previous FY.	2
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Procurement and contract management

12	The LG has in place the capacity to manage the procurement function Maximum 4 points on this performance measure.	<ul style="list-style-type: none"> • Evidence that the District has the position of a Senior Procurement Officer and Procurement Officer (if Municipal: Procurement Officer and Assistant Procurement Officer) substantively filled: score 2 	The District had the position of Senior Procurement Officer substantively filled by Mr. Egwayu Stephen evidenced by letter of appointment dated 15th October 2019 under minute No. 059/2019. He was promoted from the position of procurement officer which he held from date of appointment on probation (26th March 2006) and was confirmed on 20th November 2007 under minute 24 (ii) 2007. However, the position of procurement officer was not filled at the time of assessment, though the position was available in the approved structure.	0
12	The LG has in place the capacity to manage the procurement function Maximum 4 points on this performance measure.	<ul style="list-style-type: none"> • Evidence that the TEC produced and submitted reports to the Contracts Committee for the previous FY: score 1 	<p>Reports of the Evaluation Committee were submitted to the Contracts Committee during FY 2018/2019 on the following dates;</p> <p>22nd September 2018 for Construction of Low cost sealing.</p> <p>28th December 2018 for drilling of 10 boreholes.</p> <p>30th November 2018 for Upgrade of Akuoro Health Centre II to Health Centre III.</p> <p>5th March 2019 for Construction of a seed secondary school at Malera high school.</p> <p>30th January 2019 for supply of desks to Kadacar primary school.</p>	1

The LG has in place the capacity to manage the procurement function

Maximum 4 points on this performance measure.

- Evidence that the Contracts Committee considered recommendations of the TEC and provide justifications for any deviations from those recommendations: score 1

The District's Contract's Committee considered Evaluation Committee recommendations for FY 2018/2019 as evidenced by the sampled projects below however there were no deviations from those recommendations for example;

On 28th January 2018, the contract's committee under minute: B of 25th September 2018 approved the report and considered recommendations of the evaluation committee that M/s Rema (U) Ltd be awarded a contract for the construction a Low cost sealing road, Ref: BUKE578/works/2018-2019/00001 at contract sum of Ugx420,000,000. Date of evaluation was 22nd September 2018.

On 28th December 2018, the contract's committee under minute: (d) of 28th August 2018, approved the report and considered recommendations of the evaluation committee that M/s Meltec Consult (U) Ltd be awarded a contract for the drilling of boreholes, Ref: BUKE578/works/2018-2019/00002 at contract sum of Ugx 191,174,007. Date of evaluation was 28th December 2018.

On 4th January 2019, the contract's committee under minute: 4 of 4th January 2019, approved the report and considered recommendations of the evaluation committee that M/s Visavar Investments Ltd be awarded a contract for the Upgrade of Akuoro Health Centre II to Health Centre III, Ref: MoH-Ugirt/works/2018-2019/0001 Lot 02, at contract sum of Ugx 1,898,119,448, as the best evaluated bidder. Date of evaluation was 30th November 2018.

On 4th April 2019, the contract's committee under minute: (a) of 4th April 2019, approved the report and considered recommendations of the evaluation committee that M/s Amuria Farmers and Transporters Ltd be awarded a contract for construction of seed secondary school at Malera high school, Kabarwa Ref: BUKE578/works/2018-2019/119/Lot 15, at contract sum of Ugx 1,951,335,910. Date of evaluation was 5th March 2019.

On 4th January 2019, the contract's committee under minute: 15 of 4th January 2019, approved the report and considered recommendations of the evaluation committee that M/s Orena and Brothers Ltd be awarded a contract for the supply of 30 desks, 4 chairs, and 4 tables to Kadacar primary school. Ref: BUKE578/Supls/2018-2019/00024 at contract sum of Ugx 5,510,000. Date of evaluation was 30th January 2019.

The LG has a comprehensive Procurement and Disposal Plan covering infrastructure activities in the approved AWP and is followed.

Maximum 2 points on this performance measure.

- a) Evidence that the procurement and Disposal Plan for the current year covers all infrastructure projects in the approved annual work plan and budget and b) evidence that the LG has made procurements in previous FY as per plan (adherence to the procurement plan) for the previous FY: score 2

The District Procurement Plan for FY 2019/2020 covered all infrastructure projects in the approved work plan of FY 2019/2020 as evidenced by a copy of the consolidated procurement work plan for FY 2019/2020 approved and endorsed by the Accounting Officer, received by PPDA on 5th September 2019 at 9:00am. Some of the examples of projects in the work plan for 2019/2020 included:

- i. Low cost sealing of Kidongole-Kabarwa Road (1.1km), s/no. 1 on page 1 of the procurement plan and on page 14 of 71 in the annual work plan and budget.
- ii. Upgrade of Health centre II to Health centre III, s/no. 3 on page 1 of the procurement plan and on page 45 in the annual work plan and budget.
- iii. Mechanised routine maintenance of district roads (129.4km), s/no. 6 on page 1 of the procurement plan and on page 14 of 71 in the annual work plan and budget.
- iv. Drilling and installation of (11) deep bore holes, s/no. 10 on page 1 of the procurement plan and on page 15 of 71 in the annual work plan and budget.
- v. Completion of maternity ward, s/no. 1 to 8 on page 2 of the procurement plan and on page 45 in the annual work plan and budget.

The District also made a consolidated procurement and disposal annual work plan for FY 2018/2019 as per the submitted and endorsed copy of the plan by the Accounting Officer, dated 15th January, 2019. Examples of projects in the work plan for FY 2018/2019 included;

- i. Construction of a low cost seal road on page 1 of the contracts register and s/no. 1 in the procurement plan.
- ii. Construction of 5 stance pit latrine at Kasoka primary school on page 2 of the contracts register and s/no. 18 in the procurement plan.
- iii. Upgrade of Akuoro Health centre II to Health centre III on page 2 of the contracts register and s/no. 2 in the procurement plan.
- iv. Borehole drilling and installation of (10) boreholes on page 2 of the contracts register and s/no. 6 in the procurement plan.
- v. Construction of 5 stance pit latrine at Okum-Okamole primary school on page 6 of the contracts register and s/no. 20 in the procurement plan.

14	<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For current FY, evidence that the LG has prepared 80% of the bid documents for all investment/infrastructure by August 30: score 2 	<p>The LG prepared and issued seven (7) bid documents for all infrastructure projects out of the total thirteen (31) investment/infrastructure projects giving $(7/31) \times 100 = 22.58\%$ which was less than the required 80% of bids prepared leading to the score of zero (0).</p> <p>The prepared bids were;</p> <ul style="list-style-type: none"> i. Design of Low Cost Seal of Bukedea-Kabarwa Road ii. Borehole, drilling and installation of 9 boreholes. iii. Borehole, drilling and installation of 2 boreholes. iv. Construction of 2 classroom Block with an office at Auruku-kanyanga primary school. v. Construction of the production Block phase one. 	0
14	<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For Previous FY, evidence that the LG has an updated contract register and has complete procurement activity files for all procurements: score 2 	<p>The LG's contracts Register was updated every after contract signing for projects. In the previous FY 2018/2019, the LG had last updated the Contracts Register on 3rd October 2019 with fifty two entries (52), the last entry entered as construction of a seed school at Malera High school, Kabarwa Ref: BUKE578/2018-2019/Lot 15 under open domestic bidding. The contract was awarded to M/s Amuria Farmers and Transporters Ltd at a contract value of Ugx 2,154,865,768, the project is still ongoing according to the procurement status, procurement requisition date was 30th April 2019, advertised in the New Vision newspaper on 17th December 2018, bid closing and opening date was 17th January 2019, bid evaluation date was from 21st January 2019 to 8th February 2019, contracts committee decision date was 4th April 2019, best evaluation bid notice date was 4th April 2019, contracted was awarded on 19th April 2019, contract signing date was 8th May 2019.</p>	2

14	<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> For previous FY, evidence that the LG has adhered with procurement thresholds (sample 5 projects): score 2. 	<p>The District adhered to procurement thresholds as per the sampled projects below;</p> <p>i. Construction of a Low cost sealing road at a contract value of UGX 420,000,000 Ref: BUKE578/works/2018-2019/00001, method of procurement was open domestic bidding, advertised in the Daily Monitor newspaper on 21st June 2018, contract awarded to M/s Rema (U) Ltd.</p> <p>ii. Drilling and installation of (10) boreholes at a contract value of UGX 191,147,007 Ref: BUKE578/works/2018-2019/00002, method of procurement was open domestic bidding, advertised in the Daily Monitor newspaper on 21st June 2018, contract awarded to M/s Multec Consults Ltd.</p> <p>iii. Drilling and installation of (2) boreholes at a contract value of UGX 47,000,000 Ref: BUKE578/works/2018-2019/00010, method of procurement was selective bidding, invitation to bid was on 10th December 2018, contract awarded to M/s East African Bores Ltd.</p> <p>iv. Supply of 30 desks, 4 chairs, 4 tables to Kadacar primary school at a contract value of UGX 5,510,000 Ref: BUKE578/works/2018-2019/00020, method of procurement was selective bidding, invitation to bid was on 10th December 2018, contract awarded to M/s Orena Brothers Ltd.</p>	2
15	<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that all works projects implemented in the previous FY were appropriately certified – interim and completion certificates for all projects based on technical supervision: score 2 	<p>There was evidence that works projects implemented in the previous FY 2018/2019 were appropriately certified with interim and/or completion certificates as indicated in the sampled projects below;</p> <p>i. Completion payment certificate dated 13th May 2019 for the construction of spring wells by M/s Obur Enterprises Ltd. Certificate of practical completion dated 31st May 2019.</p> <p>ii. Drilling of boreholes by M/s Multech Consults Ltd. Interim payment certificate dated 12th June 2019 and measurement sheet dated 11th June 2019</p>	2
15	<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that all works projects for the current FY are clearly labelled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration: score 2 	<p>There was no evidence of clearly labelled site boards for ongoing projects (FY 2019/2020) like the construction of the Production block project and the construction of the council hall at the district headquarters. Therefore the LG scored zero (0).</p>	0

Financial management

16	<p>The LG makes monthly and up to-date bank reconciliations</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG makes monthly bank reconciliations and are up to-date at the time of the assessment: score 4 	<p>Bukedea DLG operated a computer based programme IFMS with a module to handle Bank Reconciliations on a monthly basis. The General Ledger Reconciliation Detail Reports for FY 2018/2019 up to 30th June, 2019 and for the months of July, August and September 2019 were successfully done as noted in the schedule to the Draft Financial Statement for the year ended 30th June, 2019 and the print outs for the months of the 1st quarter of the current FY 2019/2020.</p>	4
17	<p>The LG made timely payment of suppliers during the previous FY</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • If the LG makes timely payment of suppliers during the previous FY – no overdue bills (e.g. procurement bills) of over 2 months: score 2. 	<p>The District LG maintained a payment claim register and also provided evidence in form of LPOs, Payment Vouchers reviewed by the Team which indicated the payment status of each supplier of goods and services.</p> <p>A sample of four transactions from Works, Health, Water and Administration Sectors showed that suppliers were paid within 2 months' timeframe as below:</p> <p>Works</p> <p>M/s Side View Auto Spares and Mechanical Engineering Ltd requisition for repair of Vehicle No.LG0009-016 for shs.26,750,000 as per Invoice No.024 on 18th September, 2018 and paid on 22nd October, 2018 against PV Serial No.3381 i.e. after 35 days.</p> <p>Health</p> <p>M/s Visvar Investment Ltd requisition for Advance Payment for construction works of up-grading Akuoro HC II to HC II in Bukedea S/C for shs.100,000,000 on 17th February, 2019 and paid on 17th April, 2019 against LPO No.238 PV Serial 3314, that within a period of 58 days.</p> <p>Water</p> <p>M/s Mastak Investments Ltd requisition for supply of borehole spare parts for shs.29,000,000 on 9th May, 2019 and paid on 28th May, 2019 against LPO No.255 and PV Serial No.5100 after 19 days.</p> <p>Administration</p> <p>M/s Obur Enterprises Ltd requisition for completion of a staff house at Kockeka HC II for shs.19,710,576 on 10th October, 2018 Certificate No.1 and paid on 22nd October, 2018 against PV No. 3327.</p>	2

18	<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none">• Evidence that the LG has a substantive Senior Internal Auditor: 1 point.• LG has produced all quarterly internal audit reports for the previous FY: score 2.	<p>Mr Tukei Geoffrey was appointed by DSC under Min. No.56/2017 as Acting Principal Internal Auditor as per a letter dated 21st December, 2017. There was no subsequent letter to this effect on his personal file. The District therefore did not have a substantive Principal Internal Auditor.</p>	0															
18	<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none">• LG has produced all quarterly internal audit reports for the previous FY: score 2.	<p>Quarterly Internal Audit Reports for FY 2018/2019 were produced as follows:</p> <table><tr><th>Quarter</th><th>Date of report</th><th>Reference</th></tr><tr><td>Quarter 1</td><td>11/10/2018</td><td>AUD 578/011</td></tr><tr><td>Quarter 2</td><td>13/01/2019</td><td>=do=</td></tr><tr><td>Quarter 3</td><td>14/04/2019</td><td>=do=</td></tr><tr><td>Quarter 4</td><td>05/07/2019</td><td>=do=</td></tr></table> <p>The reports were addressed to the CAO and copied to: RDC, PS, MoLG, Chairperson DPAC, Internal Auditor General, Kampala, OAG, Soroti, Secretary, Administration and Finance Committee.</p>	Quarter	Date of report	Reference	Quarter 1	11/10/2018	AUD 578/011	Quarter 2	13/01/2019	=do=	Quarter 3	14/04/2019	=do=	Quarter 4	05/07/2019	=do=	2
Quarter	Date of report	Reference																	
Quarter 1	11/10/2018	AUD 578/011																	
Quarter 2	13/01/2019	=do=																	
Quarter 3	14/04/2019	=do=																	
Quarter 4	05/07/2019	=do=																	
18	<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<p>Evidence that the LG has provided information to the Council and LG PAC on the status of implementation of internal audit findings for the previous financial year i.e. follow up on audit queries from all quarterly audit reports: score 2.</p>	<p>Through a letter dated 22nd May, 2019 the LG provided information to Council & LG PAC on the status of implementation of Internal Audit Queries raised during quarters 1 and 2 of FY 2018/2019, indicating that all the 7 queries raised were cleared. However, by the time of this assessment a report on quarters 3 and 4 had not been issued. On 22nd November, 2019 at the time of debriefing meeting a letter dated 14th November, 2019 from the PS/ST, MoFPED was received calling for the reports on implementation of the audit queries for the 3rd and 4th quarters.</p>	0															

18	<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none">• Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and LG PAC has reviewed them and followed-up: score 1.	<p>There were reports produced by the DPAC on the review of all the four quarterly Internal Audit Reports for FY 2018/2019 as follows:</p> <table><tr><th>Quarter</th><th>Date of submission</th><th>Reference for report review</th></tr><tr><td>Quarter 1</td><td>8/11/2018</td><td>CR. 10/3</td></tr><tr><td>Quarter 2</td><td>3/04/2019</td><td>=do=</td></tr><tr><td>Quarter 3</td><td>31/05/2019</td><td>=do=</td></tr><tr><td>Quarter 4</td><td>2/08/2019</td><td>=do=</td></tr></table> <p>All reports were addressed to the District Chairperson and copied to: Hon. Minister, MoFPED, IGG, Kampala, Auditor General, Kampala, RDC, Bukedea and CAO, Bukedea</p>	Quarter	Date of submission	Reference for report review	Quarter 1	8/11/2018	CR. 10/3	Quarter 2	3/04/2019	=do=	Quarter 3	31/05/2019	=do=	Quarter 4	2/08/2019	=do=	1
Quarter	Date of submission	Reference for report review																	
Quarter 1	8/11/2018	CR. 10/3																	
Quarter 2	3/04/2019	=do=																	
Quarter 3	31/05/2019	=do=																	
Quarter 4	2/08/2019	=do=																	
19	<p>The LG maintains a detailed and updated assets register</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none">• Evidence that the LG maintains an up- dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual: score 4	<p>Under IFMS, Bukedea District maintained in a module which served as an up-dated Asset Register. It had the following sections: Transport Equipment, Plant and Machinery, ICT Equipment, Office Equipment, Furniture and Fittings, Medical Equipment, Land and Buildings. Each section had tailored details e.g. Transport Equipment had the following details: Description, Registration No., Cost/Control Centre, Section, Physical Location, Chassis No., Engine No., Model/Type, Engine Type, Year of Manufacture, User Name, User Title, and Date of Purchase. The Register was up-date with the latest having been bought and entered e.g. a Motor Cycle Reg. No. UEV 175K purchased for shs.14,000,000 on 3rd November, 2019 was on the Register. The information therein was that as required by the Local Government Financial and Accounting Manual, 2007</p>	4															
20	<p>The LG has obtained an unqualified or qualified Audit opinion</p> <p>Maximum 4 points on this performance measure</p>	<p>Quality of Annual financial statement from previous FY:</p> <ul style="list-style-type: none">• Unqualified audit opinion: score 4• Qualified: score 2• Adverse/disclaimer: score 0	<p>The Auditor General's Opinion on the Financial statements of Bukedea DLG for the Financial Year ended 30th June, 2019 was Unqualified.</p>	4															

Governance, oversight, transparency and accountability

The LG Council meets and discusses service delivery related issues

Maximum 2 points on this performance measure

- Evidence that the Council meets and discusses service delivery related issues including TPC reports, monitoring reports, performance assessment results and LG PAC reports for last FY: score 2

The Council met and discussed service delivery related issues as provided in the minutes below:

Quarter 1:

Meeting held on 13/9 /2018 and discussed issues under Minute no BLDG/COU/100/010/2018 as follows:

There was the opening of the seed school at Kabarwa high school in Kabarwa sub county and it was considered in this quarter because most of the projects were not paid because of the Daley by the contractors to submit their completion certificate.

Quarter 2:

Meeting held on 2/Dec /2018 and discussed under Minute BLDG/COU/112/12/18 and the following were ;

The Approval of the revised supplementary Budget for all the District Activities and the Budget adjustment on water estimate of 130,000,000/=

Quarter 3:

Meeting held 27/03/2019 Laying public account committee Budget

Under Min, BDCLG/COU/119/03/2019 ,

There was laying of District Budget the budget for the FY 2019/2020 designed for law cost road sealing in Opoki village.

Quarter 4:

Meeting held on 24//05/2019 it was a discussion of the district PAC report for the 1 st and 2rd quarter Under Min, BDCLG./125/05/2019 ,

There was approval of capacity building plan under Min BDCLG/124/05/2019 ;And finally

the approval of the District Budget of the FY 2019/2020 ;

The LG has responded to the feedback/ complaints provided by citizens

Maximum 2 points on this Performance Measure

- Evidence that LG has designated a person to coordinate response to feed-back (grievance/complaints) and responded to feedback and complaints: score 1.

The LG had designated a person to coordinate response to feed-back (grievance /complaints) and responded to feedback and complaints as indicated below;

Name of designated person was Mr Ochom Joseph

Date of designation 4 /06/2019

Reference CR/251/2 ,

Evidence of response to feedback and complaints, was a report on Approval of the supplementary Budget for the [WEP] of 157,500,000/= under OPM for generating micro projects to benefit 5 sub counties of Kamuge Kwarikwar , Aligoi ,Kamatur, and Kabarwa as a feed back to the community and the council .

22	<p>The LG has responded to the feedback/ complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> • The LG has specified a system for recording, investigating and responding to grievances, which should be displayed at LG offices and made publically available: score 1 	<p>The district notice board had displayed information for the feedback in community at every service delivery point.</p> <p>A public Dialogue meeting commonly known as Balaza was conducted to hear from the public about service delivery complaints. One of the major complaint was delayed disbursement of youth livelihood funds to beneficiaries and this was discussed in a Baraza meeting on 12/4/2019</p> <p>There was a complaint register to capture grievances and feedback and the top management committee handles and solve the complains</p>	1
23	<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<p>Evidence that the LG has published:</p> <ul style="list-style-type: none"> • The LG Payroll and Pensioner Schedule on public notice boards and other means: score 2 	<p>The payrolls were published on 5/10/2019 on the notice board for the civil services. The pensioners schedule on the notice board and other means utilised informing the pensioners to come and get their salaries of October 2019. For example Susan Apiot a retired teacher on pension IPPs 1022633, reported the office the Human resource to compare with their Enhancement plan</p>	2
23	<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that the procurement plan and awarded contracts and amounts are published: score 1. 	<p>There was evidence of the procurement plan Ref BUKE 578/WORKS/18-19/00001</p> <p>On 9th/4/2018 it was an open bidding for the construction of law cost sealing of Bukedea Kangole road at accost 420,000,000 signed by the CAO.</p> <p>The subject of the advert of construction of law cost sealing of Bukedea Kangole road, On 21/ 6/2018 was the date of publication.</p> <p>The contractor was Rema [u] Ltd.</p> <p>The Total contract price was 420,000,000/=</p> <p>The awarded was on 12 /12 /2018 ,</p>	1
23	<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year (from budget requirements): score 1. 	<p>The evidence was on the notice board of Bukedea on the date of 2/5/2019 displaying the national assessment results of 2017/2018, under Min DTDC/004/08/18</p>	1

24	<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the HLG have communicated and explained guidelines, circulars and policies issued by the national level to LLGs during previous FY: score 1 	<p>The district notice board had displayed information for the feedback in community and the Balaza, among which was the use of the suggestion boxes</p> <p>All sub county chiefs of Bukedea District local Government had received the DDEG guide lines for the use in the financial year 2018 /2019 evidenced by distribution lists on 17/7/18</p> <p>The planner urged them to ensure that whatever is Budgeted under DDEG complies with the guideline under minute of Min TPC 002/07/2018</p>	1
24	<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: score 1. 	<p>There was evidence that LG during the previous FY have conducted discussions for the municipal, urban for a Barazas radio- in the Budget report conference,</p> <p>The Min DTPD 002/04/2019 was the motivation of staff on the radio talk show by the CAO on Teso FM.</p> <p>There was a feedback on service delivery from communities and about the Rabella Immunisation</p>	1

Social and environmental safeguards

25	<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG gender focal person and CDO have provided guidance and support to sector departments to mainstream gender, vulnerability and inclusion into their activities score 2. 	<p>The District Gender Focal Person and DCDO Ms. Akwap Marion provided guidance and support to sector departments to mainstream gender, vulnerability evidenced by;</p> <p>Reports on training and mentoring of Community development workers on Gender mainstreaming dated 5th April 2019, attached was an attendance list of HoDs that attended the training on gender mainstreaming.</p> <p>There was evidence of Notes on guidance disseminated to different departments.</p> <p>There was evidence of a Report on Intentional Women's Day activity for FY 2018/2019 held on 8th March 2018, indicating different activities that were implemented and exhibitions conducted on that day.</p> <p>There was evidence of minute extracts on presentation and approval of UWEP projects held on 29th October 2018, under minute, Min: DTPC/005/010/2018.</p>	2
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The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles

Maximum 4 points on this performance measure.

- Evidence that the gender focal point and CDO have planned for minimum 2 activities for current FY to strengthen women's roles and address vulnerability and social inclusions and that more than 90 % of previous year's budget for gender activities/ vulnerability/ social inclusion has been implemented: score 2.

The DCDO and GFP planned activities for the current FY (2019/2020) to strengthen women roles and address vulnerability and social inclusions as evidenced by the planned activities on gender mainstreaming, children and youth services in the District 5 year development Plan (FY 2015/2016 to 2019/2020), approved by the district council on 15th May 2015. It captured issues on guidance and how to mentor gender and equity issues in the local budget frame work paper (BFP). Also in the annual work plan FY 2019/2020 on page 1, there was evidence of cross cutting issues on Gender, for mentoring of local leaders on gender mainstreaming (quarterly), planning for women's day celebrations for international women's day.

Under PWD council, international day for PWDs, disability council meeting, Executive council meeting. Youth Council activities were also captured therein.

In the previous FY 2018/2019, the DCDO and GFP had planned and budgeted Ugx 2,806,800 under Women Council annual budget which was utilized at more than 90% as indicated in the payment vouchers below;

i. Payment Voucher no. 1800 dated 20th December 2018 being payment for facilitation for Women Council meeting broken down as follows; Transport allowance 1,125,000 Facilitation allowance 250,000, Support staff 33,000, mobilization, 48,800, refreshments 800,000 totalling to amount Ugx 2,256,800.

ii. Payment Voucher no. 1790 dated 17th September 2018 being payment for facilitation for District Women Council Executive meeting broken down as; Transport 100,000, Facilitation allowance 250,000, fuel litres 34,000, Stationery 50,000, Refreshments 150,000 totalling to amount Ugx 584,000.

LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition

Maximum 6 points on this performance measure

- Evidence that environmental screening or EIA where appropriate, are carried out for activities, projects and plans and mitigation measures are planned and budgeted for: score 1

The District carried out screening activities and had evidence of screening forms for some of the sampled projects as indicated below;

i) Screening forms for construction of a 2 stance lined pit latrine in Koena Sub County dated 7th December 2018, endorsed by the Senior Environment Officer Mr. Oluca Okwii. Environment and social mitigation measures were planned and budgeted for as per page 29 in the contract agreement, Bill item no. 2.19 budgeted at Ugx 5,000,000

ii) Screening forms for construction of a 2 stance lined pit latrine in Kabarwa Sub County dated 4th December 2018, endorsed by the Senior Environment Officer Mr. Oluca Okwii.

iii) Screening forms for construction of a Classroom Block in Toker primary school dated 4th December 2018, endorsed by the Senior Environment Officer Mr. Oluca Okwii. Environment and social mitigation measures were planned and budgeted for as per page 7 in the contract agreement, Bill item A08 budgeted at Ugx 2,000,000 (planting trees, back filling, Land agreement form signed, proper collection of solid and liquid waste)

iv) Screening forms for construction of a Seed Secondary School (Malera High School) in kabarwa Sub County dated 4th December 2018 (Ref: BUKE578/works/2018-2019/119/Lot 15), endorsed by the Senior Environment Officer Mr. Oluca Okwii. Environment and social mitigation measures were planned and budgeted for as per page 488 in the contract agreement, Bill item no. 11 budgeted at Ugx 3,650,000 (planting trees and grass, refilling of borrow pits, refill murram, roads, use of waste skips).

v) Screening forms for Siting, drilling and construction of boreholes in Kocheke Sub County dated 17th December 2018, (BUKE578/works/2018-2019/00002) endorsed by the Senior Environment Officer Mr. Oluca Okwii. Environment and social mitigation measures included planting trees, back filling of pits, Land agreement form signed, proper disposal, use of waste bins collection of solid and liquid waste.

It was however noted that in all the five sampled projects, some mitigation measures captured in the BoQs were generic in nature and the Environment office didn't provide evidence of an Environment and Social Management Plan (ESMP) from which mitigation measures are derived. Therefore the LG scored zero (0).

LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition

Maximum 6 points on this performance measure

- Evidence that the LG integrates environmental and social management and health and safety plans in the contract bid documents: score 1

The District had integrated Environmental and Social Management concerns in the contract bid documents as evidenced by the sampled projects below;

i) Construction of a 2 stance lined pit latrine in Koena Sub County. environmental and social management and health and safety plans in the contract bid documents included Planting of trees and grass, Back filling of pits, provision of waste skip, land agreements.

ii) Construction of a 2 stance lined pit latrine in Kabarwa Sub County, environmental and social management and health and safety plans in the contract bid documents included Planting of trees and grass, Back filling of pits, provision of waste skip, land agreements. iii) Construction of a Classroom Block in Toker primary school, environmental and social management and health and safety plans in the contract bid documents included planting trees, back filling, Land agreement form signed, proper collection of solid and liquid waste.

iv) Construction of a Seed Secondary School (Malera High School) in kabarwa Sub County Ref: BUKE578/works/2018-2019/119/Lot 15, environmental and social management and health and safety plans in the contract bid documents included planting trees and grass, refilling of borrow pits, refill murram, roads, use of waste skips.

v) Drilling, casting and installation of boreholes in Kocheka Sub County, Ref: BUKE578/works/2018-2019/00002, environmental and social management and health and safety plans in the contract bid documents included planting trees, back filling of pits, Land agreement form signed, proper disposal, use of waste bins collection of solid and liquid waste.

LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition

Maximum 6 points on this performance measure

- Evidence that all projects are implemented on land where the LG has proof of ownership (e.g. a land title, agreement etc.): score 1

The LG had evidence of land ownership on some projects implemented for FY 2018/2019 for example;

- There was evidence of a certificate of title under freehold dated 26th October 2010, volume 814, Folio 5, area 114.13 Hectares, Bukedea County, plot 154,155 and 156 at kakori and Kabarwa. Registered ownership was Malera Sub County LG P.o Boc 5026 Bukedea. Construction of a seed secondary school (Malera high school) was implemented on part of this land.
- For the drilling, casting and installation of ten (10) boreholes by Multech Consults (U) Ltd, there was evidence of agreements for only two borehole drillings out of the ten. For example; In Kidongole sub county where one of the 10 boreholes was installed, there was a letter of agreement between Mr. Kasaja Francis and Kidongole sub county where he (Mr. Kasaja Francis) gave a portion of his land to the community of Koboli village to drill the borehole. The agreement was signed by the LC 1 chairperson on 14th February 2019, as a witness to the agreement.

Another letter of agreement of borehole land owner dated 20th November 2019 between Mr. Atenia Vincent and Koena sub county where he (Mr. Atenia Vincent) gave a portion of his land to the community of Arapai village to drill a borehole. The agreement was signed by the LC1 chairperson Arapai in the presence of six other community members who appended their signatures as witnesses.

The the assessment team was not provided documentation on proof of ownership of land for 2-Satnce lined latrine in Koena Sub County, 2-Stance lined latrine in Kabarwa, Classroom Block construction in Toker Sub County, Borehole drilling in Kocheka Sub County. Therefore the LG scored zero (0)

LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition

Maximum 6 points on this performance measure

- Evidence that all completed projects have Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer and CDO: score 1

There was evidence of Environmental and Social Mitigation Certification form of the sampled projects as indicated below;

- Drilling, casting and installation of 10 boreholes by M/s Multech Consults (U) Ltd Ref: BUKE578/works/2018-2019/00002) endorsed by the Senior Environment Officer Mr. Oluka Okwii and DCDO Ms. Marion Akwap on 14th June 2019. Environment and social mitigation measures included planting trees, back filling of pits, Land agreement form signed, proper disposal, use of waste bins collection of solid and liquid waste.

There was no evidence of Environmental and Social Mitigation Certification forms for 2-Satnce lined latrine in Koena Sub County, 2-Stance lined latrine in Kabarwa, Classroom Block construction in Toker Sub County and construction of a Seed Secondary School in Malera. There fore the LG scored zero (0).

26	<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the contract payment certificated includes prior environmental and social clearance (new one): Score 1 	<p>There was some evidence that the contract payment certificated included prior environmental clearance for example;</p> <p>Drilling, casting and installation of 10 boreholes by M/s Multech Consults (U) Ltd Ref: BUKE578/works/2018-2019/00002) endorsed by the Senior Environment Officer Mr. Oluka Okwii and DCDO Ms. Marion Akwap on 14th June 2019. Environment and social mitigation measures included planting trees, back filling of pits, Land agreement form signed, proper disposal, use of waste bins collection of solid and liquid waste.</p> <p>Payment certificate record for certificate No. 1 Multech Consults (U) Ltd drilling of boreholes included payment recovery clause 51 of conditions of contract. Retention payment record clause 48 of conditions of contract, signed by the environment officer and district water officer.</p>	1
26	<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that environmental officer and CDO monthly report, includes a) completed checklists, b) deviations observed with pictures, c) corrective actions taken. Score: 1 	<p>The Environment officer and DCDO prepared monthly reports that included checklists for the sampled projects below;</p> <p>Environment and social safe guards monitoring Report dated 21st May 2019 endorsed by the municipal environment officer and DCDO, as a follow-up of what proposed to the contractor during project screening exercise as mitigation measures to the identified environmental social safe guard issues in drilling, casting and installation of boreholes in the district herein, summarized as vegetation clearance, injuries, contamination of storm water from litter, soil erosion, disposal of waste generated from construction works like broken bricks, stagnant water. The following findings were got as follow-up to adherence to what was proposed as mitigation measures to the project: Planted grass and trees to be protected from roaming animals, maintain proper hygiene around the source, sensitization of community on gender roles and participate in O&M, strengthen awareness on HIV/AIDS.</p> <p>Environment and social safe guards monitoring Report dated 11th December 2018 endorsed by the municipal environment officer and DCDO, as a follow-up of what proposed to the contractor during project screening exercise as mitigation measures to the identified environmental social safe guard issues in Koena primary school 2 st6ance pit latrine construction project herein, summarized as vegetation clearance, injuries, contamination of storm water from litter, soil erosion, disposal of waste generated from construction works like broken bricks, stagnant water. The following findings were got as follow-up to adherence to what was proposed as mitigation measures to the project: Planted grass and trees to be protected from roaming animals, maintain proper hygiene around the source, sensitization of community on gender roles and participate in O&M, strengthen awareness on HIV/AIDS.</p> <p>Environment and social safe guards monitoring Report dated 27th May 2019 endorsed by the municipal environment officer and DCDO, as a follow-up of what proposed to the contractor during project screening exercise as mitigation measures to the identified environmental social safe guard issues in Tokor primary school, classroom Block construction project herein, summarized as vegetation clearance, injuries, contamination of storm water from</p>	0

litter, soil erosion, disposal of waste generated from construction works like broken bricks, stagnant water. The following findings were got as follow-up to adherence to what was proposed as mitigation measures to the project: Vegetation clearance was limited to the site, Trees and paspalum had been planted, The site was levelled and all debris cleared and properly disposed to designated sites, back filling had been done, drainage channel had been created, provision of waste skips for proper disposal. Proposed way forward to the community: Planted grass and trees to be protected from roaming animals, maintain proper hygiene around the source, sensitization of community on gender roles and participate in O&M, strengthen awareness on HIV/AIDS.

Environment and social safe guards monitoring Report dated 21st May 2019 endorsed by the municipal environment officer and DCDO, as a follow-up of what proposed to the contractor during project screening exercise as mitigation measures to the identified environmental social safe guard issues in Malera High school (Seed school) construction project herein, summarized as vegetation clearance, injuries, contamination of storm water from litter, soil erosion, disposal of waste generated from construction works like broken bricks, stagnant water. The following findings were got as follow-up to adherence to what was proposed as mitigation measures to the project, the contractor did not adhere to some of the environmental and social guidelines: Vegetation clearance was limited to the site, Trees and pasparum had been planted, the site was levelled and all debris cleared and properly disposed to designated sites, back filling had been done, drainage channel had been created, provision of waste skips for proper disposal. Proposed way forward to the community: Planted grass and trees to be protected from roaming animals, maintain proper hygiene around the source, sensitization of community on gender roles and participate in O&M, strengthen awareness on HIV/AIDS.

The AT however noted that from the four sampled project monitoring reports, some mitigation measures captured were generic in nature and the Environment Officer didn't provide evidence of an Environment and Social Management Plan (ESMP) from which mitigation measures are derived. Therefore, the LG scored zero (0).

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management				
1	<p>The LG education department has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<p>• Evidence that the LG has budgeted for a Head Teacher and minimum of 7 teachers per school (or minimum a teacher per class for schools with less than P.7) for the current FY: score 4</p>	<p>Bukedea DLG had 97 primary schools with a total of 97 approved positions of head teachers and 1,366 teachers.</p> <p>LG had budgeted for a Head Teacher and minimum of 7 teachers per school for the current FY 2019/2020 with a wage bill provision of UGX 8,563,048,896 to pay 97 Head teachers, 1,366 teachers and 2 Inspectors of schools.</p>	4
1	<p>The LG education department has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<p>• Evidence that the LG has deployed a Head Teacher and minimum of 7 teachers per school (or minimum of a teacher per class for schools with less than P.7) for the current FY: score 4</p>	<p>LG has deployed a Head Teacher and minimum of 7 teachers per school for the FY 2019/2020.</p> <p>For example:</p> <p>1. Achom Christine is a Head Teacher of Kaloko P/S with 15 teachers.</p> <p>2. Akol Justine is a Head Teacher of Bukedea P/S with 18 teachers.</p> <p>3. Ikiror Helen Rose is a Head Teacher of Kachaboi P/S with 16 teachers.</p>	4

2	<p>LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has filled the structure for primary teachers with a wage bill provision <ul style="list-style-type: none"> o If 100%: score 6 o If 80 - 99%: score 3 o If below 80%: score 0 	<p>LG had filled the structure for primary teachers for current FY 2019/2020 with a wage bill provision of UGX 8,563,048,896 to pay 97 Head teachers, 1,366 teachers and 2 Inspectors of schools.</p> <p>Actual consumption for staff in post in 1st quarter was UGX 2,193,739,013x4= 8,774,956,056/=</p> <p>Total payroll budget:9,015,740,255/=</p> <p>Calibrated score is:</p> <p>$8,774,956,056 / 9,015,740,255 \times 100 = 97.3\%$</p> <p>It was noted that the structure for P/S is 1,515 but LG has recruited 1,312 and 203 need to be recruited.</p> <p>Advert dated 31/5/2019 was put out in the Monitor of 10/5/2019 by Bukedea District Service Commission. Letter dated 15/4/2019 received 18/4/2019 to CAO. Staff recruitment plan for 2019/2020 was submitted to HRM.</p> <p>Response was made but they did not meet the qualifications of BA. Education in P/S education and 3 years' experience as stipulated in the LG job structure.</p>	3
3	<p>LG has substantively recruited all positions of school inspectors as per staff structure, where there is a wage bill provision.</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has substantively filled all positions of school inspectors as per staff structure, where there is a wage bill provision: score 6 	<p>LG had substantively filled all positions of school inspectors as per staff structure.</p> <p>There were two school inspectors in Bukedea DLG</p> <p>1. Anyango Ogwang Jane CR/D/1394/PPS/601699 was appointed as Inspector of Schools under minute DSC/247/2017 on 31/3/2017 and deployed on 31/3/2017.</p> <p>2. Obuya Micheal CR/D/10066 was appointed as Senior Inspector of Schools appointed under minute DSC/65/2009(1) and deployed on 21/9/2009 /23/3/2017</p>	6

4	<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> • Primary Teachers: score 2 	<p>LG Education department had submitted a Recruitment Plan dated 15/4/2019 to HRM for the current FY 2019/2020 and was received on 18/4/2019 Ref. No. EDUK156/3 to fill the positions of:</p> <ol style="list-style-type: none"> 1. 36 Head Teachers 2. 4 Deputy H/Teachers 3. 18 Education Assistants 	2
4	<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> • School Inspectors: score 2 	<p>No recruitment plan for recruitment of School inspectors because the positions were filled.</p>	2

Monitoring and Inspection

5	<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> • 100% school inspectors: score 3 	<p>The LG Education department had appraised Head Teachers during the previous year.</p> <p>3 head teacher files were Sampled and appraisal details were as follows:</p> <ol style="list-style-type: none"> 1. Achom Christine, CR/D/1329 a Head Teacher of Kaloko P/S was appraised on 4/12/2018. 2. Akol Justin, a Head Teacher of Bukedea P/S was appraised on 25/11/2018. 3. Ikiror Helen Rose a Head Teacher of Kachaboi P/S was appraised on 26/11/2018. <p>All the 3 were appraised.</p> <p>$3/3 \times 100 = 100\%$</p>	3
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5	<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> Primary school head teachers <ul style="list-style-type: none"> 90 - 100%: score 3 70% and 89%: score 2 Below 70%: score 0 	<p>The LG Education department had appraised the 2 Inspectors of Schools in the FY 2018/2019.</p> <p>1.Anyango Ogwang Jane CR/D/1394/PPS/601699, Inspector of Schools was appraised on 31/6/2019.</p> <p>2.Obuya Micheal CR/D/10066, Senior Inspector of Schools was Appraised on 26/6/2018</p> <p>Therefore, the percentage was $\frac{2}{2} \times 100 = 100\%$</p>	3
6	<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Education department has communicated all guidelines, policies, circulars issued by the national level in the previous FY to schools: score 1 	<p>The LG Education department had communicated guidelines, policies, circulars listed below:</p> <p>1. Circular dated 6/4/2018: Integrated Inspection School Reports.</p> <p>2. Circular Ref.ADM/48/139/03 dated 29/10/2018 "Guidelines on school charges".</p> <p>3. Circular dated 21/9/2018, "Training on Teachers' Effectiveness and Learners' Achievement system to improve learning outcome and Teachers' Time on Task (TELA).</p> <p>4. Circular Ref.ADM/137/157/01, "Data collection on water, sanitation and hygiene in schools" dated 22/5/2019.</p>	1
6	<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Education department has held meetings with primary school head teachers and among others explained and sensitised on the guidelines, policies, circulars issued by the national level: score 2 	<p>1.A meeting was held on 24/5/2019 for 97 Head Teachers who were sensitized on guidelines on school charges Min.4/5/2019.</p> <p>2.A meeting was held on 22/8/2019 to sensitize the Head Teachers on TELA system Min.7/8/2019.</p>	2

The LG Education Department has effectively inspected all registered primary schools²

Maximum 12 for this performance measure

• Evidence that all licenced or registered schools have been inspected at least once per term and reports produced:

- o 100% - score 12
- o 90 to 99% - score 10
- o 80 to 89% - score 8
- o 70 to 79% - score 6
- o 60 to 69% - score 3
- o 50 to 59 % score 1
- o Below 50% score 0.

Schools had been inspected at least once per term and reports were produced as sampled below:

1. Bukedea P/S was inspected on 29/11/2018 in Term III and one of the recommendations was that regular learner's assessment should be conducted.

In term I, the inspection was conducted on 20/2/2019 and one of the recommendations was that Head teachers were to enforce compliance for all teachers to scheme, prepare lessons and effective use of instructional materials.

During Term II, the meeting was conducted on 2/8/2019 and one of the recommendations was that teachers were to make of and display instructional materials.

2. Kachaboi P/S was inspected in Term III on 7/12/2018 and one of the recommendations was that there were to be improvements of performances in academic work through continuous assessment and feedback of learners.

During term I the inspection was conducted on 13/2/2019 and one of the recommendations was that there was need for collecting food for learners.

In Term II, the inspection was conducted on 17/9/2019 and one of the recommendations was that there was need for remedial teaching.

3. Kaloko P/S was inspected on 22/11/2018 in Term III and one of the recommendations was that there was need for regular lesson planning.

In Term I, the inspection was conducted on 3/5/2019 and one of the recommendations was that there was need display of the timetables. The inspection in term II was conducted on 2/7/2019 and one of the recommendations was that all subjects were to be taught.

3 out of 3 sampled schools were inspected at least once per term and thus the score was $3/3 \times 100 = 100\%$

LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations

Maximum 10 for this performance measure

• Evidence that the Education department has discussed school inspection reports and used reports to make recommendations for corrective actions during the previous FY: score 4

The Education department had discussed school inspection reports and used them for corrective actions as seen in the sampled schools below:

On 19/6/2019 under Min.4/D/2019, a staff meeting was held to discuss the resolutions arising from the inspection reports including:

1. Improvement in academic work.

Action: Regular scheming and lesson preparation were to be effected.

Follow-up supervision was to be done by the Head Teachers and School Inspectors.

2. Poor record keeping. The department held a meeting dated 27/11/2018 and discussed the Inspector's report of term 3 dated 23/11/2018, on the issue of poor record keeping under Min.3/2018.

Action: Developing the filing system of all records in the schools was to be effected.

3. Absenteeism of staff, late coming due to health problems.

A meeting with education staff was held on 18/1/2019 to discuss data collection methods, for example, a data collection form.

Action: A form was developed to support Head Teachers track absenteeism of teachers.

4. Lesson planning was standing at 52% in the district.

A meeting was held on 6/9/2019 of 97 Head Teachers and Education staff who guided them on regular teacher support supervision under Min.4/2019.

Action: Regular supervision by Head Teachers and School Inspectors.

8	<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has submitted school inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 	<p>LG Education department had submitted school inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES. For example:</p> <p>The inspection report was submitted on 23/11/2018 in Term III and was acknowledged on 30/11/2018.</p> <p>During Term 1, the inspection report was submitted on 2/5/2019 and acknowledged on 2/5/2019 and in term 2, the inspection report was submitted on 6/9/2019 and acknowledged on 6/9/2019.</p>	2
8	<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the inspection recommendations are followed-up: score 4. 	<p>The inspection recommendations were followed-up by the DEO as cited below:</p> <ol style="list-style-type: none"> 1. Follow-up supervision was to be done by the Head teachers and School Inspectors. 2. Filing system was developed to improve record keeping in the school (using separators and codes for the files). 3. A form was developed to support Head Teachers track absenteeism of teachers as corrective action on recommendations in inspection report of term I dated 3/5 2019. 	4
9	<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has submitted accurate/consistent data: <ul style="list-style-type: none"> o List of schools which are consistent with both EMIS reports and PBS: score 5 	<p>LG had submitted accurate/consistent data list of schools which was consistent with both EMIS reports and PBS as dated on 27/3/2019.</p>	5
9	<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<p>Evidence that the LG has submitted accurate/consistent data:</p> <ul style="list-style-type: none"> • Enrolment data for all schools which is consistent with EMIS report and PBS: score 5 	<p>LG had submitted accurate/consistent enrolment data with PBS on 27/3/2019.</p> <p>For example:</p> <ol style="list-style-type: none"> 1. Bukedea P/S had 918 pupils 2. Kachaboi P/S had 803 pupils <p>The information was consistent with EMIS reports submitted to Ministry of Education and sport.</p>	5

Governance, oversight, transparency and accountability

10	<p>The LG committee responsible for education met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none">• Evidence that the council committee responsible for education met and discussed service delivery issues including inspection, performance assessment results, LG PAC reports etc. during the previous FY: score 2	<p>Standing Committee responsible for education met and discussed service delivery issues:</p> <p>For example, a meeting was held on 29/10/2018 Min. BDLG/sector social/013/10/2018 and discussed issues of planning for all schools without furniture and constructing classrooms in the schools that completely didn't have any classrooms. .</p>	2
10	<p>The LG committee responsible for education met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none">• Evidence that the education sector committee has presented issues that require approval to Council: score 2	<p>Sector committee responsible for education laid before council a proposal for construction of classrooms in Auruku Kanyanga P/S and was Approved in Council meeting held on 22/11/2018 under Min.BDLG/Cou/105/11/2018.</p> <p>The District Council also approved the education priorities which included assorted furniture for schools, 15 pit-latrines, filing cabinets and primary education wage bill to be increased to 1,347 teachers.</p>	2

11	<p>Primary schools in a LG have functional SMCs</p> <p>Maximum 5 for this performance measure</p>	<p>Evidence that all primary schools have functional SMCs (established, meetings held, discussions of budget and resource issues and submission of reports to DEO/ MEO)</p> <ul style="list-style-type: none"> • 100% schools: score 5 • 80 to 99% schools: score 3 • Below 80 % schools: score 0 	<p>The SMCs were functional, held meetings and discussed relevant developments for their respective schools. For example: -</p> <p>1. Kachaboi P/S SMC had 13 members with 10 males and 3 females and had held meeting on 7/12/2018 and had discussed the issue of improvement of performance in academic work.</p> <p>Another meeting was held on 2/4/2019 where the members discussed the issue of remedial lessons for slow learners.</p> <p>2. Bukedea P/S SMC had 13 members with 10 males and 3 females and had held their meeting on 29/10/2018 and approved the school budget for 2019/2020 under Min.6/SMC/2/2019.</p> <p>3. Koloko P/S SMC had 13 members with 8 males and 5 females and had held their meeting on 7/11/2018 and approved the school budget for 2019/2020 under Min.3/SMC/11/2018.</p> <p>The SMC reports were submitted to the DEO and a file for all the schools was seen at DEOs office.</p> <p>Sampled schools were 3 and all the 3 were functional. $\frac{3}{3} \times 100 = 100\%$</p>	5
12	<p>The LG has publicised all schools receiving non-wage recurrent grants</p> <p>Maximum 3 for this performance measure</p>	<p>Evidence that the LG has publicised all schools receiving non-wage recurrent grants e.g. through posting on public notice boards: score 3</p>	<p>The LG education department had publicized all schools receiving non-wage recurrent grants, signed, stamped and posted on the DEO's notice board on 15/8/2019.</p>	3

Procurement and contract management

13	<p>The LG Education department has submitted input into the LG procurement plan, complete with all technical requirements,</p> <p>to the Procurement Unit that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<p>• Evidence that the sector has submitted procurement input to Procurement Unit that covers all investment items in the approved Sector annual work plan and budget on time by April 30: score 4</p>	<p>The LG Education department had submitted input into the LG Procurement Plan and workplan on 27/3/2019 for 2019/2020 and acknowledged on 27/3/2019 by the Procurement Unit.</p>	4
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Financial management and reporting

14	<p>The LG Education department has certified and initiated payment for supplies on time</p> <p>Maximum 3 for this performance measure</p>	<p>Evidence that the LG Education departments timely (as per contract) certified and recommended suppliers for payment: score 3.</p>	<p>The LG Education department timely certified and recommended suppliers for payment.</p> <p>For example:</p> <p>Contractor: Sovia Engineering Services (U) Ltd</p> <p>Works: Construction of two classroom/office block at Tokora P/S</p> <p>Contract No: BUKE578/works/18-19/00005</p> <p>Completion date: 11/4/2019</p> <p>Requisition date: 14/6/2019</p> <p>Approval by DEO: 14/6/2019</p> <p>Approval by CAO: 21/6/2019</p> <p>Amount paid: 102,499,573/=</p>	3
15	<p>The LG Education department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<p>• Evidence that the department submitted the annual performance report for the previous FY (with availability of all four quarterly reports) to the Planner by 15th of July for consolidation: score 4</p>	<p>The department had submitted the Annual Performance Report to The Planner as shown below:</p> <p>Quarter I report was submitted on 10/12/2018 and received on 11/12/2018.</p> <p>Quarter II was submitted on 8/1/2019 and received on 11/1/2019.</p> <p>Quarter III was submitted on 14/5/2019 received on 15/5/2019.</p> <p>Quarter IV was submitted on 20/6/2019 and received on 20/6/2019.</p>	4

16	<p>LG Education has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year <p>o If sector has no audit query</p> <p>score 4</p> <p>o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 2</p> <p>o If all queries are not responded to score 0</p>	<p>There was no query raised in the FY 2018/2019 in the education sector.</p>	4
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Social and environmental safeguards

17	<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in consultation with the gender focal person has disseminated guidelines on how senior women/men teachers should provide guidance to girls and boys to handle hygiene, reproductive health, life skills, etc.: Score 2 	<p>In consultations with the Gender Focal Person, the department of education had disseminated guidelines on important issues per sector. For example: A letter dated 8/5/2018 Ref: CD106/1, on Gender Mainstreaming which was endorsed by the DCDO/Gender FPP was sent to all Heads of Departments, including Education sector.</p>	2
17	<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that LG Education department in collaboration with gender department have issued and explained guidelines on how to manage sanitation for girls and PWDs in primary schools: score 2 	<p>Training was conducted by the DCDO/Gender FPP on mentoring and training of Heads of Departments on Gender Equity issues in the education sector dated 7/2/2019 as seen on the attendance form.</p> <p>The content included issues on lack of privacy and adequate sanitation facilities in schools that discouraged pupils especially, girls and children with disabilities from attending schools.</p>	2

17	<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the School Management Committee meets the guideline on gender composition: score 1 	<p>There was evidence that the SMECs met the guidelines on gender composition. For example:</p> <ol style="list-style-type: none"> 1. Kachaboi P/S SMC had 13 members with 10 males and 3 females and had held a meeting on 7/12/2018. 2. Koloko P/S SMC had 13 members with 8 males and 5 females held their meeting on 7/11/2018. 3. Koloko P/S SMC had 13 members with 8 males and 5 females held their meeting on 7/11/2018. 	1
18	<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in collaboration with Environment department has issued guidelines on environmental management (tree planting, waste management, formation of environmental clubs and environment education etc.): score 1: 	<p>No Guidelines on environmental management were issued to schools.</p>	0
18	<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all school infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 1 	<p>School infrastructure projects were screened before approval for construction for example:</p> <p>The checklist for screening had been used on 23/01/2019 for screening of sub projects namely, for construction of 2 classroom block at Tokor P/S where screening was conducted on 11/12/2018 for construction of 2 stance pit latrines at Koena P/S.</p> <p>This process was followed by a visit to the sites.</p>	1
18	<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • The environmental officer and community development officer have visited the sites to check whether the mitigation plans are complied with: Score 1 	<p>The Environmental Officer and DCDO had visited the sites to check whether the mitigation plans were complied with, for instance, a report of the visit was made on 27/05/2019 Ref. CR/553/1 after the team had visited the site at Tokor P/S for construction of 2 classroom block.</p> <p>The contractor had complied with the mitigation measures such as vegetation clearance, tree planting and paspalum planting, back filling and drainage.</p>	1

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management				
1	LG has substantively recruited primary health care workers with a wage bill provision from PHC wage	<p>Evidence that LG has filled the structure for primary health care with a wage bill provision from PHC wage for the current FY</p> <ul style="list-style-type: none"> • More than 80% filled: score 8 • 60 – 80% - score 4 • Less than 60% filled: score 0 	<p>Bukedea district had filled the structure for primary health workers with a wage bill provision from PHC wage for the current FY 2019/2020</p> <p>The PHC wage allocation for Bukedea District FY 2019/20 was: 1,649,940,743</p> <p>PHC wage requirement for health workers in post for FY 19/20 was 1,531,566.080/=</p> <p>%wage provision (posts filled for primary health care workers with a wage bill provision) = 93%</p> <p>The available wage was to cater for salaries of 125 health staff who were in post out of the approved norm of 155 in the entire district/</p>	8
	Maximum 8 points for this performance measure			
2	The LG Health department has submitted a comprehensive recruitment plan for primary health care workers to the HRM department	<p>Evidence that Health department has submitted a comprehensive recruitment plan/re- quest to HRM for the current FY, covering the vacant positions of primary health care workers: score 6</p>	<p>The DHO had submitted a recruitment plan for the department as evidenced by an un referenced letter to HRM dated 10th April 2019 and received by HRM on the same date. A total of 12 health workers were earmarked for recruitment. Key posts prioritized for recruitment included the following:</p> <ul style="list-style-type: none"> • District Health officer • 1 Senior Clinical Officer • 1 Clinical officer • 1 Nursing Officer • 2 Enrolled midwives • 2 Enrolled Nurses <p>Total wage 158,267,259</p>	6
	Maximum 6 points for this performance measure			

The LG Health department has conducted performance appraisal for Health Centre IVs and Hospital In-charge and ensured performance appraisals for HC III and II in-charges are conducted

Maximum 8 points for this performance measure

Evidence that the all health facilities in-charges have been appraised during the previous FY:

- o 100%: score 8
- o 70 – 99%: score 4
- o Below 70%: score 0

All the 5 sampled health facility in-charges were appraised in the financial year 2018/2019 as evidenced by appraisal forms on personal files from the HR department. The appraiser's comments (DHOs) have been quoted:

- In-charge Bukedea HC IV, Dr Oselle Julius appraised by DHO Mr Ikodet Stephen on 30th June 2019: Appraiser's comment: Recommended for promotion to Senior Medical Officer.
- In-charge Kacumbaala HC III Ebukali Samuel appraised on 1st July 2019 by DHO Mr Ikodet Stephen. Appraisers' comment: Recommended for confirmation.
- In-charge Malera HC III, Muwenge Sarah, appraised on 10th July 2019 by DHO Mr Ikodet Stephen. Appraisers' comment: She is hard working
- In-charge Agaria HC II, Okiror Stephen was appraised on 30th June 2019 by DHO Mr Ikodet Stephen: Well focused to his work.
- In-charge Oseera HC II, Omoding Anthony was appraised on 15th July 2019 by DHO Mr Ikodet Stephen. Appraisers' comment: Recommended for promotion

The Local Government Health department has deployed health workers across health facilities and in accordance with the staff lists submitted together with the budget in the current FY.

Maximum 4 points for this performance measure

- Evidence that the LG Health department has deployed health workers in line with the lists submitted with the budget for the current FY, and if not provided justification for deviations: score 4

The LG had deployed health workers in line with lists submitted with the budget for FY 2019/2020 in PBS system. The PBS system could be accessed but there was no record about the date of uploading the staff list into the system

Assessment Team sampled the following facilities:

- Bukedea HC IV had 42 staff posted out of the approved norm of 49
- Kidongole HC III had 15 staff posted out of the approved norm of 19
- Malera HC III had 14 staff posted out of the approved norm of 19
- Kachumbala HC III had 19 staff posted out of the approved norm of 19

At each of the other facilities, the staff lists matched with the deployment list at DHO's office

5	<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO has communicated all guidelines, policies, circulars issued by the national level in the previous FY to health facilities: score 3 	<p>The DHO had not received or communicated the recommended guideline by MOH, entitled: Sector grant and budget guidelines to local governments FY 2019/2020</p> <p>0</p>
5	<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO has held meetings with health facility in- charges and among others explained the guidelines, policies, circulars issued by the national level: score 3 	<p>No evidence that the guidelines had been discussed /explained to the In-charges of health facilities</p> <p>0</p>
6	<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has supervised 100% of HC IVs and district hospitals (including PNFPs receiving PHC grant) at least once in a quarter: score 3</p>	<p>The DHT had supervised Bukedea HC IV at least once every quarter.</p> <p>Evidence was derived from quarterly support supervision reports at DHO's office and verified by checking the support supervision book at each of the health facilities.</p> <p>The supervisions visit to the HC IV were as follows</p> <p>Quarter 1: 7th September 2018</p> <p>Quarter2: 6th November 2018</p> <p>Quarter3: 17th January 2019</p> <p>Quarter4: 13th May 2019</p> <p>3</p>

The LG Health Department has effectively provided support supervision to district health services

Maximum 6 points for this performance measure

Evidence that DHT/MHT has ensured that HSD has supervised lower level health facilities within the previous FY:

- If 100% supervised: score 3
- 80 - 99% of the health facilities: score 2
- 60% - 79% of the health facilities: score 1
- Less than 60% of the health facilities: score 0

The HSD had supervised lower level health facilities within FY 2018/2019

The PA Team reviewed integrated support supervision reports for the previous financial year to establish whether all health facilities had been supervised by the HSD.

In addition, a field visit to 3 health facilities was conducted to confirm if the health facilities had been visited at least once every quarter.

Health Centre Q1 Q2 Q3 Q4

Kidongole HC III 8/9/18 6/11/18 17/1/19 16/3/19

Malera HC III 9/9/18 7/11/18 18/1/19 17/3 19

Kacumbala HC III 10/9/18 8/11/18 4/3/19 18/3/19

The evidence was derived from the MOH provided supervision book at each health unit.

The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up

Maximum 10 points for this performance measure

- Evidence that all the 4 quarterly reports have been discussed and used to make recommendations (in each quarter) for corrective actions during the previous FY: score 4

All the 4 quarterly supervisions reports had been discussed by DHT to make recommendations for corrective action.

For example

Quarter 1: DHT sat on 28th September 2018 and under Min 05/9/2018: Way forward, recommended that the DHT should follow up irrational drug use by health workers, a gap that had been identified during Q1 supervision

Quarter 2: DHT sat on 20 December 2018 and under Min 6/12/2018: Way forward recommended all health unit management committees be reconstituted, a gap identified during the quarterly supervision

Quarter3: The DHT sat on 28th February 2019 and under minute Min 4/2/2019: Way forward recommended that malaria rapid testing kits should be used at Maternity ward to prevent mothers lining up at the main laboratory for malaria testing, which was the case during support supervision.

Quarter 4: DHT sat on 14th May 2019 and under minute 3/5/2019 recommended that all neonatal deaths must be audited and reports submitted to DHO's office.

7	<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the recommendations are followed up and specific activities undertaken for correction: score 6 	<p>There was no sufficient evidence to justify that recommendations were followed up and specific actions are undertaken for correction.</p> <p>For example, the DHO's office reported that they had responded to irrational drug use by health workers as recommended by a DHT meeting held on 28th September 2018 and under Min 05/9/2018. However, there was no report to this effect. A list was availed indicating that clinical guidelines had been issued by DHOs office, however, it was not dated.</p> <p>It was also realized that health facility incharges were being asked to acknowledge receipt of guidelines and communications from DHOs office while the assessment team was in the field.</p>	0
8	<p>The LG Health department has submitted accurate/consistent reports/data for health facility lists receiving PHC funding as per formats provided by MoH</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has submitted accurate/consistent data regarding: <ul style="list-style-type: none"> o List of health facilities receiving PHC funding, which are consistent with both HMIS reports and PBS: score 10 	<p>There was evidence that the LG had submitted accurate/consistent data regarding list of health facilities receiving PHC funding</p> <p>Bukedea DLG had 10 health facilities that benefited from PHC in FY 2018/2019</p> <ul style="list-style-type: none"> • 1 HC IV • 5 HC IIIs, • 4 HC IIs <p>All the 10 health facilities reported monthly into DHIS2 system as evidenced by the DHIS2 output received from the Ministry of health</p>	10

Governance, oversight, transparency and accountability

The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council

Maximum 4 for this performance measure

- Evidence that the LG committee responsible for health met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports etc. during the previous FY: score 2

There was evidence that the LG committee responsible for health met and discussed service delivery issues including supervision reports.

Four Social services committee minutes were availed to the assessment team.

During quarter 1, the committee sat on 19th October 2018 and under minute BDLG/SEC-SOCIAL//12/10/18 discussed and recommended that Aworu HC II be upgraded to HC III

In Quarter 2 the committee sat on 11th December 2018, the committee sat and under Min BDLG/SEC-SOCIAL/08/12/18, the members recommended that an extra nurse be deployed to Kagolo HC II to support the human resource gap at the health facility.

In quarter 3, the committee sat on 19th March 2019 and under minute BDLG/SEC-SOCIAL//12/10/

In Quarter 4, the committee sat on 15th May 2019 and under minute BDLG/SEC-SOCIAL/025/03/19/discussed and recommended that the health department should budget to procure a delivery bed for mothers with disabilities.

In quarter 4, the committee sat on 15th May 2019 and under minute BDLG/SEC-SOCIAL/125/05/19 discussed the health and forwarded it for approval during council meeting.

The above four examples constitute evidence that LG committee responsible for health met and discussed service delivery issues

The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council

Maximum 4 for this performance measure

- Evidence that the health sector committee has presented issues that require approval to Council: score 2

There was evidence that the health sector committee had presented issues that require approval to Council. For example:

On 27th March 2019, the chair social services committee under min DBLG/COU/119/03/2019 presented the health budget during laying of sector budgets for discussion and on 24th May 2019 under minute DBLG/COU/125/05/2019: the district budget was approved with a health budget of 2,907,83,000/.

The Health Unit Management Committees and Hospital Board are operational/functioning

Maximum 6 points

Evidence that health facilities and Hospitals have functional HUMCs/Boards (established, meetings held and discussions of budget and resource issues):

- If 100% of randomly sampled facilities: score 6
- If 80-99 %: score 4
- If 70-79: %: score 2
- If less than 70%: score 0

There was evidence that health facilities and Hospitals have functional HUMCs/Boards and meetings were held to discussions issues of importance to the health facilities

Examples of dates when HUMC's sat are indicated below;

- Bukedea HC IV

Q1: 1/11/2018

Q2: 11/12/2018

Q3: 4/4/2019

Q4: 14/6/2019

Approval of Bukedea HC IV budget for FY 19/20 was done on 4th April 2019 under min 7/4/19: Discussion and approval of health center budget

- Malera HC III

Q1: 28/9/2018

Q2: 21/12/2019

Q3: 29/03/2019

Q4: 26/6/2019

In Q2 meeting held on 21st December 2019, the committee discussed concerns about busy compound at the health facility under min 4/12/2019: Discussions

- Kidongole HC III:

Q1: 04/10/2018

Q2: 29/12/2018

Q3: 08/02/2019

Q4: 26/07/2019

In quarter 3, the HUMC discussed draft budget for the health facility under min 5/02/19: Presentation and discussion of health facility budget by Incharge

Therefore was 100% (12/12) functionality of the HUMCs

11	<p>The LG has publicised all health facilities receiving PHC non-wage recurrent grants</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has publicised all health facilities receiving PHC non- wage recurrent grants e.g. through posting on public notice boards: score 4 	<p>The LG had publicized all health facilities receiving PHC non-wage recurrent grants through posting on public notice boards.</p> <p>At DHO's office, a list of health facilities that benefit from PHC recurrent non-wage was displayed at the district health notice-board, dated and signed by CFO on 3rd May 2019. The displayed list matched with health facilities that are in the PBS system</p> <p>The Q4 amounts allocated to health facilities that PA visited as per the displayed list at DHOs office are as follows:</p> <ul style="list-style-type: none"> Bukedea HC IV: 7,840,000/ Malera HC III: 2,211,439/ Kidongole HC III: 2,211,439/ <p>At each of the facilities, PHC releases had been displayed at the noticeboards</p>	4
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Procurement and contract management

12	<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has submitted input to procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time by April 30 for the current FY: score 2 	<p>The health sector had submitted input into procurement plan to PDU that covers all investment items in the approved Sector annual work plan and budget on time.</p> <p>The procurement plan for health department for the current financial year was submitted on March 26th 2019 and received by PDU same day.</p>	2
12	<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 2. 	<p>The procurement request form for health department for FY 19/20 was submitted on 31st September 2019 by DHO received and endorsed by PDU on the same day.</p> <p>Examples of projects included</p> <ol style="list-style-type: none"> Upgrade of Aworu HC II to HC III, at a budget of 800,000,000/ Renovation of old labor suit at Kacumbala HC III budgeted at 22,000,000/ 	2

13	<p>The LG Health department has certified and initiated payment for supplies on time</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO (as per contract) certified and recommended suppliers timely for payment: score 4. 	<p>There was evidence that the DHO (as per contract) certified and recommended suppliers timely for payment.</p> <p>One capital project was identified as an example to establish that the DHO certified and recommended suppliers timely for payment:</p> <ol style="list-style-type: none"> Request of advance payment for the construction of Aworu HC II <p>The contractor, VISUAR Investment Ltd made a request for payment worth 142,358,958/ on 17th February 2019, DHO certified on 25th February 2019 and payment was made on 2nd April 2019</p> <ol style="list-style-type: none"> Repair of motor vehicle for DHO (UG 3059M) 	4
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Financial management and reporting

14	<p>The LG Health department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 4 	<p>The annual report was submitted on 7th July 2019, before 31st August, the revised deadline.</p> <p>Submission of quarterly reports to Planner during FY 2018/2019:</p> <p>Quarter Date of submission Reference</p> <p>Quarter 1 9/9/2018 PBS</p> <p>Quarter 2 13/1/19 PBS</p> <p>Quarter 3 8/4/2019 PBS</p> <p>Quarter 4 07/7/2019 PBS</p>	4
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15	<p>LG Health department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year</p> <ul style="list-style-type: none"> • If sector has no audit query: Score 4 • If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: Score 2 points • If all queries are not responded to Score 0 	<p>A total of 2 audit queries had been raised under health department and all of them were resolved as evidenced by internal audit reports for the 4 quarters.</p>	2
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Social and environmental safeguards

16	<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> • Evidence that Health Unit Management Committee (HUMC) meet the gender composition as per guidelines (i.e. minimum 30 % women: score 2 	<p>Only one of the 3 sampled health facilities had the required 30% and above female composition on HUMC as indicated below.</p> <ul style="list-style-type: none"> • Bukedea HCIII 2/9 =22% • Malera HC III 3/7=42% • Kidongole HC III 1/7=14% 	0
16	<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> • Evidence that the LG has issued guidelines on how to manage sanitation in health facilities including separating facilities for men and women: score 2. 	<p>The LGs had issued guidelines on how to manage sanitation in health facilities including separating facilities for men and women.</p> <p>The sanitation guidelines was a document issued by DHO's office to health inspectors dated 19th February 2019.</p> <p>The assessment team visited the following health facilities to establish if they had been received by incharges</p> <ul style="list-style-type: none"> • Bukedea HCIII • Malera HC III • Kidongole HC III <p>At each of the health facilities, the guidelines were in place. There were also posters for hand washing printed by Ministry of Health but had not been dated</p>	2

17	<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that all health facility infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 2 	<p>The assessment team was not availed with documents to establish whether screening for capital projects was performed before projects are undertaken.</p> <p>The reason was the environmental officer had got an accident and was not around during the assessment and records could not be traced bt the CDO or aides around</p>	2
17	<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> The environmental officer and community development officer have visited the sites to check whether the mitigation plans are complied with: Score 2 	<p>The assessment team was not availed with documents to establish whether the environmental officer and community development officer have visited the sites to check whether the mitigation plans are complied with</p> <p>The reason was the environmental officer had got an accident and was not around during the assessment and records could not be traced.</p>	0
18	<p>The LG Health department has issued guidelines on medical waste management</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that the LG has issued guidelines on medical waste management, including guidelines (e.g. sanitation charts, posters, etc.) for construction of facilities for medical waste disposal2: score 4. 	<p>There were no new guidelines sent from Ministry of health regarding Waste management but Medical waste guidelines 2013 were in place at the visited health facilities. (Bukedea HC IV, Malera HC III and Kidongole HC III) had medical waste management charts on the walls of duty rooms</p>	4

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution				
1	<p>The DWO has targeted allocations to sub-counties with safe water coverage below the district average.</p> <p>Maximum score 10 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the district Water department has targeted sub-counties with safe water coverage below the district average in the budget for the current FY: <ul style="list-style-type: none"> If 100 % of the budget allocation for the current FY is allocated to S/Cs below average coverage: score 10 If 80-99%: Score 7 If 60-79: Score 4 If below 60 %: Score 0 	<p>There was evidence that in the FY 2019/20, Bukedea LG allocated a total development budget for the water department equivalent to Ugx. 341,600,000 work plan and budget page 3of 3 excel sheet section to be implemented in the six (6) sub counties.</p> <ul style="list-style-type: none"> Nine (9) number deep borehole drilling (hand pumped) at a cost of Ugx.234,000,000 Seven (7) number spring well protection at a cost of Ugx.56,000,000 Min solar Pimped water supply system at a cost of Ugx.30,000,000 One (1) latrine construction at a cost of Ugx.21,600,000 <p>According to the Ministry of Water and Environment the District average safe water coverage stood at 68%</p> <p>There was four (4) sub counties with coverage below the District average and the targeted subcounties were; these were; Kachubala subcounty with 64%, Kindongole sub county with 66%,Malera sub county with 52% percentage access however Bukedea TC with percentage access of 60% was not targeted giving $(3/4) * 100 = 75\%$ leading to a score of 4</p>	4

The district Water department has implemented budgeted water projects in the targeted sub-counties (i.e. sub-counties with safe water coverage below the district average)

Maximum 15 points for this performance measure

- Evidence that the district Water department has implemented budgeted water projects in the targeted sub-counties with safe water coverage below the district average in the previous FY.

- o If 100 % of the water projects are implemented in the targeted S/Cs:

Score 15

- o If 80-99%: Score 10
- o If 60-79: Score 5
- o If below 60 %: Score 0

There was evidence that the district Water department has implemented budgeted water projects in the targeted sub-counties with safe water coverage below the district average in the FY2018/19.

According to the approved AWP of FY 2018/19 on 9th July,2019 page 3 of 3 of excel sheet the sector planned with development budget equivalent to Ugx.472,013,955 in the following subcounties,

- Bukedea sub county
- Kachubala sub county
- Aminit sub county
- Malera sub county
- Kabarwa sub county

In the FY2019/20, the sector planned to pay retention equivalent to Ugx.46,158,871 which implied that Ugx.425,855,084 was paid to contractors after the works were executed resulting

$$(425,855,084/472,013,955) * 100 = 90.2\%$$

Therefore, the total value of the projects implemented is equal $(90.2+5) = 95.2\%$

Monitoring and Supervision

The district Water department carries out monthly monitoring of project investments in the sector

Maximum 15 points for this performance measure

Evidence that the district Water department has monitored each of WSS facilities at least annually.

- If more than 95% of the WSS facilities monitored: score 15
- 80% - 95% of the WSS facilities monitored: score 10
- 70 - 79%: score 7
- 60% - 69% monitored: score 5
- 50% - 59%: score 3
- Less than 50% of WSS facilities monitored: score 0

There was evidence that the district Water department has monitored WSS facilities in FY2018/19 according to the following monitoring/supervision reports;

The sector planned eighty (80) visits to different WSS facilities (during construction 36, after construction 36 and regular data collection analysis 8) but only managed to achieve 68 (during construction 32, after construction 28, regular data collection analysis 8) fourth quarter report of 25th July,2019 on monitoring output page 21 resulting into $(68/80) * 100 = 85\%$

a. Borehole location assessment report dated 22nd August,2018 of 10 boreholes carried out in the following sources visited by the DWO among these were;

- Akaramai source in Bukedea sub county
- Kakere and Suula community source in Kocheke sub county
- Koena source in Aligoi sub county
- Abwala source in Koena sub county
- Katwongo source in Kamutur sub county
- Tukum in Malera sub county
- Eriaza source in Kachubala sub county

Water source verification monitoring exercise dated 29th October,2018 in which fifteen (15) sources were verified and among these were;

- Kamon source in Bukedea subcounty
- Kachaboi source in Kachubala subcounty
- Kawo source in Koena subcounty
- Kangole source in Kangole subcounty
- Angangam source in Aminit subcounty

The monitoring report on non functionality of water sources dated 25th January,2019 of which six (6) sources were visited and these were;

- Kayanga source and Katwogo community borehole in Kidongole subcounty,
- Kahitu source in Bukedea subcounty,
- Komolo Kalengo in Kolir subcounty

Supervision report on all hardware projects Asio in Malera and Otimonga in Kidongole subcounty

Supervision report of completed projects dated 27th May,2019 in which the following sources were supervised;

- Asio spring in Malera subcounty and Apeleum source in Kocheke subcounty

Final report on borehole casting and installation constructed by M/s East Africa Borehole Ltd dated 15th March,2019 of which; Kachubala community borehole in Kabarwa subcounty and Kaleu community borehole in Kangole subcounty were visited

Supervision report on completed eight (8) springs constructed by M/s Obur Enterprises Ltd among these were;

Asio spring in Malera subcounty

Apeleum spring in Kocheke subcounty

Ocuma,Atangurei and Otimanga in Kidongole subcounty

4

The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE

Maximum 10 for this performance measure

- Evidence that the district has submitted accurate/consistent data for the current FY: Score 5

There was evidence that Bukedea District water department submitted accurate/consistent data report for FY 2018/2019 on 25th July,2019 which were collected using Ministry of water and environment MIS data form

To the Permanent Secretary Ministry of Water and Environment

5

4

The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE

Maximum 10 for this performance measure

- List of water facility which are consistent in both sector MIS reports and PBS: score 5

The list of water facilities which were found to be consistent in both sector MIS reports and PBS were;

- Bukedea sub county
- Kachubala sub county
- Aminit sub county
- Malera sub county
- Kabarwa sub county

5

Procurement and contract management

5

The district Water department has submitted input for district's procurement plan, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget

Maximum 4 for this performance measure

Evidence that the sector has submitted input for the district procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time (by April 30): score 4

There was evidence that the sector had submitted in put for the district procurement plan that covered all investment items in the approved Sector annual work plan and budget. The submission and receipt notice from PDU dated 20th April,2018 was seen.

some of the planned procurement in the plan included,

- Protection of Spring wells
- Siting and drilling of 9 boreholes

4

The district has appointed Contract Manager and has effectively managed the WSS contracts

- If the contract manager prepared a contract management plan and conducted monthly site visits for the different WSS infrastructure projects as per the contract management plan: score 2

There was evidence that the district Water department had a contract manager for FY 2018/19 appointed by the Bukedea DLG CAO on 15th January, 2019 and a contract management plan was prepared on 16th January, 2019 and the plan had an item of monitoring and inspection of borehole drilling during the Months of January and February 2019 and the reports by the contract manager were as follows;

Maximum 8 points for this performance measure

a. Supervision report on all hardware projects Asio in Malera and Otimonga in Kidongole subcounty

b. Supervision report of completed projects dated 27th May, 2019 in which the following sources were supervised;

Asio spring in Malera subcounty and Apeleum source in Kocheke subcounty

c. Final report on borehole casting and installation constructed by M/s East Africa Borehole Ltd dated 15th March, 2019 of which; Kachubala community borehole in Kabarwa subcounty and Kaleu community borehole in Kangole subcounty were visited

d. Supervision report on completed eight (8) springs constructed by M/s Obur Enterprises Ltd among these were;

- Asio spring in Malera subcounty
- Apeleum spring in Kocheke subcounty
- Ocuma, Atangurei and Otimanga in Kidongole subcounty

6	<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> • If water and sanitation facilities constructed as per design(s): score 2 	<p>There was evidence that construction of the sampled Water and sanitation facilities were constructed as per the design the visited sources included;</p> <ul style="list-style-type: none"> • Kakere source in Kocheke sub county • Suula source in Kocheke sub county • Latrine construction at RDC Office at the District headquarters <p>These sources each had drainage channel measuring 6 m long (in a 1:2:4/20) as per the B.O.Q</p>	2
6	<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> • If contractor handed over all completed WSS facilities: score 2 	<p>There was evidence that the contractor handed over all completed WSS facilities examples were as follows;</p> <ul style="list-style-type: none"> • The Borehole Drilling report by M/s Multec consults Ltd submitted on 31st May,2019 • Report on borehole siting,design and drilling supervision of ten (10) hand pumps dated May,2019 by M/s Aquatecch Enterprises (U) Ltd • Completion report of the construction of eight (8) spring wells by M/s Obur Enterprises Ltd on 19th June,2019 	2
6	<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> • If DWO appropriately certified all WSS projects and prepared and filed completion reports: score 2 	<p>There was evidence that the DWO appropriately certified all WSS projects and prepared and filed completion reports as follows;</p> <p>Certificate of practical Completion dated 31st May,2019 for the for the construction of protected spring wells, Measurement sheet prepared on 12th May,2019 and completion payment certificate prepared on 13th May,2019</p> <p>An Interim Payment Certificate dated 28th August,2019 was issued to M/s Multec consults Ltd after drilling 10 boreholes amounting Ugx.190,076,000, Measurement sheet prepared on 11th June,2019</p>	2

The district Water department has certified and initiated payment for works and supplies on time

Maximum 3 for this performance measure

- Evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: score 3 points

There was Evidence that the DWO Bukedea LG timely certified and recommended suppliers for payment in time

Some of the sampled requisitions included;

M/s Obur Enterprises Ltd submitted a request after construction of 8 protected springs on 27th August,2019 and was endorsed on 28th August,2019 and IPC, Measurement sheet were also prepared and attached on 12th August,2019 by the DWO (took 1 day)

M/s Multec consults Ltd submitted a request for payment after Drilling, casting and installation of 10 Boreholes on 22nd August,2019 and was endorsed by DWO on 28th August,2019 (it took 6days)

M/s Aquatec Enterprise (U) Ltd submitted a request for payment after Drilling, casting and installation of 10 Boreholes on 11th June,2019 and was endorsed by DWO on 12th June,2019 (it took 1day)

All the above certification took less than thirty (14) working days

Financial management and reporting

The district Water department has submitted annual reports (including all quarterly reports) in time to the Planning Unit

Maximum 5 for this performance measure

- Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 5

Evidence was availed to the assessment team that the department submitted the annual performance report for the FY 2018/2019 including all the four quarterly reports to the planner for consolidation as follows;

- Quarter one (1) report submitted on 10th October,2018 referenced CR752/1
- Quarter two (2) report submitted on 10th January,2019 referenced CR752/1
- Quarter three (3) report submitted on 14th April,2019 referenced CR752/1
- Quarter four (4) report submitted on 5th July,2019 referenced CR752/1

The District Water Department has acted on Internal Audit recommendation (if any)

- Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year

There was no information availed by the Internal Auditor concerning queries raised in the water sector during the FY 2018/19

o If sector has no audit query score 5

Maximum 5 for this performance measure

o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 3

If queries are not responded to score 0

Governance, oversight, transparency and accountability

10

3

The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council

- Evidence that the council committee responsible for water met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports and submissions from the District Water and Sanitation Coordination Committee (DWSCC) etc. during the previous FY: score 3

There was evidence that Bukedea LG council committee responsible for water met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports and submissions from the District Water and Sanitation Coordination Committee (DWSCC) as follows;

Maximum 6 for this performance measure

On 31st October, 2018-minute BDLG/Sec-Wrks/10/14/2018 issues discussed was the budget frame work paper setting the District water priorities

On 13th December, 2018-minute BDLG/Sec-Wrks/12/21/2018 issues discussed was quarter one FY2018/19 performance

On 25th February, 2019-minute BDLG/Sec-Wrks/28/02/2019 issues discussed was the status of implementation of the water projects

On 20th March, 2019-minute BDLG/Sec-Wrks/35/03/2019 issues discussed was the draft workplan and budget estimates

10	<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the water sector committee has presented issues that require approval to Council: score 3 	<p>There was evidence that issues concerning the water sector were presented to council for discussion and approval among these were;</p> <ul style="list-style-type: none"> • On 22nd November, 2018 - minute BDLG/COU/105/010/2018 issues presented was the approval of the District water priorities • On 21st December, 2019 - minute BDLG/COU/113/12/2018 issues presented was the approval of the District water priorities • On 21st December, 2018 minute 52/3DC/03/2019 Presentation of the sector reports to council • On 27th March, 2019 - minute BDLG/COU/119/03/2016 presented budget laying to council • On 24th May, 2019 - minute BDLG/COU/125/05/2019 presented was the sector budget approval 	3
11	<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> • The AWP, budget and the Water Development grant releases and expenditures have been displayed on the district notice boards as per the PPDA Act and discussed at advocacy meetings: score 2. 	<p>The Water Development grant releases and expenditures were not displayed on the sector/district notice boards as per the PPDA Act as follows;</p> <ul style="list-style-type: none"> • The annual work plan was displayed on 10th July, 2019 • The development grant releases for all the quarter one (Ugx.165,615,546), quarter two (Ugx.100,290,049) and quarter three (Ugx.165,205,547 + Ugx.7,638,858) were displayed on 5th July, 2019 however quarter four quarters was not seen displayed • The sector budget was seen displayed on 10th October, 2019 	0

11	<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> • All WSS projects are clearly labelled indicating the name of the project, date of construction, the contractor and source of funding: score 2 	<p>During the assessment it was observed that all WSS projects are clearly labelled indicating the name of the project, date of construction, the contractor and source of funding. Some of the sampled project sites were;</p> <ul style="list-style-type: none"> • Kakere Source constructed by M/s Multec consults Ltd on 25th May,2019 source of funding Ministry of Water • Suula source constructed by M/s Multec consults Ltd on 19th May,2019 source of funding Ministry of Water • Karamai source constructed by M/s Multec consults Ltd on 19th May,2019 source of funding Ministry of Water 	2
11	<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> • Information on tenders and contract awards (indicating contractor name /contract and contract sum) displayed on the District notice boards: score 2 	<p>There was evidence that tenders and contract awards were displayed on the District notice boards.</p>	2

12	<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If communities apply for water/public sanitation facilities as per the sector critical requirements (including community contributions) for the current FY: score 1 	<p>It was evidenced that the community apply for water facilities according to the following information obtained;</p> <ul style="list-style-type: none"> The community of Kolir village Kolir subcounty applied for borehole on 13th October,2019 On 19th November,2019 the community of Koboly Kidongole sub county applied to have a Borehole On 19th November,2019 the community of Kachage Kocheke sub county applied to have a [rotected spring <p>Information during assessment proved that the community also contribute to development projects as follows;</p> <ul style="list-style-type: none"> on 20th November,2019 the community of Kocheke in Kocheke sub county contributed Ugx.200,000 for a new borehole receipt number was 4863 paid it in by Odong John on 15th November,2019 the community of Abururu spring contributed Ugx.100,000 for a new spring well receipt number 4855 paid it in by Philan Benard 	1
12	<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> Water and Sanitation Committees that are functioning evidenced by either: i) collection of O&M funds, ii(carrying out preventive mainte- nance and minor repairs, iii) facility fenced/protected, or iv) they an M&E plan for the previous FY: score 2 <p>Note: One of parameters above is sufficient for the score.</p>	<p>During the assessment period the assessment team visited borehole sites and it was observed that not all of the sampled borehole sources were well fenced among these were;</p> <p>Kakere source was well fenced, Suula source was not fenced and Karamai source was well fenced</p> <p>And no evidence for community contribution towards O and M was availed during the assessment period</p>	0

Social and environmental safeguards

13	<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that environmental screening (as per templates) for all projects and EIAs (where required) conducted for all WSS projects and reports are in place: score 2 	<p>During the assessment period it was observed that environmental screening for all water projects was conducted on the following dates;</p> <ul style="list-style-type: none"> Kakere source Kocheke sub county screening was conducted on 17th October,2019 Chidongole source Kapir sub county, environmental screening was conducted on 15th October,2019 Kachabule source Aramai sub county environmental screening was conducted on 11th November,2019 	2
13	<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that there has been follow up support provided in case of unacceptable environmental concerns in the past FY: score 1 	<p>There was no evidence availed during assessment to proof that environmental mitigation plan, certification was conducted</p>	0
13	<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that construction and supervision contracts have clause on environmental protection: score 1 	<p>During the assessment period it was observed from the borehole drilling bills of quantities item 3.19 required the contractor to plant trees around the water sources.</p>	1
14	<p>The district Water department has promoted gender equity in WSC composition.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If at least 50% WSCs are women and at least one occupying a key position (chairperson, secretary or Treasurer) as per the sector critical requirements: score 3 	<p>No evidence was availed to the PAT to verify the WUCs formation and membership composition</p>	0

15	<p>Gender and special needs-sensitive sanitation facilities in public places/</p> <p>RGCs provided by the Water Department.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • If public sanitation facilities have adequate access and separate stances for men, women and PWDs: score 3 	<p>During the visit of the assessment team to the public sanitation facilities at the District RDC office at the District headquarters, it was observed that the facility had adequate access for all but had only one (1) stance</p>	0
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